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OBSERVATIONAL PARTICLE PHYSICS

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OBSERVATIONAL PARTICLE PHYSICS

M. Koshiba Universität Hamburg, II. Institut für Experimentalphysik and DESY Observational particle physics is meant to imply particle physics without using artificial accelerators. Namely it covers the studies of:

- 1) Baryon number non-conservation processes like proton decay,  $n-\bar{n}$  oscillation, etc.,
- lepton number non-conservation processes like neutrino-less double β decay,
- 3) observation of elementary particles from celestial objects like  $v_e$  from the sun, high energy v's from Cyg-X-3, v's from a supernova explosion, etc.,
- relic elementary particles from the big-bang, like monopoles, supersymmetric particles etc.,
- 5) astrophysical dark matter,
- 6) v mass, v oscillations in vacuum and in matter,
- 7) possible 5th force,
- gravity waves and gravitons, and so forth.

In view of the first observation of a neutrino burst from the supernova explosion SN 1987a in LMC by KAMIOKANDE-II<sup>1)2)</sup> and its immediate corroboration by  $IMB^{3)}$ , I shall mainly discuss item 3) above, which might be called neutrino astrophysics.

Modern astronomy was founded by Galilei in the early 17th century. The development of radar technology during the last world war opened the way for radio astronomy. In the 1960's X-ray astronomy was born and infrared astronomy is now making a steady growth. These astronomies have given and are giving a great variety of information regarding celestial objects. All the signals are, however, electromagnetic waves and as such they interact with matter rather strongly. This on the one hand facilitates detection, but on the other hand electromagnetic waves give information pertaining only to the thin surface of celestial bodies. In order to obtain information on the core of celestial objects, one needs to detect particles which are produced in the core and come out to the surface intact. The required very small interaction implies now the severe difficulty of detecting such particles. The kind of particle we should look for is obviously the neutrino.

Invited Talk at PANIC '87, April 24, Kyoto

The observation of a neutrino burst from the supernova explosion implies thus the birth of an entirely new astrophysics different from the well established electromagnetic astrophysics. The detectors of the above mentioned two experiments used for the detection of supernova neutrinos are both of the water Cerenkov type in which the charged particles produced by neutrinos are detected by the Cerenkov light they produce in the water. The hit pattern and the signal arrival time from the photomultipliers installed over the surrounding surface give information on the production vertex, the direction of motion, and the energy of the particle. The distinction between  $(\gamma, e^{\pm})$  and  $(\pi^{\pm}, \mu^{\pm})$  is done whith better than 90 % confidence. Both experiments were originally designed for the search of energy liberated in proton decay (1GeV), and during the last years they both accumulated hundreds of cosmic ray neutrino events. The KAMIOKANDE experimenters decided in late 1983 to aim for the observation of  ${}^{8}B$  decay neutrinos (< 14 MeV) from the sun. and a  $4\pi$  anti-counter, also of water Cerenkov type, was installed. Furthermore, with the help of their new collaborators from the U.S., they installed new multihit electronics (ADC + TCD) (see Fig. 1). The upgraded experiment, KAMIOKANDE-II, has began data-taking of solar <sup>8</sup>B neutrinos in January 1986. The difficult task of observing low energy electrons elastically scattered by  $v_{\rm o}$  of energy 10 MeV was well on its way by lowering the effective threshold down to 7.5  $MeV^{2}$ .

The KIMIOKANDE-11 detector was thus ready to detect the supernova neutrinos because the expected energy range is about twice that of solar  ${}^8B$  neutrinos and they will be bunched in a time interval of seconds. Furthermore, the expected presence of anti-neutrinos in the supernova signal will enhance the detection probability by their interaction with protons in the water, which has a cross-section 100 times that of the  $\nu_e$  elastic scattering on electrons.

The long waited for signal from a not too distant supernova explosion, 384 years after the Kepler supernova, did come on February 23, 07:35:35 UT from SN 1987a in the Large Magellanic Cloud (see Fig. 2). The signal was immediately confirmed by IMB at 07:35:41 UT and we should take this time as the first signal arrival time because their clock was better calibrated and they detected higher energy events.

The impact of this observation on elementary particle physics and on astrophysics was tremendous and within two weeks more than two dozens of preprints of theoretical papers were flowing in.

In Fig. 3 are shown the claims on the mass of neutrinos, some of them deduced from the KAMIOKANDE-II data.

One can see that most of them claim an upper limit for the mass lower than the mass range of the Russian  $\beta$  decay experiment. The situation however is still far from settled and requires further scrutiny before reaching an equivocal conclusion on the mass limit or mass value of the electron neutrino.

I will skip solar  ${}^8B$  results because Y. Totsuka<sup>2)</sup> nicely covered the subject in this conference, but wish to point out that this is the first directional, spectral, and real-time observation of the solar  ${}^8B$  neutrinos, despite the existence of the pioneering work of R. Davies for which I have the greatest respect.

We then go on to the search for relic elementary particles from the Big Bang. Searches for monopoles have been performed with the large underground detectors and they give the most stringent upper limits to the monopole flux. Fig. 4a shows the present upper limits for the flux as obtained from the KAMIOKANDE, IMB, and BAKSAN experiments. They were obtained from the search in their respective detectors for the Rubakov effect; i.e. the catalysis of nucleon decay by a monopole. The search for the Rubakov effect in the sun can be done by looking for neutrinos of energy ~35 MeV from the direction of the sun resulting from  $\pi^{\pm} + \mu^{\pm} \rightarrow e^{\pm}$  decay of nucleon decays catalysed by monopoles trapped in the sun. This way KAMIOKANDE produced orders of magnitude more stringent upper limits for the monopole flux, as shown in Fig. 4b.

The searches for dark matter candidates and for supersymmetric particles were done along a similar approach. Namely we look for the high energy neutrinos from the direction of the sun which are is expected if such heavy particles were trapped and annihilated in the sun. The preliminary results, as of April 1987, of KAMIOKANDE from the analysis of the contained neutrino events, 1.5 to ~15 GeV, from the direction of the sun are: The mass range 4.5 GeV to 25 GeV is excluded for a Dirac neutrino, the mass range 15 GeV to 27 GeV is excluded for a Majorana neutrino, and the mass range 3 GeV to 15 GeV is excluded for a Scalar neutrino. Similar and more stringent restrictions were obtained by the FREJUS experiment, a fine grained calorimeter type detector under the Alps.

- 5 -

Namely, for a Dirac neutrino the mass ranges 4 to  $\sim$ 32 GeV and >65 GeV are excluded, for a Majorana neutrino the mass ranges 6 to  $\sim$ 32 GeV and >75 GeV are excluded, for a Scalar neutrino the mass range >4 GeV is excluded. They give also the excluded mass range of 4 to  $\sim$ 12 GeV for the photino.

Now that the observational neutrino astrophysics is born and the observational particle physics is well on its way, our next task is to develop this new branch of experimental science in the most cost-effective manner. Here we consider two complementary lines of approach; one is to pursue the line of KAMIOKANDE-II and build a much larger detector with a still lower threshold, and the other one is to forget about the low energy events and aim for high energy neutrino astronomy, and for search for new particles, by building a detector of really large sensitive area,  $>10^4 \text{ m}^2$ , and of detecting mass, 500 000 tons.

In both lines of approach the advantage of the imaging water Cerenkov detector, a large mass of clear water surrounded by large photomultipliers, is obvious and it is at present the only feasible way, technically and economically, to build the next generation detectors with masses in the range of tens of thousands of tons.

The first line of approach was first made public in January 1984 in the form of Super KAMIOKANDE<sup>5)</sup>. Fig. 5 shows the schematic drawing of Super-KAMIOKANDE. With twice the surface density of phototubes and with a factor of 25 larger fiducial mass as compared with the present KAMIOKANDE-II, the expected performance of Super-KAMIOKANDE is summarized in Table I. Note that the expected number of solar  ${}^{8}$ B neutrino events will allow the monitoring of the solar core temperature with an accuracy of better than 1 % every week. because the yield of  ${}^{8}$ B is very strongly temperature dependent,  $\sim T^{19}$ . The cost of this detector is estimated at 40 million US dollars plus an excavation cost of 15 M\$ at the KAMIOKA Mine. If such detectors are installed at a number of suitable locations in the world, Super-AMERIKANDE, Super-EUROPEANDE, Super-AUSTRALIANDE etc., with a good timing accuracy of say 10 usec, any supernova explosion within a million light years will be detected in real-time with an angular accuracy of a minute of arc and thus an advance notice can be given to the astronomical observatories at least several hours prior to any optical activity.

The second line of approach is schematically shown in Fig. 6 and is called LENA<sup>6)</sup>, Lake Experiment on Neutrino Astronomy. The upward going  $\mu$ 's produced by high energy neutrinos in the underlying rock will be detected at a rate of 4000 per year and with an angular resolution better than 1°. Also high energy  $\gamma$  ray showers can be observed very clearly with an angular accuracy well below 1° and well separated from the large background of cosmic ray hadronic showers. This is possible because the lower detector covering almost the entire sensitive area can detect all the muons contained in the shower. A Monte-Carlo simulation of  $\gamma$ -proton separation is shown in Fig. 7. The simultaneous observation of high energy  $\gamma$ -rays and high energy  $\nu$  by the same detector is of crucial importance in observing, for instance, the radioburst period of Cyq-X-3.

The cost of such a detector is 4 million US dollars plus the cost of a water reservoir of 150 m diameter and of <35 m depth. This type of experiment seems quite appropriate in training young graduate students, even undergraduates, in countries where access to a present day gigantic accelerator complex is not easy, geographically and/or economically. The experiment is not too expensive, can be installed in the neighborhood, is simple in structure with one type of sensor, and does not require a large number of collaborators. Still younger people can get trained in particle physics, nuclear physics, astrophysics, cosmology, and in fast electronics as well as in data-taking/data-analysis by computer. The installation of LENA's at various locations over the world would be nice because each would look at a different part of the sky.

The improvement of the 20"diameter photomultiplier is also on its way. As of March 1987, the following improvements have been obtained: 1) time jitter at 1 photoelectron level measured to be 4.67 nsec FWHM, 2) good single photo-electron peak expected because photo-electron collection efficiency is improved from 43 % to 80 %, 3) tube length shorter by about 20 cm, and 4) production rate can be increased from the present 200 tubes per month to 500 tubes per month.

In conclusion, observational neutrino astrophysics is born and observational particle physics is well on its way. Let us build a worldwide network of Super-Neutrino Detecting Experiments and of LENA and proceed into the 21st century.

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- 6 -

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Expected Performance of the Super-KAMIOKANDE Detector

	Sensitivity
Tests of GUTs	
Nucleon Decay	τ/B ≲ 10 <sup>33</sup> ~ 10 <sup>34</sup> yr
n-ñ Oscillation	T <sub>nīn</sub> ≲ 10 <sup>33</sup> yr
Magnetic Monopoles	F <sub>M</sub> ≥ 10 <sup>-23</sup> cm <sup>-2</sup> s <sup>-1</sup> sr <sup>-1</sup>
Neutrino Oscillation	$\delta m^2 \gtrsim 10^{-4} \sim 10^{-11} eV^2$
	sin² (2⊖) ≳ 0.2
Neutrino Astronomy	
v's from Stellar Collapse	~10 <sup>4</sup> ev./10Kpc.
v's Accummulated from	≳ 50cm <sup>-2</sup> s <sup>-1</sup>
the Past Stellar Collapse	
Solar <sup>8</sup> B v <sub>e</sub>	~ 2900 ev. /yr
	<u> </u>
Ultra-High Energy v	10 <sup>-9</sup> E <sup>-2.1</sup> cm <sup>-2</sup> s <sup>-1</sup> TeV <sup>-1</sup>
from Point Sources	

Table 1





Fig.1







Fig.3







Fig.5

LENA - Detector (Lake Experiment on Neutrino Astronomy)



Fig.6



Eprim [TeV]