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Monopole Condensation and Color Confinement

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Abstract: Monopole condensation is responsible for confinement in U(1) lattice gauge theory. Using numerical simulations and the Abelian projection, we demonstrate that this mechanism persists in SU(2) nonabelian gauge theories. Our results support the picture of the QCD vacuum as a dual superconductor.

The lattice formulation of quantum chromodynamics (QCD) provides a tool for exploring the dynamics of the QCD vacuum. In particular, it enables us to test current ideas on color confinement. 't Hooft<sup>1</sup> and Mandelstam<sup>1</sup> have conjectured that this phenomenon can be understood in terms of a color magnetic superconductor, in which color magnetic monopoles condense and color electric charges are confined. This picture is dual to the ordinary superconductor<sup>2</sup>, in which electric charges condense and magnetic monopoles would be confined through the Meissner effect.

These ideas have been successful in understanding the mechanism of confinement and the deconfinement phase transition in 4-d compact U(1) gauge theory, which contains monopoles<sup>3,4</sup>. To extend this to nonabelian gauge theories, it is crucial to formulate the theory in terms of its relevant Abelian degrees of freedom, which are color magnetic monopoles, color electric charges and "photons". This can be achieved by fixing to a gauge such that the gauge freedom of the maximal Abelian (Cartan) subgroup remains. This gauge fixing is called the Abelian projection<sup>5,6,7</sup>. Also, one should choose a gauge which is renormalizable<sup>5</sup>, and in which the Abelian degrees of freedom describe the longdistance properties of the vacuum.

In a recent paper<sup>7</sup> we provided the framework for quantitative analysis by constructing the Abelian projection on the lattice. We also presented results of a Monte Carlo calculation of monopole densities at various couplings  $\beta$  (and temperatures) for gauge groups SU(2) and SU(3). However, these calculations were restricted to nonrenormalizable gauges which are contaminated by unphysical short-distance artefacts<sup>8</sup>.

In this letter we test the above picture of confinement quantitatively in 4-d SU(2) gauge theory. To relate the SU(2) theory to the better understood U(1) theory, we study the Georgi-Glashow model, which interpolates between the two.

The action on an  $(L_s^3 \times L_t)$  lattice is

$$S = \beta_G \sum_p \left(1 - \frac{1}{2} \text{Tr} U(p)\right) + \beta_H \sum_{s,\mu} \left(1 - \frac{1}{2} \text{Tr} (\Phi(s) U(s,\hat{\mu}) \Phi(s+\hat{\mu}) U^+(s,\hat{\mu}))\right), \quad (1)$$

where  $U(p)$  is the product of parallel transporters  $U(s,\hat{\mu})$  around a plaquette  $p$  and  $\Phi(s) = \Phi^a(s) \epsilon_a$  is the fixed length ( $\Phi^a(s) \Phi^a(s) = 1$ ) adjoint Higgs field. For  $\beta_H = 0$  Eq. (1) reduces to the pure SU(2) theory. For  $\beta_H = \infty$  Eq. (1) reduces to the U(1) theory, which can be seen most easily in the unitary gauge  $\Phi(s) = \epsilon_3$ ; a finite action then requires  $U(s,\hat{\mu})$  to be diagonal and hence Abelian.

A renormalizable, maximally Abelian gauge is obtained by performing a local gauge transformation  $\tilde{U}(s,\hat{\mu}) = V(s) U(s,\hat{\mu}) V(s+\hat{\mu})^{-1}$  such that

$$R = \sum_{s,\mu} \text{Tr} (\epsilon_3 \tilde{U}(s,\hat{\mu}) \epsilon_3 \tilde{U}^+(s,\hat{\mu})) \quad (2)$$

is maximized.  $V(s)$  is only determined up to left multiplication by  $d = \text{diag} (e^{i\alpha(s)}, e^{-i\alpha(s)})$ , which represents the residual U(1) gauge invariance. Following Ref. 7 we perform the Abelian projection in this gauge; i.e. we decompose the parallel transporters

$$\tilde{U}(s,\hat{\mu}) = \begin{pmatrix} (1 - |c(s,\hat{\mu})|^2)^{\frac{1}{2}} & -c^*(s,\hat{\mu}) \\ c(s,\hat{\mu}) & (1 - |c(s,\hat{\mu})|^2)^{\frac{1}{2}} \end{pmatrix} \begin{pmatrix} u(s,\hat{\mu}) & 0 \\ 0 & u^*(s,\hat{\mu}) \end{pmatrix}, \quad (3)$$

where  $u(s,\hat{\mu}) = \exp(i \arg \tilde{U}_1(s,\hat{\mu}))$  are Abelian parallel transporters,

and the coset fields  $c(s,\hat{\mu}) \in \text{SU}(2)/\text{U}(1)$  represent color electric charges. Under a general SU(2) gauge transformation of the original gauge field,  $u(s,\hat{\mu})$  and  $c(s,\hat{\mu})$  transform in the desired fashion:

$$u'(s,\hat{\mu}) = e^{i\alpha(s)} u(s,\hat{\mu}) e^{-i\alpha(s+\hat{\mu})}, \quad (4)$$

$$c'(s,\hat{\mu}) = c(s,\hat{\mu}) e^{-2i\alpha(s)}.$$

The color magnetic monopoles of the theory manifest themselves as half-integer valued magnetic currents on the dual lattice:

$$m(*s,\hat{\mu}) = \frac{1}{4\pi} \sum_{p \in \partial f(s+\hat{\mu},\mu)} \arg u(p) = 0, \pm \frac{1}{2}, \dots, \quad (5)$$

where  $u(p)$  is the product of Abelian parallel transporters  $u(s,\hat{\mu})$  around a plaquette  $p$ , and  $f(s+\hat{\mu},\mu)$  is the 3-cube with origin  $s+\hat{\mu}$  perpendicular to the  $\mu$ -direction, dual to the link from  $*s$  to  $*s+\hat{\mu}$  on the dual lattice. The monopole current is topologically conserved on the dual sites  $*s$ :  $\sum_{\mu} (m(*s,\hat{\mu}) - m(*s-\hat{\mu},\hat{\mu})) = 0$ . Consequently, the monopole currents form closed loops on the dual lattice.

To understand confinement in terms of the ideas cited at the outset of this letter, it is helpful to investigate the different phases of the theory and the nature of the accompanying transitions. The phase diagram at finite temperature ( $T = (L_t a)^{-1}$ ) is shown in Fig. 1. The theory has a deconfinement phase transition extending from  $U(1) (\beta_H = \infty)$  to  $SU(2) (\beta_H = 0)$ , and the Polyakov loop

$$P = \frac{1}{2} \text{Tr} \prod_{t=0}^{L_t-1} U(s+t\hat{4}, \hat{4}) \quad (6)$$

is the order parameter of the transition. At finite  $\beta_H$  and large  $\beta_G$ , there is also a transition to a deconfined Higgs phase. We use numerical simulations on a

$10^3 \times 5$  lattice at various values of  $\beta_G, \beta_H$  to analyze the properties of the monopoles in the three phases. (Simulations on  $5^4$  lattices yield similar results.) We generate the configurations according to standard methods, and then maximize  $R$  in Eq. (2) iteratively<sup>9</sup> for the configurations in the Monte Carlo ensemble. In all cases the statistical errors are smaller than the symbols plotted.

The U(1) theory indicates that the confined phase is a coherent monopole plasma, characterized by a high density of long, entangled monopole loops. In the deconfined phase monopoles are dilute and their loops are small. In Fig. 2 we show a 2-dimensional projection of the monopole currents for typical gauge field configurations at  $\beta_H = 8$ , which corresponds essentially to U(1), and at  $\beta_H = 0$ , which is SU(2). Consider first the U(1) case depicted in Figs. 2 (a,b). In the confined phase ( $\beta_G = 1.1$ ) the monopole loops are so entangled that it is difficult to distinguish individual loops. However, we have verified that the dominant portion of the magnetic currents is in long, intersecting loops. In the deconfined phase ( $\beta_G = 1.3$ ) the monopole loops are small and have almost disappeared. Now consider the SU(2) case depicted in Figs. 2 (c,d). Remarkably, the behavior of the monopoles in the two phases is just as before.

To quantify this picture we consider the perimeter density of monopole loops

$$l = \frac{1}{4V} \sum_{*s, \hat{\mu}} |m(*s, \hat{\mu})|, \quad (7)$$

and the number density  $\rho_{m\bar{m}}$  of monopole-antimonopole pairs in adjacent spatial cubes. Having seen Fig. 2 one expects the physics of the monopoles in SU(2) to be similar to the U(1) case. In particular, the deconfined phase is characterized by  $l \propto \exp(-\pi^2 \beta_G)$  and  $\rho_{m\bar{m}} \propto \exp(-\pi^2 \beta_G)$  in the Villain form of the U(1) theory<sup>1</sup>.

In Fig. 3 we present Monte Carlo data for  $\ln(l)$  as a function of  $\beta_G$  for  $\beta_H = 0, 1, 2$  and  $8$ . They clearly indicate the deconfinement phase transition. This occurs at the same critical  $\beta_G$  where the Polyakov loop gets a nonvanishing vacuum expectation value. Our data suggest that the transition is first order at  $\beta_H = 8, 2, 1$  and second order at  $\beta_H = 0$ . In the deconfined phase the exponential fall-off of  $l$  shows that the monopoles form a dilute gas, as in the U(1) theory. The slope is compatible with  $-\pi^2$  independent of  $\beta_H$ , as indicated by the solid lines in Fig. 3<sup>10</sup>. The same is true for  $\rho_{m\bar{m}}$  also. Thus the dilute gas approximation of the U(1) theory correctly describes the physics of the monopoles in the deconfined phase of the SU(2) theory as well. The Abelian Polyakov loop, composed as in Eq. (6) from Abelian parallel transporters, is also an order parameter of the deconfinement phase transition<sup>11</sup>, and it rises more dramatically at the transition than the nonabelian Polyakov loop. This demonstrates again the relevance of the Abelian degrees of freedom.

For the Higgs phase transition it is also interesting to investigate the role of the 't Hooft-Polyakov monopoles. They are defined in the unitary gauge  $\bar{\Phi}(s) = W(s)\Phi(s)W(s)^{-1} = \epsilon_3$ ,  $\bar{U}(s, \hat{\mu}) = W(s)U(s, \hat{\mu})W(s+\hat{\mu})^{-1}$ . Replacing  $\tilde{U}(s, \hat{\mu})$  in Eq. (3) by  $\bar{U}(s, \hat{\mu})$  we repeat the construction of magnetic currents for the 't Hooft-Polyakov monopoles. Figure 4 shows the perimeter density of 't Hooft-Polyakov monopole loops both as a function of  $\beta_G$  at  $\beta_H = 0.5, 1, 2, 8$  and as a function of  $\beta_H$  at  $\beta_G = 2.4$ . For  $\beta_H = 1, 2, 8$  we find a dense state of long entangled 't Hooft-Polyakov monopole loops in the confined phase, whereas they become dilute and small in the Higgs phase. However, the slope of the exponential fall-off of  $l$  is  $-\pi^2$  only at  $\beta_H = 8$ , where the theory is essentially U(1). At this coupling the Higgs phase transition occurs at  $\beta_G = 1.1$ , which is

consistent with a  $1/\beta_H$  expansion around the U(1) theory<sup>12</sup>. At  $\beta_H = 0.5$  we cross from the confined to the deconfined symmetric phase, where the 't Hooft-Polyakov monopoles are not dilute. We therefore do not observe an exponential fall-off of 1 in this case. This is confirmed in Fig. 4 (b), where we cross the phase transition from the deconfined symmetric to the deconfined Higgs phase at fixed  $\beta_G = 2.4$ .

The results presented in this letter suggest the following picture of the phases of the Georgi-Glashow model. The Higgs phase transition is well described in terms of 't Hooft-Polyakov monopoles: in the Higgs phase they are heavy and therefore dilute, whereas they condense in the SU(2) symmetric phases. On the other hand, the deconfinement phase transition can be understood in terms of color magnetic monopoles defined in the maximally Abelian gauge. In the deconfined phases the color magnetic monopoles are well described by the dilute gas approximation of the U(1) theory. In the confined phase color magnetic monopoles condense causing color confinement by the dual Meissner effect.

Finally, the importance of the Abelian degrees of freedom may be relevant in numerical simulations. It is possible to accelerate the update procedure in Abelian gauge theories, but nonabelian gauge theories are more problematic<sup>13</sup>. Perhaps the Abelian projection can be used to formulate nonabelian theories such that accelerated Abelian algorithms apply.

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8. The nonrenormalizable gauges are easier to implement numerically.
9. In principle this procedure is critically slowed down, but this can be alleviated by Fourier acceleration. See C.T.H. Davies et al., Cornell report CLNS 87/ (1987).
10. This is also in agreement with results of a recent study of the SU(2) vacuum at finite temperature which yielded a monopole action  $S = \pi^2 \beta_G$ . See M.L. Laursen and G. Schierholz, DESY report 87-061 (1987).
11. The Abelian Polyakov loop is nonzero in the deconfined phase, because the center  $Z_2$  is a subgroup of U(1). See B. Svetitsky, Phys. Rep. 132, 1 (1986) for a review.
12. R.C. Brower et al., Phys. Rev. D25, 3319 (1982)
13. C.T.M. Davies et al., Cornell report, in preparation.

Figure Captions

Fig. 1 Phase diagram of the Georgi-Glashow model at finite temperature.

Fig. 2 2-dimensional perspective projection of the color magnetic monopole currents. Apparently open loops are in fact closed due to the periodic boundary conditions. The empty regions are illusory because we try to show long loops in their entirety and thereby occasionally leave the lattice. (a) Confined phase close to the U(1) limit:  $\beta_G = 1.1, \beta_H = 8$ ; (b) deconfined phase close to the U(1) limit:  $\beta_G = 1.3, \beta_H = 8$ . (c) Confined phase of the pure SU(2) theory:  $\beta_G = 2.2, \beta_H = 0$ ; (d) deconfined phase of the pure SU(2) theory:  $\beta_G = 2.6, \beta_H = 0$ .

Fig. 3 Perimeter density  $\ln(l)$  of color magnetic monopoles as a function of  $\beta_G$  for  $(\square) \beta_H = 8, (\nabla) \beta_H = 2, (\triangle) \beta_H = 1$  and  $(\circ) \beta_H = 0$ . The solid lines indicate exponential fall-off with slope  $-\pi^2$ .

Fig. 4 Perimeter density  $\ln(l)$  of 't Hooft-Polyakov monopoles (a) as a function of  $\beta_G$  for  $(\square) \beta_H = 8, (\nabla) \beta_H = 2, (\triangle) \beta_H = 1$  and  $(\diamond) \beta_H = 0.5$ , and (b) as a function of  $\beta_H$  for  $\beta_G = 2.4$ .

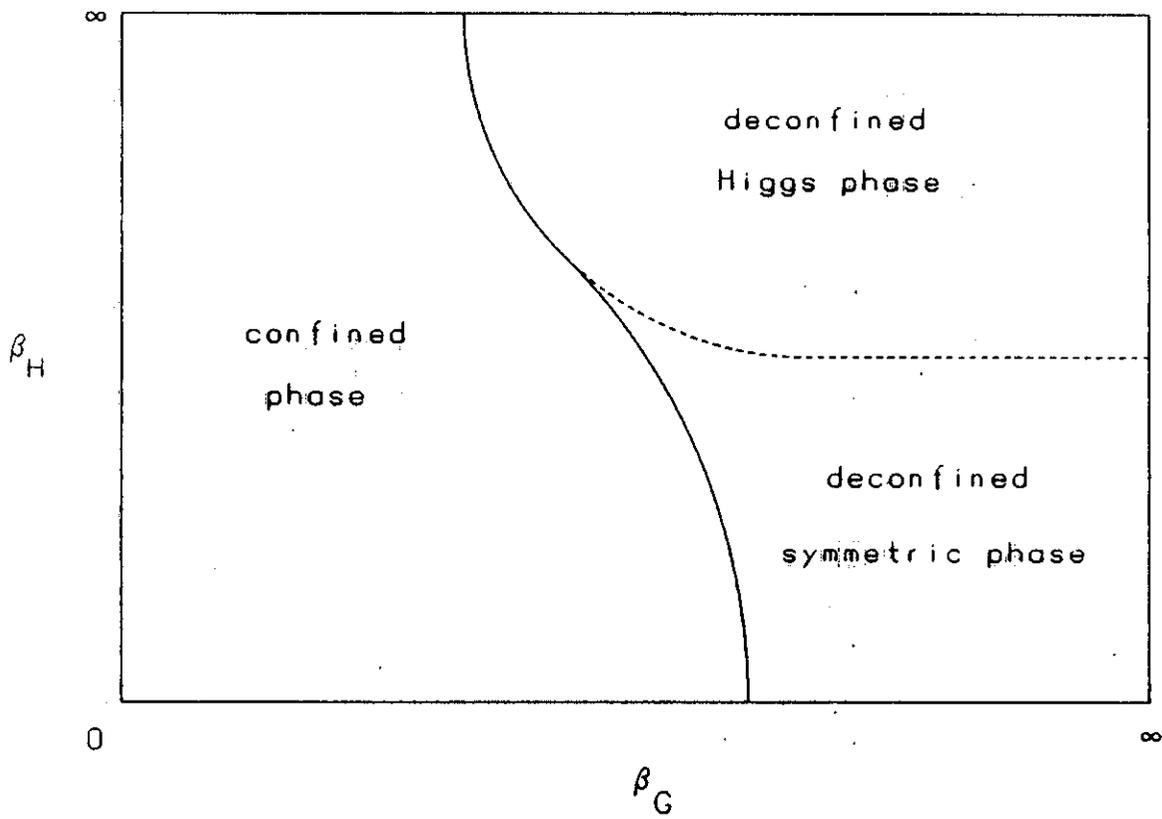


Fig. 1

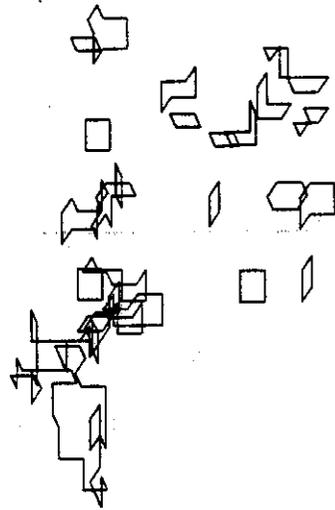
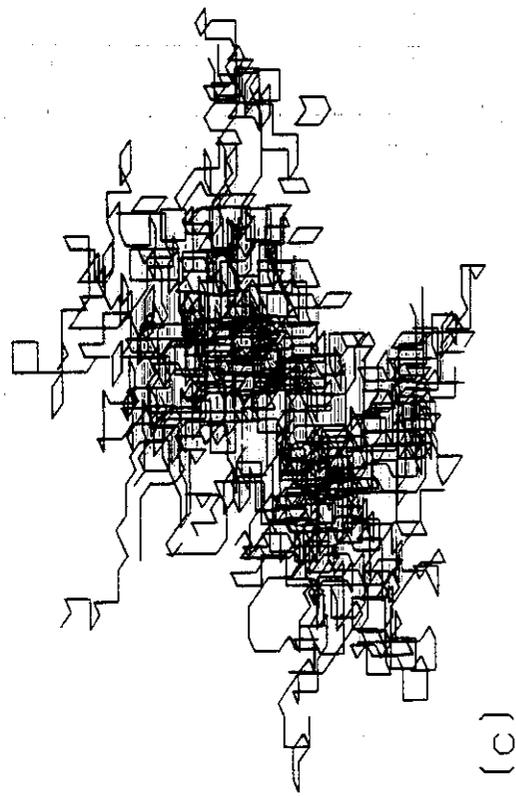
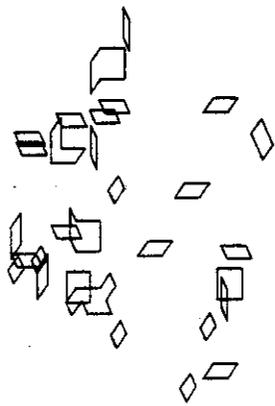
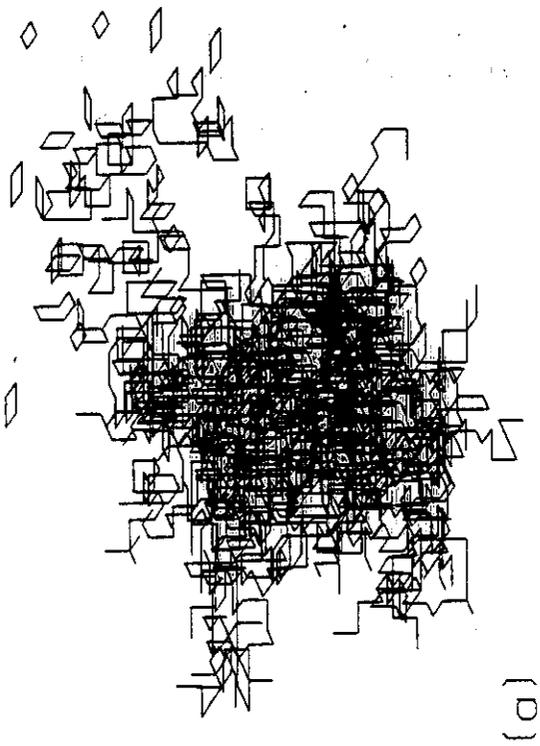


Fig. 2

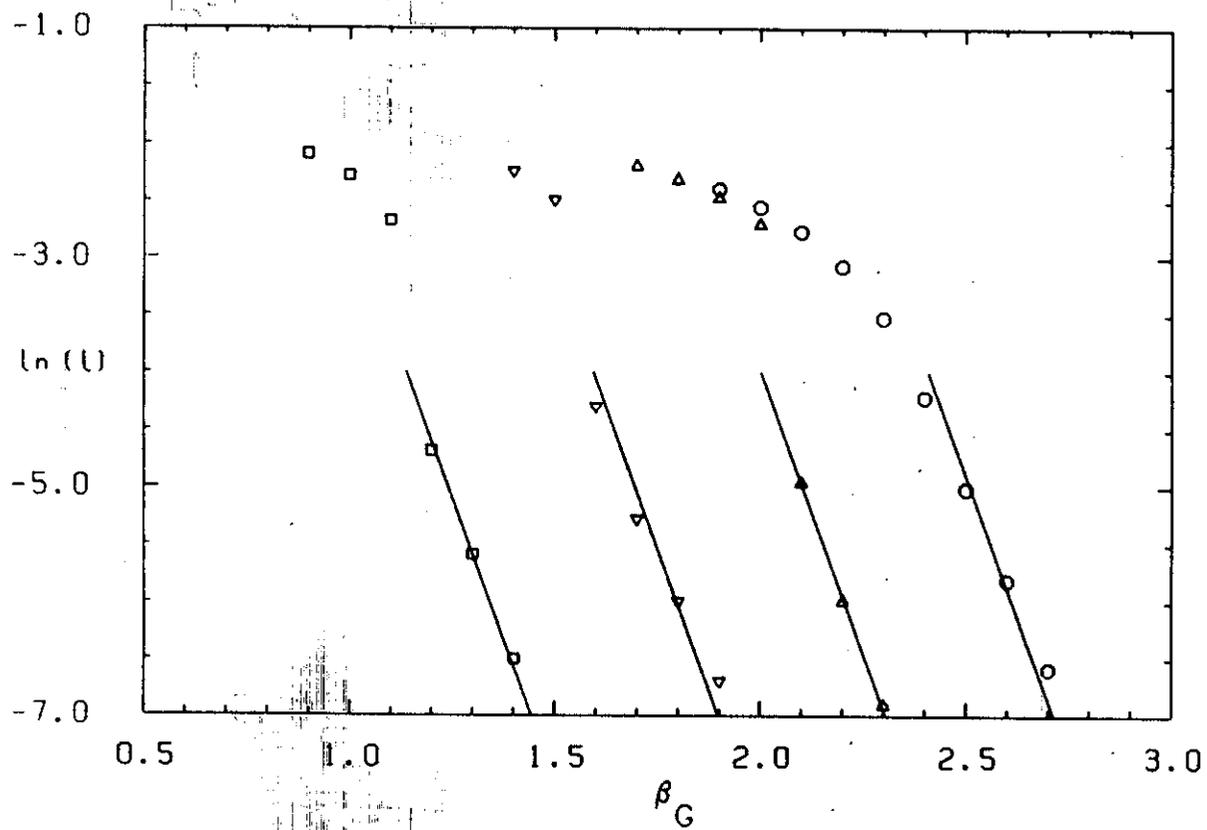
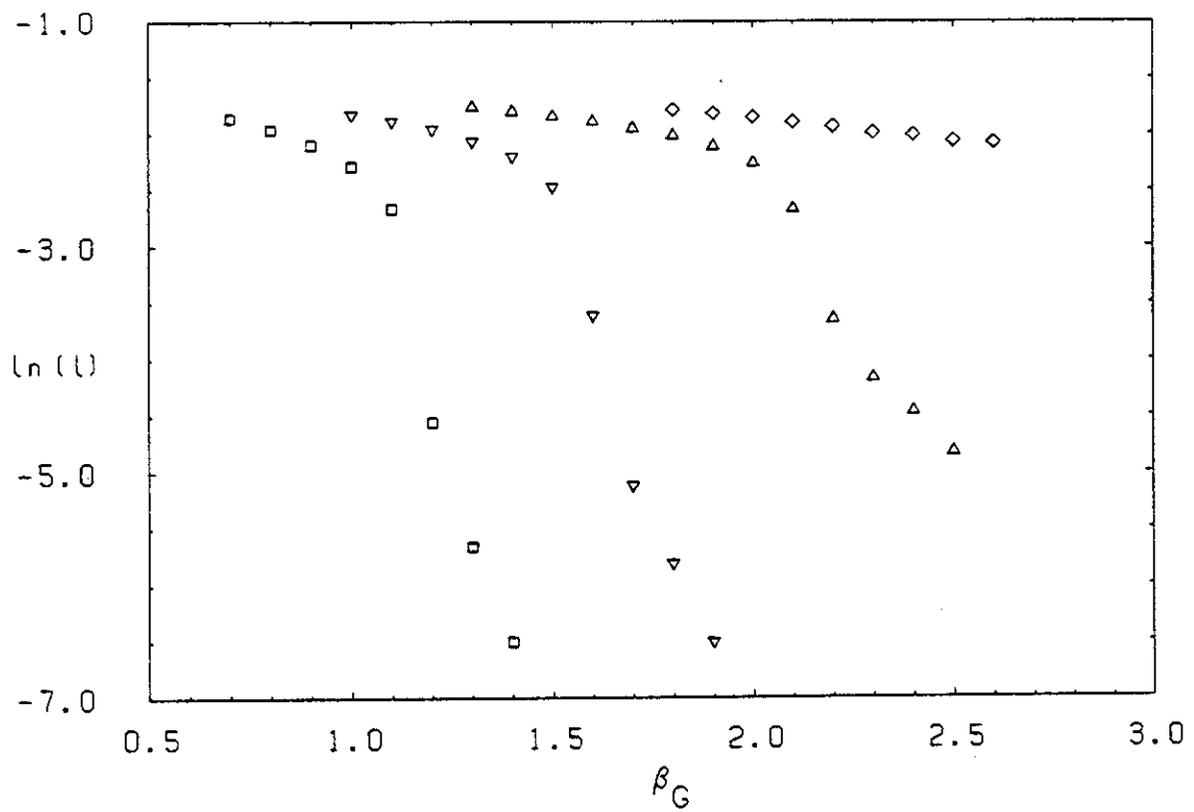
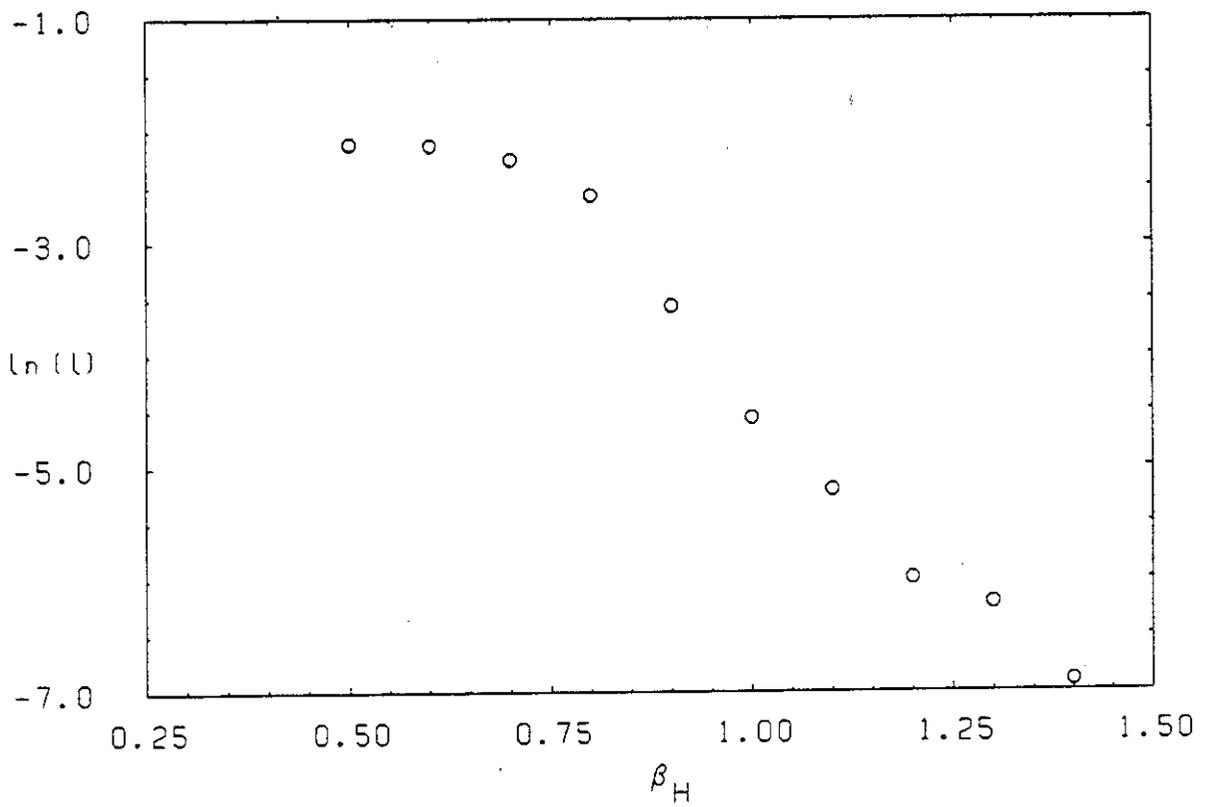


Fig. 3



(a)



(b)

Fig. 4