

INFINITE MATROIDS AND DETERMINACY OF GAMES

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Abstract

Solving a problem of Diestel and Pott, we construct a large class of infinite matroids. These can be used to provide counterexamples against the natural extension of the Well-quasi-ordering-Conjecture to infinite matroids and to show that the class of planar infinite matroids does not have a universal matroid.

The existence of these matroids has a connection to Set Theory in that it corresponds to the Determinacy of certain games. To show that our construction gives matroids, we introduce a new very simple axiomatization of the class of countable tame matroids.

1 Introduction

One of the big problems in the development of infinite matroid theory has been that there have not been very many known examples of infinite matroids, which then could have been used as a supply of counterexamples. Recent work of Diestel and Pott [10] suggested somewhere to look for a new large class of infinite matroids. Before we will talk about the class itself, we shall first explain a little bit about what they were doing.

They looked at the question how one could extend the following theorem to infinite graphs: Two finite graphs are dual if and only if their cycle matroids are dual to each other. In the infinite case, the situation is no longer that easy since there are at least two different cycle matroids associated to an infinite locally finite graph G : the finite cycle matroid $M_{FC}(G)$, whose circuits are the finite circuits of G , and the topological cycle matroid $M_C(G)$, whose circuits are edge sets of topological circles in the end-compactification $|G|$ of G [7]. Note that $M_{FC}(G)$ is finitary and $M_C(G)$ is cofinitary. In fact, if G and G^* are dual in a suitable sense, then $M_{FC}(G)$ and $M_C(G^*)$ are dual to each other.

Motivated by the slight asymmetry of this fact, Diestel and Pott [10] introduced a more general context in which a stronger result is true. Given a partition of the ends of G into Ψ and Ψ^c , a Ψ -circuit is a topological circuit using only ends from Ψ , and a Ψ -tree is a set of edges maximal with the property that it does not include a Ψ -circuit. If $\Psi = \Omega(G)$, then the Ψ -circuits and

Ψ -trees are the $M_C(G)$ -circuits and $M_C(G)$ -bases, whereas if $\Psi = \emptyset$, then the Ψ -circuits and Ψ -trees are the $M_{FC}(G)$ -circuits and $M_{FC}(G)$ -bases.

Let $G = (V, E, \Omega)$ and $G^* = (V^*, E, \Omega)$ be two finitely separable¹ 2-connected graphs with the same set of edges E and the same set of ends Ω . Diestel and Pott showed that if G and G^* are duals, then for every Ψ the complements of Ψ -trees in G are precisely the Ψ^c -trees in G^* . This means that if the set of Ψ -trees were the set of bases of some matroid, then the set of Ψ^c -trees in G^* would also be the set of bases of a matroid, namely its dual. This tempted Diestel and Pott to ask² the following.

Question 1.1. *Let G be a locally finite graph and $\Psi \subseteq \Omega(G)$. Is the set of Ψ -trees the set of bases of a matroid?*

Unfortunately, the answer to this question is no. Indeed, with some effort the question can be reduced to the question about path-connectedness in certain connected subspaces of $|G| \setminus \Psi^c$. Questions of this type have been considered by Georgakopoulos in [13], and his main counterexample from there also gives a counterexample here. However, the construction of the set Ψ in this case heavily relies on the Axiom of Choice (we will return to this point later).

The purpose of this paper is to show that if the set Ψ is pleasant enough, in a sense we will now explain, then the set of Ψ -trees is the set of bases of a matroid.

It will turn out that the way pleasantness is measured has to do with Determinacy of Sets (See Section 6 for an explanation why this is a good way to measure pleasantness here). Determinacy of sets is usually defined using games. Let $\Psi \subseteq A^{\mathbb{N}}$ for some set A , then the Ψ -game $\mathcal{G}(\Psi)$ is the following game between two players which has one move for every natural number. In each odd move the first player chooses an element of A whereas in each even move the second player chooses such an element. The first player wins if and only if the sequence they generate between them is in Ψ . The set Ψ is *determined* if one player has a winning strategy. The question which sets are determined has been investigated a lot in set theory [14]: The statement that all subsets $\Psi \subseteq A^{\mathbb{N}}$ with A countable are determined is called *the Axiom of Determinacy*, and is sometimes taken as an alternative to the Axiom of Choice. Indeed, if one assumes the Axiom of Determinacy instead of the Axiom of Choice, every set of real numbers becomes Lebesgue measurable [17]. A deep result in this area says that if Ψ is Borel (in the product topology), then it is determined [15].

We will want to consider slightly more general games in which the set of moves available to a player may vary depending on the moves made so far in the game, and may even sometimes be empty. Any game like this can be coded up by an equivalent game of the above type, so we will not worry too much about this issue. A game is *determined* if at least one of the players has a winning strategy.

¹ A graph is *finitely separable* if any two vertices can be separated by removing only finitely many vertices

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Next we sketch how we transform Question 1.1 into an equivalent statement about determinacy of games. First we build from a given locally finite graph G what we call a *tree of matroids* which is a tree T whose ends are the ends of G , where for each node we store a finite matroid, and for each edge we store information about how to glue together the matroids for the two incident nodes. We do this in such a way that if we do all the gluing at once we get back all the relevant information about G .

Then we introduce the *circuit games* which are games of the above type in which each possible play defines a (possibly infinite) path in T starting at a fixed node of T . If play continues forever, then the path is infinite and the first player wins if and only if that path belongs to some end in Ψ (for a precise Definition of the game see Section 6 or 8). Having done this, we then are able to reduce Question 1.1 to a question about the determinacy of circuit games:

Theorem 1.2. *The set of Ψ -trees is the set of bases of a matroid if and only if certain circuit games are all determined.*

Applying the determinacy of Borel sets mentioned above, we obtain the following.

Corollary 1.3. *Let G be a locally finite graph and $\Psi \subseteq \Omega(G)$ a Borel set. Then the set of Ψ -trees is the set of bases of a matroid.*

A key ingredient in proving Theorem 1.2 is the following theorem which comes from a new axiomatisation of the class of countable tame matroids. Here a matroid is tame if every circuit-cocircuit-intersection is finite.

Theorem 1.4. *Let E be countable and $\mathcal{C}, \mathcal{D} \subseteq \mathcal{P}(E)$ such that $|C \cap D|$ is never 1 or infinite for any $C \in \mathcal{C}$ and $D \in \mathcal{D}$. Further assume that for all partitions $E = P_{C_0} \dot{\cup} P_{D_e} \dot{\cup} \{e\}$ where $e \in E$ either $P_{C_0} + e$ includes an element of \mathcal{C} through e or $P_{D_e} + e$ includes an element of \mathcal{D} through e .*

Then the minimal nonempty element of \mathcal{C} and \mathcal{D} are the circuits and cocircuits of some matroid.

These new axioms are simpler than the general matroid axioms since there is no axiom that is as complicated as the axiom called *(IM)*. It should be noted that this axiomatisation is very similar to Minty's axiomatisation of finite matroids [16].

So far we have talked only about locally finite graphs. Our proof in that case heavily relies on the assumption that the graph is locally finite (Once the definition of a tree of matroids is made precise, it is clear that this requires the graph to be locally finite). However, we are able to extend our results to all countable graphs. The argument takes the whole of Section 9 and uses a new technique; we expect that this technique can also be used in other contexts to extend results from locally finite to countable graphs.

The new matroids we constructed in this paper can be used to find counterexamples to various conjectures about infinite matroids. We shall illustrate this with three examples.

A class \mathcal{F} of matroids is *well-quasi-ordered* if for every sequence $(M_n | n \in \mathbb{N})$ with $M_n \in \mathcal{F}$ there are $i < j$ such that $M_i \preceq M_j$. Robertson and Seymour proved [20] that the class of finite graphs is well-quasi-ordered. In 1965, Nash-Williams [18] proved that infinite trees are well-quasi-ordered. This was extended by Thomas to the class of graphs of bounded branch width [22]. On the other hand, he provided a sequence of uncountable graphs showing that the class of all graphs is not well-quasi-ordered [21]. It is not known if the class of countable graphs is well-quasi-ordered. For finite matroids, it is at the moment an important project to prove that the class of matroids representable over a fixed finite field is well-quasi-ordered. Geelen, Gerards and Whittle [12] proved that this is true if the matroids have bounded branch width. For infinite matroids almost nothing is known. Azzato and Jeffrey [2] made a first step towards proving that the class of finitary matroids of bounded branch width representable over a fixed finite field is well-quasi-ordered. In this paper we consider the corresponding question for infinite matroids, not just for the finitary ones. The new matroids we construct can be used to show that the answer to this question is no, even in a very special case.

Corollary 1.5. *The countable binary matroids of branch-width at most 2 are not well-quasi-ordered (under the minor relation).*

The next conjecture concerns the number of possible non-isomorphic matroids on a countable ground set. Clearly, there cannot be more than $2^{2^{\aleph_0}}$. We show that this bound is actually attained.

Corollary 1.6. *There are $2^{2^{\aleph_0}}$ non-isomorphic tame matroids with no $M(K_4)$ -minor and no $U_{2,4}$ -minor on a countable ground set.*

Diestel and Kühn [11] proved that there is a countable planar graph that has all other countable planar graphs as minors. Such a graph is called a *universal countable planar graph (with respect to the minor relation)*. In the same spirit, we call a matroid *universal for a class \mathcal{F} of matroids (with respect to the minor relation)* if it is in \mathcal{F} and it has every member of \mathcal{F} as a minor. A matroid is *planar* if it is tame and all its finite minors are planar [6]. The result of Diestel and Kühn does not extend to infinite matroids:

Corollary 1.7. *There is no universal matroid for the class of countable planar matroids.*

This paper is organised as follows. In Section 2, we sum up the basic definitions and facts. In Section 3, we explain how the matroids arise from infinite graphs and their ends even if those graphs are not finitely separable. The new axioms for countable tame matroids are introduced in Section 4. At the end of that section we explain how Georgakopoulos' construction can be used to get a counterexample against Question 1.1.

The proof of Theorem 1.2 takes the next 4 sections. The proof can be subdivided into two parts: first we construct from a given graph a tree of matroids and analyse it. Then we use this tree of matroids as a tool to prove Theorem 1.2.

Here, the purpose of Section 5 and Section 6 is to prove Theorem 1.2 in a special case. Although this special case is much simpler than the general case, many ideas are already visible there. In Section 7 and Section 8, we prove Theorem 1.2 in the general case. While Section 5 is concerned with trees of matroids arising in the special case, in Section 7 we show how one has to extend the method for the general case. The other two sections are both considered with the second part of the proof and bear a similar relation. In [5], we shall give an alternative new proof of Theorem 1.2.

In Section 9, we deduce the countable case from the locally finite case. In Section 10, we prove Corollary 1.5, Corollary 1.6 and Corollary 1.7.

2 Preliminaries

Throughout, notation and terminology for (infinite) graphs are those of [9], and for matroids those of [19, 7]. In this paper, we only work with simple graphs. However, all the results and proofs can easily be extended to multigraphs. We will rely on the following lemma from [9]:

Lemma 2.1 (König’s Infinity Lemma [9]). *Let V_0, V_1, \dots be an infinite sequence of disjoint non-empty finite sets, and let G be a graph on their union. Assume that every vertex v in V_n with $n \geq 1$ has a neighbour $f(v)$ in V_{n-1} . Then G includes a ray $v_0v_1\dots$ with $v_n \in V_n$ for all n .*

For any graphs G and H , we will use $G \times H$ to denote the graph with vertex set $V(G) \times V(H)$ and with edge set

$$\{e \times \{v\} \mid e \in E(G), v \in V(H)\} \cup \{\{v\} \times e \mid v \in V(G), e \in E(H)\}.$$

The edges in $\{e \times \{v\} \mid e \in E(G), v \in V(H)\}$ are called G -edges, and those in $\{\{v\} \times e \mid v \in V(G), e \in E(H)\}$ are called H -edges.

We will also make use of the following elementary fact of Linear Algebra:

Lemma 2.2. *Let X be a finite set of vectors in a finite dimensional vector space V , and let $y \in V$. $X^\perp \subseteq \{y\}^\perp$ if and only if y is in the span $\langle X \rangle$ of X .*

M always denotes a matroid and $E(M)$ (or just E), $\mathcal{I}(M)$ and $\mathcal{C}(M)$ denote its ground set and its sets of independent sets and circuits, respectively. For the remainder of this section we shall recall some basic facts about infinite matroids.

A set system $\mathcal{I} \subseteq \mathcal{P}(E)$ is the set of independent sets of a matroid if and only if it satisfies the following *independence axioms* [7].

- (I1) $\emptyset \in \mathcal{I}(M)$.
- (I2) $\mathcal{I}(M)$ is closed under taking subsets.
- (I3) Whenever $I, I' \in \mathcal{I}(M)$ with I' maximal and I not maximal, there exists an $x \in I' \setminus I$ such that $I + x \in \mathcal{I}(M)$.

(IM) Whenever $I \subseteq X \subseteq E$ and $I \in \mathcal{I}(M)$, the set $\{I' \in \mathcal{I}(M) \mid I \subseteq I' \subseteq X\}$ has a maximal element.

A set system $\mathcal{C} \subseteq \mathcal{P}(E)$ is the set of circuits of a matroid if and only if it satisfies the following *circuit axioms* [7].

(C1) $\emptyset \notin \mathcal{C}$.

(C2) No element of \mathcal{C} is a subset of another.

(C3) (Circuit elimination) Whenever $X \subseteq o \in \mathcal{C}(M)$ and $\{o_x \mid x \in X\} \subseteq \mathcal{C}(M)$ satisfies $x \in o_y \Leftrightarrow x = y$ for all $x, y \in X$, then for every $z \in o \setminus (\bigcup_{x \in X} o_x)$ there exists a $o' \in \mathcal{C}(M)$ such that $z \in o' \subseteq (o \cup \bigcup_{x \in X} o_x) \setminus X$.

(CM) \mathcal{I} satisfies (IM), where \mathcal{I} is the set of those subsets of E not including an element of \mathcal{C} .

Lemma 2.3. *Let M be a matroid and s be a base. Let o_e and b_f a fundamental circuit and a fundamental cocircuit with respect to s , then*

1. $o_e \cap b_f$ is empty or $o_e \cap b_f = \{e, f\}$ and
2. $f \in o_e$ if and only if $e \in b_f$.

Proof. To see the first note that $o_e \subseteq s + e$ and $b_f \subseteq (E \setminus s) + f$. So $o_e \cap b_f \subseteq \{e, f\}$. As a circuit and a cocircuit can never meet in only one edge, the assertion follows.

To see the second, first let $f \in o_e$. Then $f \in o_e \cap b_f$, so by (1) $o_e \cap b_f = \{e, f\}$ and so $e \in b_f$. The converse implication is the dual statement of the above implication. \square

Lemma 2.4. *For any circuit o containing two edges e and f , there is a cocircuit b such that $o \cap b = \{e, f\}$.*

Proof. As $o - e$ is independent, there is a base including $o - e$. By Lemma 2.3, the fundamental cocircuit of f of this base intersects o in e and f , as desired. \square

Lemma 2.5. *Let M be a matroid with ground set $E = C \dot{\cup} X \dot{\cup} D$ and let o' be a circuit of $M' = M/C \setminus D$. Then there is an M -circuit o with $o' \subseteq o \subseteq o' \cup C$.*

Proof. Let s be any M -base of C . Then $s \cup o'$ is M -dependent since o' is M' -dependent. On the other hand, $s \cup o' - e$ is M -independent whenever $e \in o'$ since $o' - e$ is M' -independent. Putting this together yields that $s \cup o'$ contains an M -circuit o , and this circuit must not avoid any $e \in o'$, as desired. \square

A *scrawl* is a union of circuits. In [4], (infinite) matroids are axiomatised in terms of scrawls. The set $\mathcal{S}(M)$ denotes the set of scrawls of the matroid M . Dually a *coscawl* is a union of cocircuits. Since no circuit and cocircuit can meet in only one element, no scrawl and coscawl can meet in only one element. In fact, this property gives us a simple characterisation of scrawls in terms of coscrawls and vice versa.

Lemma 2.6. [4] *Let M be a matroid, and let $w \subseteq E$. The following are equivalent:*

1. w is a scrawl of M .
2. w never meets a cocircuit of M just once.
3. w never meets a coscrawl of M just once.

Proof. It is clear that (1) implies (3) and (3) implies (2), so it suffices to show that (2) implies (1). Suppose that (2) holds and let $e \in w$. Then in the minor $M/(w - e) \setminus (E \setminus w)$ on the groundset $\{e\}$, e cannot be a co-loop, by the dual of Lemma 2.5 and (2). So e must be a loop, and by Lemma 2.5 there is a circuit o_e with $e \in o_e \subseteq w$. Thus w is the union of the o_e , and so is a scrawl. \square

Lemma 2.7. *Let w be a dependent set. Then w is a circuit if and only if for any edges e and f of w there is a cocircuit b with $w \cap b = \{e, f\}$.*

Proof. The ‘only if’ direction is immediate from Lemma 2.4. For the ‘if’ direction, pick a circuit $o \subseteq w$. If $o \neq w$ then we can find $e \in o$ and $f \in w \setminus o$, and choosing b a cocircuit with $b \cap w = \{e, f\}$, we get $b \cap o = \{e\}$, contradicting Lemma 2.6. \square

Lemma 2.8. *Let M be a matroid and $\mathcal{C}, \mathcal{D} \subseteq \mathcal{P}(E)$ such that every M -circuit is a union of elements of \mathcal{C} , every M -cocircuit is a union of elements of \mathcal{D} and $|C \cap D| \neq 1$ for every $C \in \mathcal{C}$ and every $D \in \mathcal{D}$.*

Then $\mathcal{C}(M) \subseteq \mathcal{C} \subseteq \mathcal{S}(M)$ and $\mathcal{C}(M^) \subseteq \mathcal{D} \subseteq \mathcal{S}(M^*)$*

Proof. We begin by showing that $\mathcal{C}(M) \subseteq \mathcal{C}$. For any circuit o of M , pick an element e of o . Since o is a union of elements of \mathcal{C} there is $o' \in \mathcal{C}$ with $e \in o' \subseteq o$. Suppose for a contradiction that o' isn't the whole of o , so that there is $f \in o \setminus o'$. By Lemma 2.4 there is some cocircuit b of M with $o' \cap b = \{e\}$. Then we can find $b' \in \mathcal{D}$ with $e \in b' \subseteq b$, and so $o' \cap b' = \{e\}$, giving the desired contradiction. Similarly we obtain that $\mathcal{C}(M^*) \subseteq \mathcal{D}$.

The fact that $\mathcal{C} \subseteq \mathcal{S}(M)$ is immediate from Lemma 2.6 since $\mathcal{C}(M^*) \subseteq \mathcal{D}$, and the proof that $\mathcal{D} \subseteq \mathcal{S}(M^*)$ is similar. \square

3 What is a Ψ -matroid?

In this section we shall review the definitions of Ψ -circuits and Ψ^G -bonds for a graph G with a specified set Ψ of ends. Much of what we say will be a review of the early parts of [10], though we shall work in a slightly more general context: in [10], only finitely separable graphs are considered (a graph is *finitely separable* if any two vertices lie on opposite sides of some finite cut). We shall rely on [10] for the results we need about finitely separable graphs.

We say that two rays in a graph G are *equivalent* if they cannot be separated by removing finitely many vertices from G . An *end* of G is an equivalence class of rays under this relation, and the set of ends of G is denoted $\Omega(G)$.

Let d be the distance function on $V(G) \sqcup (0, 1) \times E(G)$ considered as the ground set of the simplicial 1-complex formed from the vertices and edges of G . We define a topology VTOP on the set $V(G) \sqcup \Omega(G) \sqcup (0, 1) \times E(G)$ by taking basic open neighbourhoods as follows:

- For $v \in V(G)$, the basic open neighbourhoods of v are the ϵ -balls $B_\epsilon(v) = \{x \mid d(v, x) < \epsilon\}$ for $\epsilon \leq 1$.
- For $(x, e) \in (0, 1) \times E$ we say (x, e) is an *interior point* of e , and take the basic open neighbourhoods to be the ϵ -balls about (x, e) with $\epsilon \leq \min(x, 1 - x)$.
- For $\omega \in \Omega(G)$, the basic open neighbourhoods of ω will be parametrised by the finite subsets S of $V(G)$. Given such a subset, we let $C(S, \omega)$ be the unique component of $G - S$ that contains a ray from ω , and let $\hat{C}(S, \omega)$ be the set of all vertices and inner points of edges contained in or incident with $C(S, \omega)$, and of all ends represented by a ray in $C(S, \omega)$. We take the basic open neighbourhoods of ω to be the sets $\hat{C}(S, \omega)$.

We call the topological space obtained in this way $|G|$. We will need a fundamental lemma about this topology. A *comb* in G consists of a ray R together with infinitely many vertex-disjoint finite paths having precisely their first vertex on R . R is called the *spine* of the comb, and the final vertices of the paths are called the *teeth* of the comb.

Lemma 3.1. *Let G be a graph. Let X be a set of vertices of G and ω an end of G . Let R_ω be some ray in ω .*

Then ω is in the closure of X if and only if there is a comb with spine R_ω all of whose teeth are in X .

Proof. For the ‘if’ direction, let $\hat{C}(S, \omega)$ be a basic open neighbourhood of ω . Then only finitely many of the paths in the comb can meet S , so without loss of generality none of them do. Some tail of R must lie in $C(S, \omega)$, so without loss of generality the whole of R does. Then all teeth of the comb lie in $\hat{C}(S, \omega)$.

For the ‘only if’ direction, we apply Menger’s Theorem to get either infinitely many vertex-disjoint R_ω - X -paths or a finite vertex set S whose removal separates X from R_ω . In the first case we are done and in the second we get a contradiction to the assumption that ω lies in the closure of X . \square

For any set Ψ of ends of G , we set $\Psi^c = \Omega(G) \setminus \Psi$ and $|G|_\Psi = |G| \setminus \Psi^c$. This topological space, derived from a graph, seems almost to fit the notion of graph-like space explored in [6] (and closely related to the earlier work of [23]). We can make this precise as follows:

Definition 3.2. An *almost graph-like space* G is a topological space (also denoted G) together with a *vertex set* $V = V(G)$, an *edge set* $E = E(G)$ and for each $e \in E$ a continuous map $\iota_e: [0, 1] \rightarrow G$ such that:

- The underlying set of G is $V \sqcup (0, 1) \times E$

- For any $x \in (0, 1)$ we have $\iota_e(x) = (x, e)$.
- $\iota_e(0)$ and $\iota_e(1)$ are vertices (called the *endvertices* of e).
- $\iota_e|_{(0,1)}$ is an open map.

Such an almost graph-like space is a *graph-like space* if in addition for any $v, v' \in V$, there are disjoint open subsets U, U' of G partitioning $V(G)$ and with $v \in U$ and $v' \in U'$. This ensures that $V(G)$, considered as a subspace of G , is totally disconnected, and that G is Hausdorff.

Thus we can give $|G|_\Psi$ the structure of an almost graph-like space, with edge set $E(G)$ and vertex set $V(G) \cup \Psi$.

Let e be an edge in a graph-like space with $\iota_e(0) \neq \iota_e(1)$. Then ι_e is a continuous injective map from a compact to a Hausdorff space and so it is a homeomorphism onto its image. The image is compact and so is closed, and so is the closure of $(0, 1) \times \{e\}$ in G . So in this case ι_e is determined by the properties above and the topology of G . The same is true if $\iota_e(0) = \iota_e(1)$: in this case we can lift ι_e to a continuous map from $S^1 = [0, 1]/(0 = 1)$ to G , and argue as above that this map is a homeomorphism onto the closure of $(0, 1) \times \{e\}$ in G .

Definition 3.3. We say that two vertices v and v' of an almost graph-like space G are *equivalent* (denoted $v \sim v'$) if for any disjoint open subsets U, U' of G partitioning $V(G)$, v and v' lie on the same side of the partition. The *graph-like quotient* \tilde{G} of G is the space obtained from G by identifying equivalent vertices. \tilde{G} has the structure of a graph-like space with the same edge set as G and with vertex set $V(G)/\sim$.

Lemma 3.4. *If G is an almost graph-like space, then \tilde{G} is a graph-like space.*

Proof. It is clear that \tilde{G} is an almost graph-like space. Let $[v]_\sim$ and $[v']_\sim$ be distinct vertices of \tilde{G} . Then $v \not\sim v'$, and so there are disjoint open sets U and U' in G which partition $V(G)$ and with $v \in U$ and $v' \in U'$. Then any pair of equivalent vertices of G are either both in U or both in U' , so U and U' induce disjoint open subsets U/\sim and U'/\sim of \tilde{G} which partition the vertices of \tilde{G} and such that $[v]_\sim \in U/\sim$ and $[v']_\sim \in U'/\sim$. \square

We say that a cut b in a graph G is Ψ -*bounded* if the closure of b in $|G|_\Psi$ contains no ends. Thus if b is Ψ -bounded and ω is an end in Ψ then any ray to ω in G lies eventually on one side of b - we then say that ω is on that side of b .

Lemma 3.5. *Two vertices of $|G|_\Psi$ are equivalent if and only if they lie on the same side of every Ψ -bounded cut.*

Proof. For the ‘if’ direction, let v and v' be inequivalent vertices of $|G|_\Psi$, and let U and U' be disjoint open subsets partitioning $V(|G|_\Psi)$ with $v \in U$ and $v' \in U'$. Let b be the cut of G consisting of those edges with one endvertex in U and the other in U' . We shall show that b is Ψ -bounded. Let $\omega \in \Psi$. Without

loss of generality $\omega \in U$ and so there is some S with $\hat{C}(S, \omega) \subseteq U$. Let $e \in b$, so one endvertex is in U' . Then since U' is open some interior point of e is in U' , so that interior point of e isn't in $\hat{C}(S, \omega)$, so e doesn't meet $\hat{C}(S, \omega)$. Since e was arbitrary, $\hat{C}(S, \omega) \cap b = \emptyset$ and so ω isn't in the closure of b , as required.

For the 'only if' direction, let v and v' be equivalent vertices of $|G|_\Psi$ and let b be a Ψ -bounded cut of G . For each end $\omega \in \Psi$ there is by the definition of Ψ -boundedness a basic open set $U_\omega = \hat{C}(S_\omega, \omega)$ that doesn't meet b . Each set $C(S_\omega, b)$ is connected and so lies entirely on one side of b . Letting the sides of b be X and X' , we may take $U = \bigcup_{v \in V(G) \cap X} B_{\frac{1}{2}}(v) \cup \bigcup_{\omega \in \Psi \cap X} U_\omega$ and $U' = \bigcup_{v \in V(G) \cap X'} B_{\frac{1}{2}}(v) \cup \bigcup_{\omega \in \Psi \cap X'} U_\omega$. Now since v and v' are equivalent they must either be both in U or both in U' , so they lie on the same side of b . Since b was arbitrary, we are done. \square

For a vertex v of G and a ray R of G , we say that v *dominates* R if there are infinitely many paths from v to R , vertex-disjoint except at v . We say that v *dominates* some end ω if it dominates some ray (or equivalently all rays) in ω .

Lemma 3.6. *Let $v \in V(G)$ dominate some end $\omega \in \Psi$. Then v and ω are equivalent as vertices of $|G|_\Psi$.*

Proof. Let R be a ray in ω and let $(P_i | i \in \mathbb{N})$ be a sequence of paths from v to ω meeting only at v . Suppose for a contradiction that there is a Ψ -bounded cut with v and ω on opposite sides. Then R must eventually lie on the same side of b as ω , so without loss of generality it lies entirely on that side. For each P_i , let v_i be the first vertex of P_i on the same side of b as ω . Then R together with the paths $v_i P_i$ forms a comb, so by Lemma 3.1 the end ω is in the closure of the set of teeth v_i , so it is in the closure of b , which is the desired contradiction. \square

We let \simeq be the smallest equivalence relation identifying any vertex with any end that it dominates. If G is finitely separable, then by [[10], Lemma 6], no two vertices will be equivalent under \simeq . In [10], the topological space \tilde{G}_Ψ is defined, for G a finitely separable graph, to be the quotient of $|G|_\Psi$ by \simeq . By the above lemma, \sim refines \simeq and so there is a continuous quotient map $f_G: \tilde{G}_\Psi \rightarrow \widehat{|G|_\Psi}$.

Lemma 3.7. *If G is finitely separable, then f_G is an homeomorphism.*

Proof. Since f is a quotient map, it suffices to show that it is injective.

Let v and v' be vertices of \tilde{G}_Ψ . By [[10], Lemma 6], there is a finite set F of edges such that v and v' lie in disjoint open subsets of $\tilde{G}_\Psi \setminus (0, 1) \times F$ whose union is $\tilde{G}_\Psi \setminus (0, 1) \times F$. Let C be the connected component of $G \setminus F$ containing v (or a ray to v if v is an end), and let $b \subseteq F$ be the cut consisting of edges with one endvertex in C and the other not. Since b is finite, it is a Ψ -bounded cut, and so $v \not\sim v'$, as required. \square

We therefore extend the definition in [10] by taking \tilde{G}_Ψ for G an arbitrary graph to be the graph-like quotient of $|G|_\Psi$.

In [6], topological circuits and topological bonds are defined in any graph-like space. A *circuit* of \tilde{G}_Ψ , or just a Ψ -*circuit*, is an edge set whose \tilde{G}_Ψ -closure is homeomorphic to the unit circle. A *bond* of \tilde{G}_Ψ , or just a $\Psi^{\mathbb{C}}$ -*bond*, is an edge set of a minimal nonempty Ψ -bounded cut. In the following sense the Ψ -circuits and $\Psi^{\mathbb{C}}$ -bonds behave like the circuits and cocircuits of some matroid.

Lemma 3.8. *No Ψ -circuit meets any $\Psi^{\mathbb{C}}$ -bond in a single edge.*

Proof. Suppose for a contradiction that some Ψ -circuit o meets some $\Psi^{\mathbb{C}}$ -bond b in a single edge f

Then \tilde{G}_Ψ with all the interior points of edges of b removed has two connected components, namely the two sides of the bond. This contradicts the fact that $o - f$ is connected and contains both endvertices of f . \square

We say that (G, Ψ) *induces a matroid* M if $E(M) = E(G)$ and the M -circuits are the Ψ -circuits and the M -cocircuits are the $\Psi^{\mathbb{C}}$ -bonds. In this case, we call M the Ψ -*matroid* of G . Even if we don't get a matroid, we call $(\mathcal{C}, \mathcal{D})$, where \mathcal{C} is the set of Ψ -circuits of G and \mathcal{D} is the set of $\Psi^{\mathbb{C}}$ -bonds of G , the Ψ -*system* of G .

A Ψ -*tree* is an edge set maximal with the property that it includes no Ψ -circuit. The main results of Diestel and Pott [10] are phrased in terms of Ψ -trees. These results let them to suspect that the Ψ -trees are the bases of some matroid. Although we shall mostly work with Ψ -circuits and $\Psi^{\mathbb{C}}$ -cocircuits instead, the fact that our results do confirm this suspicion in many cases follows from the following lemma.

Lemma 3.9. *If (G, Ψ) induces a matroid, then the bases of this matroid are the Ψ -trees.* \square

We say that G and G^* are *plane duals* if there is an isomorphism ι from $E(G)$ to $E(G^*)$ that maps the G -cycles to the G^* -bonds. In [8], it is proved that ι induces a bijection ι_Ω between the ends of G and the ends of G^* . Then Lemma 3.9 yields the following.

Corollary 3.10. *Let G and G^* be two finitely separable graphs that are plane duals, as witnessed by some map ι . If (G, Ψ) induces a matroid, then its dual is induced by $(G^*, \iota_\Omega(\Psi^{\mathbb{C}}))$.* \square

4 Orthogonality axioms

The purpose of this section is to axiomatize countable matroids in a new way using more axioms, each of which is simpler to check. This makes the process of testing whether a given system is a matroid more straightforward. We were motivated by Section 2.2 from [4].

The *orthogonality axioms* are as follows, where we think of \mathcal{C} as the set of circuits of the matroid and \mathcal{D} the set of cocircuits.

(C1) $\emptyset \notin \mathcal{C}$

(C2) No element of \mathcal{C} is a subset of another.

(C1*) $\emptyset \notin \mathcal{D}$

(C2*) No element of \mathcal{D} is a subset of another.

(O1) $|C \cap D| \neq 1$ for all $C \in \mathcal{C}$ and $D \in \mathcal{D}$.

(O2) For all partitions $E = P_{C_0} \dot{\cup} P_{D_e} \dot{\cup} \{e\}$ either $P_{C_0} + e$ includes an element of \mathcal{C} through e or $P_{D_e} + e$ includes an element of \mathcal{D} through e .

(O3) For every $C \in \mathcal{C}$, $e \in C$ and $X \subseteq E$, there is some $C_{min} \in \mathcal{C}$ with $e \in C_{min} \subseteq X \cup C$ such that $C_{min} \setminus X$ is minimal.

(O3*) For every $D \in \mathcal{D}$, $e \in D$ and $X \subseteq E$, there is some $D_{min} \in \mathcal{D}$ with $e \in D_{min} \subseteq X \cup D$ such that $D_{min} \setminus X$ is minimal.

The aim of the rest of this section will be to show the following:

Theorem 4.1. *Let E be a countable set and let $\mathcal{C}, \mathcal{D} \subseteq \mathcal{P}(E)$.*

Then \mathcal{C} is the set of circuits of a matroid and \mathcal{D} is the set of cocircuits of the same matroid if and only if \mathcal{C} and \mathcal{D} satisfy the orthogonality axioms.

To determine, rather than define, a matroid, the last four of the orthogonality axioms suffice. What we mean by this slightly subtle distinction is captured by the following strengthening of the theorem above:

Theorem 4.2. *Let E be a countable set and let $\mathcal{C}, \mathcal{D} \subseteq \mathcal{P}(E)$.*

Then there is a matroid M such that $\mathcal{C}(M) \subseteq \mathcal{C} \subseteq \mathcal{S}(M)$ and $\mathcal{C}(M^) \subseteq \mathcal{D} \subseteq \mathcal{S}(M^*)$ if and only if \mathcal{C} and \mathcal{D} satisfy the last four orthogonality axioms.*

First we show the following lemma. For a set $\mathcal{C} \subseteq \mathcal{P}(E)$, let \mathcal{C}^\perp be the set of those subsets of E that meet no element of \mathcal{C} just once. Note that (O1) is equivalent to \mathcal{D} being a subset of \mathcal{C}^\perp .

Lemma 4.3. *Let $\mathcal{C} \subseteq \mathcal{P}(E)$. Then \mathcal{C} and \mathcal{C}^\perp satisfy (O2) if and only if \mathcal{C} satisfies circuit elimination (C3).*

Proof. For the “if” implication, suppose we are given a partition $E = P_{C_0} \dot{\cup} P_{D_e} \dot{\cup} \{e\}$ where $e \in E$ such that $P_{C_0} + e$ does not include an element of \mathcal{C} through e .

Let D consist of those elements x of $P_{D_e} + e$ such that $P_{C_0} + x$ does not include an element of \mathcal{C} through x .

Suppose for a contradiction that $D \notin \mathcal{C}^\perp$. Then there is some $C \in \mathcal{C}$ meeting D only in a single element z . Let $X = C \cap ((P_{D_e} + e) \setminus D)$. For any $x \in X$ pick an element C_x of \mathcal{C} such that $C_x \subseteq P_{C_0} + x$ and $x \in C_x$. Applying circuit elimination to z, C, X and the C_x yields an element of \mathcal{C} meeting $P_{D_e} + e$ exactly in z , which contradicts the choice of z .

It remains to show the “only if”-implication. Suppose we are given z, C, X and the C_x as in the circuit elimination axiom. Put $e = z$, and $P_{C_0} = (C \cup \bigcup_{x \in X} C_x) \setminus (X + z)$, and $P_{D_e} = (E \setminus P_{C_0}) - z$.

To prove circuit elimination, it remains to show that there is no element $D \subseteq P_{D_e} + z$ of \mathcal{C}^\perp through z . Since $z \in C$ and $C \cap D \subseteq X + z$, any such set D would contain some $x \in X$ since $D \in \mathcal{C}^\perp$. But then $C_x \cap D = \{x\}$, which is impossible since $D \in \mathcal{C}^\perp$. This completes the proof. \square

Proof of Theorem 4.2. First we show the “only if”-implication. The axiom (O1) follows from Lemma 2.6. To show (O2) consider the matroid M_e on $\{e\}$ obtained from M by contracting P_{C_o} and deleting P_{D_e} . If M_e is a loop, then by Lemma 2.5 $P_{C_o} + e$ includes a circuit through e , and if M_e is a co-loop, then by the dual of Lemma 2.5 $P_{D_e} + e$ includes a cocircuit through e .

By duality, it remains to show (O3). For this we consider the matroid M_X obtained from M by contracting $X - e$. Note that $(C \setminus X) + e$ is an M_X -scrawl. Hence we may pick any M_X -circuit through e included in $(C \setminus X) + e$. By Lemma 2.5, this circuit extends to an M -circuit C_{min} , which has the desired properties. This completes the proof of the “only if”-implication.

For the “if”-implication, our aim is to show that the set \mathcal{C}_{min} of minimal non-empty elements of \mathcal{C} is the set of circuits of a matroid M . Note that circuit elimination (C3) for \mathcal{C} follows from Lemma 4.3, and this implies circuit elimination for \mathcal{C}_{min} using (O3).

Next, we prove (CM) for \mathcal{C}_{min} . Suppose we are given a set I not including an element of \mathcal{C}_{min} and a set X with $I \subseteq X \subseteq E$. Put $I_0 = I$ and $J_0 = E \setminus X$.

Let e_1, e_2, \dots be an enumeration of X . We shall construct a partition of E into I_∞ and J_∞ such that I_∞ is a base of X . The construction will be recursive. So we take $I_\infty = \bigcup_{n \in \mathbb{N}} I_n$ and $J_\infty = \bigcup_{n \in \mathbb{N}} J_n$ where we construct the I_n and J_n both at step n to satisfy the following conditions.

1. I_n and J_n are disjoint.
2. $I_j \subseteq I_n$ for all $j \leq n$.
3. $J_j \subseteq J_n$ for all $j \leq n$.
4. $e_n \in I_n \cup J_n$.
5. If $e_n \in I_n$, then there is some $D \in \mathcal{D}$ with $D \subseteq J_n + e_n$ through e_n .
6. If $e_n \in J_n$, then there is some $C \in \mathcal{C}$ with $C \subseteq I_n + e_n$ through e_n .
7. If J_n includes any $D \in \mathcal{D}$, then $D \subseteq J_0$.
8. If I_n includes any $C \in \mathcal{C}$, then $C \subseteq I_0$. (That is, $C = \emptyset$: this condition says that I_n is independent.)

What we do at step n depends on whether there is any $C \in \mathcal{C}$ with $e_n \in C \subseteq I_{n-1} + e_n$. If there is such a C , we let $I_n = I_{n-1}$ and $J_n = J_{n-1} + e_n$. The only nontrivial condition in this case is (7). By the induction hypothesis, any D violating this condition would contain e_n and so would meet C just once, contradicting (O1).

Next, we consider the case that $e_n \notin J_{n-1}$. In this case, we let $I_n = I_{n-1} + e_n$, but the construction of J_n is more complex. First, we note that by (O2) applied

to e_n , I_{n-1} and $E \setminus I_{n-1} - e_n$ we can obtain some $D \in \mathcal{D}$ with $e_n \in D \subseteq E \setminus I_{n-1}$. Then using (O3*) we may assume that D is chosen with these properties so that $D \setminus J_{n-1}$ is minimal. We take $J_n = (J_{n-1} \cup D) - e_n$.

Once more, the only nontrivial condition is (7). Suppose for a contradiction that there is some D' violating this condition. Then D' must meet $D \setminus J_{n-1}$ in some element x . We showed above that \mathcal{C} satisfies (C3), and by symmetry we may also show that \mathcal{D} satisfies (C3). We apply this with $X = \{x\}$ to D and D' to obtain $D'' \in \mathcal{D}$ with $e_n \in D'' \subseteq (D \cup J_{n-1}) - x$, contradicting the minimality of $D \setminus J_{n-1}$.

The remaining case is that $e_n \in J_{n-1}$. In this case, we let $J_n = J_{n-1}$ and, dualising the construction from the last case, we choose $C \in \mathcal{C}$ such that $e_n \in C \subseteq E \setminus (J_{n-1} - e_n)$ and $C \setminus I_{n-1}$ is minimal subject to these conditions. This construction succeeds for a reason dual to that given in the last case.

This completes the recursive construction. As promised, we take $I_\infty = \bigcup_{n \in \mathbb{N}} I_n$ and $J_\infty = \bigcup_{n \in \mathbb{N}} J_n$. It is clear that this is a partition of E . Next, we show that I_∞ includes no element C of \mathcal{C}_{min} . Suppose for a contradiction that there is such a C . Then there is some n with e_n in C . Then by (5) there is some $D \in \mathcal{D}$ with $e_n \in D \subseteq J_n + e_n \subseteq J_\infty + e_n$, so that $C \cap D = \{e_n\}$, violating (O1).

We can also show that I_∞ is maximal amongst the independent subsets of X . Suppose for a contradiction that there is a bigger independent set I' , and pick some n with $e_n \in I' \setminus I$. Then by (6) there is $C \in \mathcal{C}$ with $e_n \in C \subseteq I_n + e_n \subseteq I'$, contradicting the independence of I' as by (O3), C is a union of elements of \mathcal{C}_{min} . This completes the proof that \mathcal{C}_{min} is the set of circuits of some matroid M .

By (O3), every element of \mathcal{C} is a union of elements of $\mathcal{C}(M)$. Hence $\mathcal{C}(M) \subseteq \mathcal{C} \subseteq \mathcal{S}(M)$. (O1) and Lemma 2.6 imply that $\mathcal{D} \subseteq \mathcal{S}(M^*)$. It remains only to show that $\mathcal{C}(M^*) \subseteq \mathcal{D}$. So let D be any cocircuit of M . Let $e \in D$, and apply (O2) to e , $E \setminus D$ and $D - e$. There can't be $C \in \mathcal{C}$ with $e \in C \subseteq (E \setminus D) + e$, as then we would have $C \cap D = \{e\}$, which is impossible with C a scrawl and D a cocircuit. So there is some $D' \in \mathcal{D}$ with $e \in D' \subseteq D$, and we must have $D' = D$ since no nonempty proper subset of D can be a scrawl of M^* . \square

We are left with the open questions of whether the restriction that E should be countable can be removed from Theorems 0.1 and 0.2, or if not whether there is a simple axiom which can be added to fix this defect.

We can also show that for tame matroids we do not need (O3) or (O3)*. More precisely:

Theorem 4.4. *Let \mathcal{C} and \mathcal{D} be sets of subsets of a countable set E satisfying (O1) and (O2), and such that for any $C \in \mathcal{C}$ and any $D \in \mathcal{D}$ the intersection $C \cap D$ is finite. Then \mathcal{C} and \mathcal{D} also satisfy (O3) and (O3)*, so that they induce a matroid as above.*

Proof. By symmetry, it is enough to show (O3). Let $C \in \mathcal{C}$, $e \in C$ and $X \subseteq E$. Let \mathcal{Y} be the set of subsets Y of $C \setminus X$ such that $e \in Y$ and for every $D \in \mathcal{D}$ with $D \cap X = \emptyset$ we have $|Y \cap D| \neq 1$. We will use Zorn's Lemma to show that

\mathcal{Y} has a minimal element. \mathcal{Y} is nonempty because it contains $C \setminus X$ by (O1). Let \mathcal{Z} be a nonempty chain of elements of \mathcal{Y} . We shall show that $\bigcap \mathcal{Z}$ is in \mathcal{Y} and so forms a lower bound for \mathcal{Z} there. Evidently $e \in \bigcap \mathcal{Z}$. For any $D \in \mathcal{D}$ with $D \cap X = \emptyset$ we know that $D \cap (C \setminus X)$ is finite and so we can find a finite subset \mathcal{Z}' of \mathcal{Z} such that for any $f \in D \cap C \setminus \bigcap \mathcal{Z}$ there is $Z \in \mathcal{Z}'$ such that $f \notin Z$. Let Z be the least element of \mathcal{Z}' . Then $|\bigcap \mathcal{Z} \cap D| = |Z \cap D| \neq 1$.

Let Y be a minimal element of \mathcal{Y} . We apply (O2) to the partition $E = (X \cup Y - e) \dot{\cup} (E \setminus X \setminus Y) \dot{\cup} \{e\}$. By the definition of \mathcal{Y} there is no $D \in \mathcal{D}$ with $e \in D \subseteq E \setminus X \setminus Y$, so there is some $C_{min} \in \mathcal{C}$ with $e \in C_{min} \subseteq X \cup Y$. For any other $C' \in \mathcal{C}$ with $e \in C' \subseteq X \cup C$, we have $C' \setminus X \in \mathcal{Y}$ by (O1) and so $C_{min} \setminus X \subseteq C' \setminus X$. \square

In the following, we look at how tameness, (O1) and (O2) look for \mathcal{C} the set of Ψ -circuits and \mathcal{D} the set of $\Psi^{\mathbb{G}}$ -bonds for a locally finite graph G and $\Psi \subseteq \Omega(G)$. We abbreviate $G_{\Psi} = |G| \setminus (\Omega(G) \setminus \Psi)$.

First, we look at tameness. If a Ψ -circuit o and a $\Psi^{\mathbb{G}}$ -bond b meet infinitely, this gives rise to a minimal cover of o with infinitely many open sets, contradicting the compactness of o . Hence tameness is implied by the fact that every circuit is compact.

Next, we look at (O1). If we have a Ψ -circuit o , then for every $e \in o$, the closure of $o - e$ in G_{Ψ} is still connected and hence there cannot be a $\Psi^{\mathbb{G}}$ -bond b meeting o only in e . Thus (O1) is implied by the fact that for every Ψ -circuit o and every $e \in o$, the closure of $o - e$ in G_{Ψ} is connected.

Finally, we look at (O2), so we are given a partition $E = P_{C_o} \dot{\cup} P_{D_e} \dot{\cup} \{e\}$. Let \bar{P}_{C_o} be the closure of the edge set P_{C_o} in G_{Ψ} . Let us consider the topological space $G_{\Psi} \cap \bar{P}_{C_o}$. Then (O2) says that we either find an arc joining the two endvertices of e or we find a $\Psi^{\mathbb{G}}$ -bond whose induced topological bond separates the two endvertices. The first is equivalent to the statement that the two endvertices of e are in the same arc-component since the topological circles in $|G|_{\Psi}$ are precisely the Ψ -circuits.

The second is equivalent to the statement that the two endvertices of e are not in the same connected component. Indeed, if there is a such a bond, then the two endvertices are clearly not in the same connected component. For the converse, we assume that there is an open partition of $|G|_{\Psi}$ into two sets C_1 and C_2 with the two endvertices of e on different sides. Let V_1 be the set of those vertices in C_1 . Then the set of edges crossing the G -separation $(V_1, V(G) \setminus V_1)$ is a (possibly infinite) cut of G . This cut is a disjoint union of bonds, which are all $\Psi^{\mathbb{G}}$ -bonds. From these, the bond including e is the desired one.

Hence (O2) is equivalent to the following: The two endvertices of e lie in the same connected component of $G_{\Psi} \cap \bar{P}_{C_o}$ if and only if they lie in the same arc-component of $G_{\Psi} \cap \bar{P}_{C_o}$.

The question whether any connected subspace of $|G|$ is path-connected was solved by Georgakopoulos in [13]. Indeed, he constructed a locally finite graph G such that $|G|$ has a subset S that is connected but not path-connected. Note that since $|G|$ is a Hausdorff space, path-connectedness is equivalent to arc-connectedness. It is straightforward to show that $(G, S \cap \Omega(G))$ does not induce

a matroid since it does not satisfy (O2) for $P_{C_o} = S \cap E(G)$. We note for future reference that Georgakopoulos's argument heavily relies on the Axiom of Choice. The purpose of this paper is to examine for which G and Ψ , the pair (G, Ψ) induces a matroid.

5 Trees of matroids I

We wish to paste together infinite collections of matroids to obtain interesting new infinite matroids. Before we can be more explicit about this construction, we must give a precise account of the configurations of matroids we will seek to paste together. These will be given by tree-like structures.

Definition 5.1. A *tree \mathcal{T} of matroids* consists of a tree T , together with a function M assigning to each node t of T a matroid $M(t)$ on ground set $E(t)$, such that for any two nodes t and t' of T , if $E(t) \cap E(t')$ is nonempty then tt' is an edge of T .

For any edge tt' of T we set $E(tt') = E(t) \cap E(t')$. We also define the *ground set of \mathcal{T}* to be $E = E(\mathcal{T}) = \left(\bigcup_{t \in V(T)} E(t) \right) \setminus \left(\bigcup_{tt' \in E(T)} E(tt') \right)$.

We shall refer to the edges which appear in some $E(t)$ but not in E as *dummy edges* of $M(t)$: thus the set of such dummy edges is $\bigcup_{tt' \in E(T)} E(tt')$.

The idea is that the dummy edges are to be used only to give information about how the matroids are to be pasted together, but they will not be present in the final pasted matroid, which will have ground set $E(\mathcal{T})$.

We shall consider a couple of different sorts of pasting. First, in this section, we will consider a type of pasting corresponding to 2-sums. Later, in Section 7, we will define a type of pasting along larger separators. In each case, we will make use of some additional information to control the behaviour at infinity: a set Ψ of ends of T . The first type of pasting is only possible for a restricted class of trees of matroids.

Definition 5.2. A tree $\mathcal{T} = (T, M)$ of matroids is of *overlap 1* if, for every edge tt' of T , $|E(tt')| = 1$. In this case, we denote the unique element of $E(tt')$ by $e(tt')$.

Given a tree of matroids of overlap 1 as above and a set Ψ of ends of T , a Ψ -*pre-circuit* of \mathcal{T} consists of a connected subtree C of T together with a function o assigning to each vertex t of C a circuit of $M(t)$, such that all ends of C are in Ψ and for any vertex t of C and any vertex t' adjacent to t in T , $e(tt') \in o(t)$ if and only if $t' \in C$. The set of Ψ -pre-circuits is denoted $\bar{\mathcal{C}}(\mathcal{T}, \Psi)$.

Any Ψ -pre-circuit (C, o) has an *underlying set* $(C, o) = E \cap \bigcup_{t \in V(C)} o(t)$. Nonempty subsets of E arising in this way are called Ψ -*circuits* of \mathcal{T} . The set of Ψ -circuits of \mathcal{T} is denoted $\mathcal{C}(\mathcal{T}, \Psi)$.

We shall show in Section 6 that $\mathcal{C}(\mathcal{T}, \Psi)$ very often gives the set of circuits of a matroid on $E_{\mathcal{T}}$. To do this, we will make use of the orthogonality axioms, and so we will also need a specified collection of putative cocircuits. These will

be given by the $\Psi^{\mathbb{G}}$ -circuits of a tree of matroids dual to \mathcal{T} . Not only is there a natural notion of duality for trees of matroids, there are also natural notions of contraction and deletion.

Definition 5.3. Let $\mathcal{T} = (T, M)$ be a tree of matroids. Then the *dual* \mathcal{T}^* of \mathcal{T} is given by (T, M^*) , where M^* is the function sending t to $(M(t))^*$. For a subset C of the ground set, the tree of matroids \mathcal{T}/C obtained from \mathcal{T} by *contracting* C is given by $(T, M/C)$, where M/C is the function sending t to $M(t)/(C \cap E(t))$. For a subset D of the ground set, the tree of matroids $\mathcal{T} \setminus D$ obtained from \mathcal{T} by *deleting* D is given by $(T, M \setminus D)$, where $M \setminus D$ is the function sending t to $M(t) \setminus (C \cap E(t))$. We say that a tree of matroids \mathcal{T} of overlap 1 together with a set Ψ of its ends *induce a matroid* $M = M(\mathcal{T}, \Psi)$ if $\mathcal{C}(M) \subseteq \mathcal{C}(\mathcal{T}, \Psi) \subseteq \mathcal{S}(M)$ and $\mathcal{C}(M^*) \subseteq \mathcal{C}(\mathcal{T}^*, \Psi^{\mathbb{G}}) \subseteq \mathcal{S}(M^*)$.

Lemma 5.4. *For any tree \mathcal{T} of matroids, $\mathcal{T} = \mathcal{T}^{**}$. For any disjoint subsets C and D of the ground set of \mathcal{T} we have $(\mathcal{T}/C)^* = \mathcal{T}^* \setminus C$, $(\mathcal{T} \setminus D)^* = \mathcal{T}^* / D$ and $\mathcal{T}/C \setminus D = \mathcal{T} \setminus D / C$. If \mathcal{T} has overlap 1 and (\mathcal{T}, Ψ) induces a matroid M , then $(\mathcal{T}/C \setminus D, \Psi)$ induces the matroid $M/C \setminus D$ and $(\mathcal{T}^*, \Psi^{\mathbb{G}})$ induces the matroid M^* . \square*

We will sometimes use the expression $\Psi^{\mathbb{G}}$ -cocircuits of \mathcal{T} for the $\Psi^{\mathbb{G}}$ -circuits of \mathcal{T}^* .

We will examine the question of when (\mathcal{T}, Ψ) induces a matroid using the orthogonality axioms. The question of whether (O2) holds for these systems is tricky and will be addressed in Section 6. However, we are already in a position to give simple proofs of (O1), and of tameness if all the $M(t)$ are tame.

Lemma 5.5 ((O1) for trees of matroids of overlap 1). *Let $\mathcal{T} = (T, M)$ be a tree of matroids, Ψ a set of ends of T , and let (C, o) and (D, b) be respectively a Ψ -pre-circuit of \mathcal{T} and a $\Psi^{\mathbb{G}}$ -pre-circuit of \mathcal{T}^* . Then $|(C, o) \cap (D, b)| \neq 1$.*

Proof. Suppose for a contradiction that $|(C, o) \cap (D, b)| = \{e\}$, with $e \in t_0$. We recursively construct a sequence of nodes $t_n \in C \cap D$ forming a ray from t_0 . To construct t_n , we note that $o(t_{n-1})$ meets $b(t_{n-1})$ (in e if $n = 1$, and in $e(t_{n-2}t_{n-1})$ if $n > 1$), so since they are respectively a circuit and a cocircuit of $M(t_{n-1})$ they must meet at least twice. Since they cannot meet in any edge of E , they must meet in some edge $e(t_{n-1}t_n)$ with t_n adjacent to t_{n-1} in T and $t_n \neq t_{n-2}$ (for $n > 1$). It follows that $t_n \in C \cap D$. Then the end of this ray is in Ψ by the definition of (C, o) and is in $\Psi^{\mathbb{G}}$ by the definition of (D, b) , which is the desired contradiction. \square

Lemma 5.6 (Tameness for trees of tame matroids of overlap 1). *Let $\mathcal{T} = (T, M)$ be a tree of tame matroids, Ψ a set of ends of T , and let (C, o) and (D, b) be respectively a Ψ -pre-circuit of \mathcal{T} and a $\Psi^{\mathbb{G}}$ -pre-circuit of \mathcal{T}^* . Then $(C, o) \cap (D, b)$ is finite.*

Proof. Otherwise $C \cap D$ is infinite, and is locally finite since the $M(t)$ are all tame, and so it has an end ω of T in its closure. Then ω is in Ψ by the definition of (C, o) and is in $\Psi^{\mathbb{G}}$ by the definition of (D, b) , which is a contradiction. \square

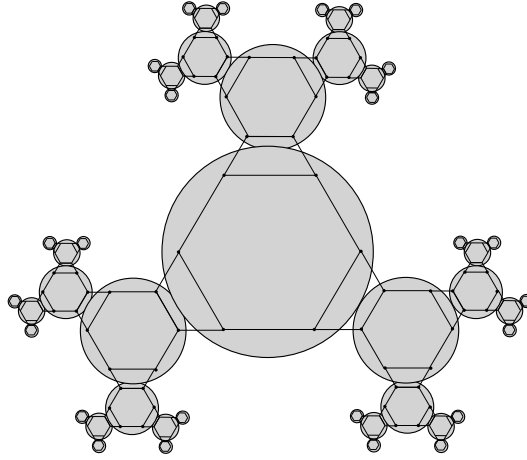


Figure 1: A tree structure on the Wild Cycle Graph

We shall later show that any Ψ -system for a locally finite graph can be recovered by a more complex version of the construction above from a tree of finite matroids. We illustrate this by showing that many interesting Ψ -systems can already be recovered from the construction given above.

Definition 5.7. Let G be a graph. A *tree structure* on G is a tree T whose nodes form a partition of the vertices of G , such that distinct nodes are adjacent in T if and only if they contain adjacent vertices of G and the induced subgraph on each partition class is finite and connected. A tree structure *has width 2* if and only if for any pair of adjacent partition classes in T there are precisely 2 edges of G with one endvertex in each class.

Remark 5.8. Any tree structure T on G induces a tree decomposition of G , in which the parts are the sets $E(t, t')$ of edges of G with one endvertex in t and the other in t' , for t and t' (not necessarily distinct) nodes of T .

Example 5.9. The wild cycle graph (so called because it includes a wild cycle in the sense of [9]), depicted in Figure 1, has a tree structure of width 2. The grey blobs represent the nodes of the tree.

Lemma 5.10. Let T be a tree structure on a locally finite graph G . Then there is a canonical homeomorphism from the ends of G to the ends of T , sending an end ω of G to the unique end in the closure of the set of vertices of T that meet some ray R to ω .

Remark 5.11. We shall use this homeomorphism to identify the ends of T with those of G .

Proof. First, we show that for any ray R in G there is a unique end $\varphi(R)$ of T in the closure of the set of vertices of T that meet R . There is certainly at least one such end, since R is infinite and so must meet infinitely many of the (finite) partition classes. If there were 2, say ω and ω' , then for any vertex t of T whose removal separated ω and ω' , R would have to meet t infinitely often, which would be a contradiction.

A similar argument shows that $\varphi(R)$ only depends on the end of G containing R : if there were 2 equivalent rays R and R' in G , with $\varphi(R) \neq \varphi(R')$, then for any vertex t of T whose removal separated $\varphi(R)$ from $\varphi(R')$, R and R' would eventually have to lie in the same component of $G \setminus t$, and so the components of $T - t$ meeting R and R' infinitely often would be the same, which would be a contradiction.

Thus φ induces a map $\tilde{\varphi}$ taking ends of G to ends of T . This map is injective, because for any distinct ends ω and ω' of G there is a finite set X of vertices of G separating ω from ω' in G : the (finite) set of vertices of T containing elements of X then separates $\tilde{\varphi}(\omega)$ from $\tilde{\varphi}(\omega')$ in T . It is surjective, because for any ray R in T there is a ray in G meeting exactly the nodes of T on R (here we use the fact that each node t of T is connected in G). It is continuous because for any node t of T the components of $G \setminus t$ are precisely the unions of the components of $T - t$, and it is open by the same fact together with the fact that any finite set X of vertices of G is a subset of a union of finitely many nodes of T . \square

Definition 5.12. Given a graph G together with a tree structure T on G and a node t of T , the *torso* $\tau(t)$ of G at a node t is the graph constructed as follows: the vertices are the elements of t , together with a new dummy vertex v_e for each edge e of G with one endpoint in t and the other not in t . The edges are of three types: edges of G with both ends in t , an edge vv_e for each edge $e = vv'$ of G with $v \in t$ and $v' \in t'$ with t' adjacent to t , and an edge joining any two dummy vertices corresponding to edges of G from vertices in t to vertices in the same adjacent node t' of T .

For a graph G with a tree structure T this gives a corresponding tree of finite matroids $\mathcal{T}(G, T) = (T, t \mapsto M(\tau(t)))$.

Observe that if T has width 2, then $\mathcal{T}(G, T)$ has overlap 1.

Example 5.13. Each torso arising from the tree structure in Example 5.9 is isomorphic to the graph in Figure 2.

We shall see later that this is a particularly simple example of a tree structure of width 2, but it illustrates that the topological space $\Omega(G)$ may still be rich enough in such cases to support very complicated subsets Ψ . We end this section by showing that the construction outlined above does capture the Ψ -systems of graphs in the width 2 case.

Lemma 5.14. *Let G be a graph, and let T be a tree structure on G of width 2. Let Ψ be a set of ends of G . Let G' be the graph obtained from G by subdividing*

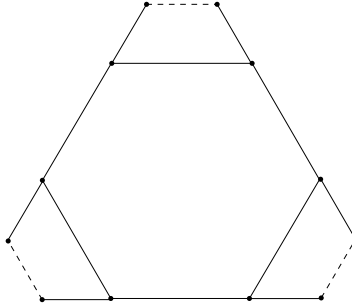


Figure 2: A typical torso of the Wild Cycle Graph

each edge which has endpoints in different nodes of T .³ Then the Ψ -circuits of G' are exactly the Ψ -circuits of $\mathcal{T}(G, T)$ and the $\Psi^{\mathbb{C}}$ -bonds of G' are exactly the $\Psi^{\mathbb{C}}$ -cocircuits of $\mathcal{T}(G, T)$.

Proof. First we show that every $\Psi^{\mathbb{C}}$ -bond of G' is a $\Psi^{\mathbb{C}}$ -cocircuit of $\mathcal{T}(G, T)$. Let \underline{b} be such a $\Psi^{\mathbb{C}}$ -bond. Let X be the set of vertices of G' on one side of \underline{b} . Let D be the set of vertices t of T such that $\tau(t)$ contains a vertex from X and a vertex not from X . Since both X and $V(G') \setminus X$ are connected, D is an intersection of 2 connected subsets of the tree T , and so is also connected. D doesn't include a ray to any end in Ψ , because \underline{b} is a $\Psi^{\mathbb{C}}$ -bond.

For each $t \in D$, let $b(t)$ be the $\tau(t)$ -cut of edges of $\tau(t)$ with one endpoint in X and the other not in X . Both sides of $b(t)$ are connected, since both X and $V(G') \setminus X$ are, so $b(t)$ is a circuit of $(M(\tau(t)))^*$. For any t' adjacent to t in T , let the shared dummy vertices of $\tau(t)$ and $\tau(t')$ be v_e and v_f . If $t' \notin D$ then v_e and v_f are on the same side of \underline{b} , so $e(tt') \notin b(t)$. If $t' \in D$, then since both X and $V(G') \setminus X$ are connected exactly one of v_e or v_f is in X , so $e(tt') \in b(t)$. Thus we obtain that $\underline{b} = (D, b)$ is a $\Psi^{\mathbb{C}}$ -cocircuit of $\mathcal{T}(G, T)$.

Next, we show that every Ψ -circuit of G' is a Ψ -circuit of $\mathcal{T}(G, T)$. Let \underline{o} be such a Ψ -circuit, and let C be the set of vertices t of T such that \underline{o} meets $\tau(t)$. For any $t \notin C$, there can only be one component of $T - t$ meeting C , since the unions of these components are separated by t in $G \setminus t$. Thus C is a subtree of T . Any end in the closure of C is also in the closure of \underline{o} and so must lie in Ψ .

For any $t \in C$, let $o(t)$ be the union of $\underline{o} \cap E(\tau(t))$ with the set of all edges ee' of $\tau(t)$ where e and e' are the two edges of G with endpoints in both t and t' for some t' adjacent to t in C . Then every vertex of $\tau(t)$ has degree 0 or 2 with respect to $o(t)$: this is immediate for vertices in t , and vertices given by edges with one endpoint in t and the other in t' have degree 0 if $t' \notin C$, 2 if $t' \in C$. To show that $o(t)$ is a circuit, it remains to show that it is connected. Suppose not, for a contradiction. Then there is a cut b of $\tau(t)$ not meeting $o(t)$ but with edges of $o(t)$ on both sides, so there is such a cut that doesn't contain

³formally, we add a new vertex v_e corresponding to each such edge $e = vv'$, and replace e in the set of edges by the two new edges vv_e and $v'v_e$.

any dummy edges. This cut is a finite cut of G not meeting \underline{o} but with edges of \underline{o} on both sides, which is the desired contradiction. Thus each $o(t)$ is a circuit in $M(\tau(t))$. Thus we obtain that $\underline{o} = \underline{(C, o)}$ is a Ψ -circuit of $\mathcal{T}(G, T)$.

To show that every $\Psi^{\mathbb{G}}$ -cocircuit $\underline{(D, b)}$ of $\mathcal{T}(G, T)$ is a $\Psi^{\mathbb{G}}$ -bond of G' , we pick any edge $e_0 \in \underline{(D, b)}$ and let X and Y be the sets of vertices in the same connected components of $G' \setminus \underline{(D, b)}$ as the endvertices x_0, y_0 of e_0 . If $X = Y$ then there is a finite circuit in G' meeting $\underline{(D, b)}$ just once, which is impossible by the argument above and Lemma 5.5. Let t_0 be the vertex of T with $e_0 \in \tau(t_0)$. We prove by induction on the distance of t from t_0 that $X \cup Y$ includes all vertices of $\tau(t)$ and if $t \in D$ then $b(t)$ is the set of edges of $\tau(t)$ with one end in X and the other in Y . This is immediate if $t = t_0$, since $b(t_0)$ is a bond of $\tau(t_0)$. For any other $t' \in V(T)$, let t be the neighbour of t' in the direction of t_0 . If $t' \in D$ then also $t \in D$ and so of the two dummy vertices shared by $\tau(t)$ and $\tau(t')$ one is in X and the other in Y , giving the result since $b(t')$ is a bond of $\tau(t')$. If $t' \notin D$ then the two dummy vertices shared by $\tau(t)$ and $\tau(t')$ are either both in X or both in Y , so either all vertices of $\tau(t')$ are in X or all of them are in Y . This shows that $\underline{(D, b)}$ is the bond of G' consisting of all edges with one end in X and the other in Y . It is a $\Psi^{\mathbb{G}}$ -bond since every end in its closure is in the closure of D and so is in $\Psi^{\mathbb{G}}$.

Finally, we show that every Ψ -circuit of $\mathcal{T}(G, T)$ is a Ψ -circuit of G' . Consider such a circuit $\underline{(C, o)}$. By the above argument and Lemma 5.5 it never meets a finite bond of G' just once and so, by Lemma 2.6 applied to the topological cycle matroid of G' it is a union of topological circuits. To show that it is the edge set of a single topological circle, it is enough by Lemma 2.7 to show that for any $e, f \in \underline{(C, o)}$ there is a finite bond b of G with $b \cap \underline{(C, o)} = \{e, f\}$. Consider the unique finite path t_1, \dots, t_n in T with $e \in E(\tau(t_1))$ and $f \in E(\tau(t_n))$. Let $e_0 = e, e_n = f$ and for $0 < i < n$ let $e_i = e(t_i t_{i+1})$. For each $i \leq n$ we let b_i be any bond of $\tau(t_i)$ with $b_i \cap o(t_i) = \{e_{i-1}, e_i\}$. Without loss of generality we may choose the b_i to contain no dummy edges other than the e_i . Then $\bigcup_{i=1}^n b_i \setminus E$ is the desired finite bond of G . Thus $\underline{(C, o)}$ is a topological circuit of G . It is a Ψ -circuit since every end in its closure is in the closure of C and so is in Ψ . \square

6 Determinacy and (O2) for trees of matroids of overlap 1

In Section 4, we saw that (O2) corresponds, for Ψ -systems, to a principle implying path-connectedness from connectedness. Here we will show that, for the systems arising from trees of matroids, (O2) has close links with determinacy of games. We begin by analysing an illuminating example.

Let \mathcal{T}^{game} be the tree of matroids given by (T_2, M^{game}) , as follows: T_2 is the infinite rooted binary tree (to fix notation, we take the vertices of T_2 to be the finite sequences from $\{0, 1\}$, with s adjacent to each of $s0$ and $s1$ for any such sequence s , and we call the empty sequence \emptyset). For any node s of T_2 , we take the ground set of $M^{game}(s)$ to be $\{d_s, d_{s0}, d_{s1}\}$ and we take $M^{game}(s)$ to

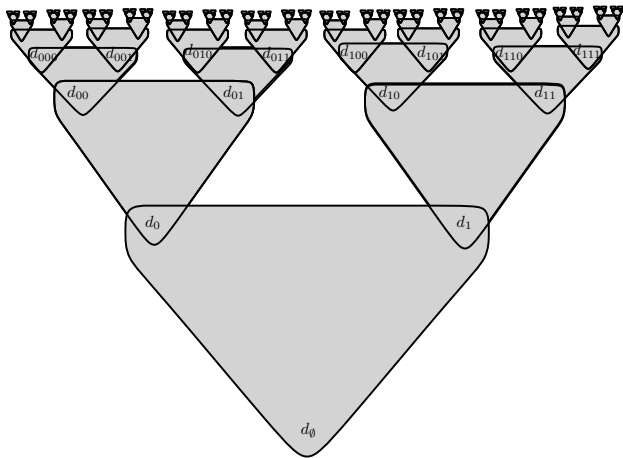


Figure 3: The tree of matroids \mathcal{T}^{game}

be uniform, of rank 1 if the length of s is even and of rank 2 if the length of s is odd. This tree of matroids has overlap 1, with all edges except d_\emptyset being dummy edges. The ground set E^{game} of \mathcal{T}^{game} is simply $\{d_\emptyset\}$. The structure of this tree of matroids is displayed in Figure 3.

Although the ground set has only 1 element, so that the sets of Ψ -circuits of \mathcal{T} or \mathcal{T}^* must be very simple for any Ψ , our analysis of (O2) will still be complex because of the way in which these sets arise from \mathcal{T} . Any instance of (O2) for trees of matroids is reducible to one on which the ground set has only one element, since (O2) holds for the partition $E = \{e\} \dot{\cup} P_{C_o} \dot{\cup} P_{D_e}$ of the ground set of \mathcal{T} if and only if it holds for the partition $\{e\} = \{e\} \dot{\cup} \emptyset \dot{\cup} \emptyset$ of the ground set of $\mathcal{T}/P_{C_o} \setminus P_{D_e}$. However, as this section will illustrate, this reduction does not diminish the complexity of the problem.

Let's fix some set $\Psi \subseteq \{0, 1\}^{\mathbb{N}}$ and examine the meaning of (O2) applied to the Ψ -circuits and Ψ^c -cocircuits of \mathcal{T}^{game} , with the partition $E^{game} = \{d_\emptyset\} \dot{\cup} \emptyset \dot{\cup} \emptyset$. If (O2) is true, then one of the following 2 things happens:

1. There is a Ψ -circuit through d_\emptyset .
2. There is a Ψ^c -cocircuit through d_\emptyset .

Let's think first of all about (1). This says that we can find a Ψ -precircuit (C, o) with $\emptyset \in C$, $d_\emptyset \in o(\emptyset)$. The shape of C is now quite constrained. For any $s \in C$ we have $d_s \in o(s)$. If s has even length, then $o(s)$ can only be $\{d_s, d_{s0}\}$ or $\{d_s, d_{s1}\}$. On the other hand, if s has odd length then $o(s)$ can only be $\{d_s, d_{s0}, d_{s1}\}$. Thus C is a set of finite sequences from $\{0, 1\}$ with the following properties:

- $\emptyset \in C$.

- C is closed under taking initial segments.
- For any $s \in C$ of even length, exactly one of s_0 and s_1 is in C .
- For any $s \in C$ of odd length, both of s_0 and s_1 are in C .
- For any $s \in \{0, 1\}^{\mathbb{N}}$ such that all finite initial segments of s are in C , $s \in \Psi$.

These properties collectively state that C gives a winning strategy for the first player in the game $\mathcal{G}(\Psi)$ from the introduction, with Ψ considered as a subset of $\{0, 1\}^{\mathbb{N}}$: the first player should play so as to ensure that the finite sequence generated so far always remains in s . Conversely, given a set C with these properties, we can define a function o on C sending s to $\{d_s, d_{s_0}\}$ if s has even length and $s_0 \in C$, to $\{d_s, d_{s_1}\}$ if s has even length and $s_1 \in C$, and to $\{d_s, d_{s_0}, s_{s_1}\}$ if s has odd length. Then (C, o) is a Ψ -circuit of \mathcal{T}^{game} with (C, o) witnessing (1).

What this shows is that (1) is equivalent to the statement that the first player has a winning strategy in the game $\mathcal{G}(\Psi)$. A similar argument shows that (2) is equivalent to the statement that the second player has a winning strategy in that game. Thus in this case (O2) is equivalent to determinacy of the game $\mathcal{G}(\Psi)$. By introducing some slightly more complex games, we will now show that for any tree \mathcal{T} of matroids of overlap 1 and any set Ψ of ends of \mathcal{T} there is a collection of games such that (\mathcal{T}, Ψ) induces a matroid if and only if all of the games in that collection are determined.

We temporarily fix such a \mathcal{T} and Ψ , together with a partition $E = \{e\} \dot{\cup} P_{Co} \dot{\cup} P_{De}$ of the ground set of \mathcal{T} . Let t_0 be the node of T such that $e \in E(t_0)$.

Definition 6.1. The *circuit game* $\mathcal{G} = \mathcal{G}(\mathcal{T}, \Psi, P_{Co}, P_{De})$ is played between two players, called Sarah and Colin⁴, as follows:

Play alternates between the players, with Sarah making the first move. At any point in the game there is a *current node* $t_c \in V(t)$, and a *current edge* $e_c \in E(t_c)$. Initially we set $t_c = t_0$ and $e_c = e$ to be the node of T with $e_c \in E(t_c)$. For any n the $(2n - 1)^{\text{st}}$ move is made by Sarah: she must play a circuit o_n of $M(t_c)$ such that $e_c \in o_n$ but $o_n \cap P_{De} = \emptyset$. Then the $2n^{\text{th}}$ move is made by Colin: he must play a node t_n adjacent to t_c and further from t_0 than t_c is, such that $e(t_c t_n) \in o_n$. After he does this, the current node is updated to t_n , and the current edge to $e(t_{n-1} t_n)$. If play continues forever, then Sarah wins if the end ω of T containing $(t_n | n \in \mathbb{N})$ is in Ψ , and Colin wins if $\omega \in \Psi^c$.

The *cocircuit game* $\mathcal{G}^* = \mathcal{G}^*(\mathcal{T}, \Psi, P_{Co}, P_{De})$ is the game like the dual circuit game $\mathcal{G}(\mathcal{T}^*, \Psi^c, P_{De}, P_{Co})$, but with the roles of Sarah and Colin reversed. We will also use a different notation for the cocircuit game, putting stars on the notation for the circuit game. Thus for example the current edge is denoted e_c^* and Colin's n^{th} move is denoted o_n^* .

⁴The name ‘Sarah’ has been chosen because it sounds similar to ‘circuit’, and ‘Colin’ because it may be pronounced co-lin, to sound a bit like ‘cocircuit’

Lemma 6.2. *Sarah has a winning strategy in \mathcal{G} if and only if there is a Ψ -circuit (C, o) of \mathcal{T} with $e \in (C, o) \subseteq \{e\} \dot{\cup} P_{C_o}$.*

Proof. Suppose first that there is such a Ψ -circuit (C, o) . Then Sarah can win in \mathcal{G} by always choosing $o(t_c)$ when it is her turn to play.

Suppose for the converse that Sarah has a winning strategy σ in \mathcal{G} . Let C be the set of nodes t of T such that there is some finite play according to σ consisting of $2n + 1$ moves for some n after which t is the current node. Then this play is unique, since Sarah's moves are determined by σ , and Colin's must be the sequence of vertices along the finite path in T from t_0 to t . We set $o(t)$ to be the final move o_n made by Sarah in that play. It is immediate that (C, o) is a Ψ -pre-circuit of \mathcal{T} with the desired properties. \square

Corollary 6.3. *Colin has a winning strategy in \mathcal{G}^* if and only if there is a $\Psi^{\mathfrak{b}}$ -cocircuit (C, o) of \mathcal{T} with $e \in (C, o) \subseteq \{e\} \dot{\cup} P_{D_e}$.* \square

In order to relate (O2) to determinacy of \mathcal{G} , we need to show that \mathcal{G} and \mathcal{G}^* are closely related games.

Lemma 6.4. *Colin has a winning strategy in \mathcal{G} if and only if he has one in \mathcal{G}^* .*

Proof. For the 'if' part, suppose that he has a winning strategy σ^* in \mathcal{G}^* . Then he can win in \mathcal{G} by playing as follows:

He should imagine an auxilliary play in the game \mathcal{G}^* , in which he plays according to σ^* , and for which he should ensure that at any point the current edge and node agree with those in \mathcal{G} . When Sarah makes the move o_n , he should pick some edge in $o_n \cap o_n^*$ other than e_n (there is such an edge by Lemma 2.6). This edge t can then only be a dummy edge $e(t_c t)$ for some t adjacent to t_c . He should play t as t_n in \mathcal{G} and imagine that Sarah also plays t as t_n^* in \mathcal{G}^* . If play continues forever, then the end ω containing $(t_n | n \in \mathbb{N})$ is in $\Psi^{\mathfrak{b}}$ since σ^* is winning.

For the 'only if' part, suppose that he has a winning strategy σ in \mathcal{G} . Then he can win in \mathcal{G}^* by playing as follows:

He should imagine an auxilliary play in the game \mathcal{G} , in which he plays according to σ , and for which he should ensure that at any point the current edge and node agree with those in \mathcal{G}^* . When he has to make a move o_n^* , he should consider the set R of responses prescribed by σ to legal moves o_n that Sarah could make in \mathcal{G} . Then $R \cup P_{D_e}$ meets every circuit o of $M(t_c)$ with $e_c \in o$. Thus since (O2) holds for the matroid $M(t_c)$ there is some cocircuit o_n^* of that matroid with $e_c \in o_n^* \subseteq \{e_c\} \cup R \cup P_{D_e}$, and Colin should play such a cocircuit. If Sarah responds by playing t_n^* , then we must have $t_n^* \in R$ and so there is some legal move o_n in \mathcal{G} to which σ prescribes the response t_n^* . Then Colin should imagine that, in the play of \mathcal{G} , Sarah plays o_n and he responds by playing t_n^* as t_n . If play continues forever, then the end ω containing $(t_n^* | n \in \mathbb{N})$ is in $\Psi^{\mathfrak{b}}$ since σ is winning. \square

Corollary 6.5. *(O2) holds for the partition $E = \{e\} \dot{\cup} P_{C_o} \dot{\cup} P_{D_e}$ of the groundset of \mathcal{T} if and only if $\mathcal{G}(\mathcal{T}, \Psi, P_{C_o}, P_{D_e})$ is determined.* \square

Since any game $\mathcal{G}(\Psi)$ with $\Psi \subseteq A^{\mathbb{N}}$ and A countable can be coded by such a game with $A = \{0, 1\}$, we also get:

Corollary 6.6. *The Axiom of Determinacy is equivalent to the statement that every set Ψ of ends of every tree of finite matroids of overlap 1 induces a matroid. If the Axiom of Choice holds then there is a tree of finite matroids of overlap 1 and a set Ψ of ends of that tree that doesn't induce a matroid. \square*

Corollary 6.7. *For any tree of countable tame matroids $\mathcal{T} = (T, M)$ of overlap 1 and any Borel set Ψ of ends of T , the pair (\mathcal{T}, Ψ) induces a matroid.*

Proof. This is immediate from Borel determinacy, Corollary 6.5 and the fact that for each partition of the ground set as $\{e\} \dot{\cup} P_{C_o} \dot{\cup} P_{D_e}$ the projection map from the set of legal infinite plays in $\mathcal{G}(\mathcal{T}, \Psi, P_{C_o}, P_{D_e})$ to $\Omega(T)$ sending a play to the end containing the sequence $(t_n | n \in \mathbb{N})$ for that play is continuous. \square

In Section 8 we will extend these techniques to trees of finite representable matroids and so get results applying to all locally finite graphs. However, our results so far already have implications for graphs with a tree structure of width 2.

Theorem 6.8. *Let G be a graph with a tree structure T of width 2, and Ψ a Borel set of ends of G . Then (G, Ψ) induces a matroid.*

Proof. Let G' be obtained from G by subdividing certain edges as in the proof of Lemma 5.14. Then by Corollary 6.7, $(\mathcal{T}(G, T), \Psi)$ induces a matroid M , which by Lemma 5.14 is also induced by (G', Ψ) . Then the matroid obtained from M by contracting one of each pair of edges subdividing an edge of G is induced by (G, Ψ) . \square

Assuming the Axiom of Choice holds, we can also give another example of a graph G and a set of ends Ψ of G such that (G, Ψ) doesn't induce a matroid.

Example 6.9. Figure 4 illustrates that we may 3-colour the edges of T_2 in such a way that the edges incident with any vertex s are the same colour if s has even length considered as a finite $\{0, 1\}$ -sequence, but are all different colours if s has odd length.

We fix such a 3-colouring given as a function $c: E(T_2) \rightarrow V(K_3)$. Let G be the graph obtained from $T_2 \times K_3$ by removing all edges of the form $e \times \{c(e)\}$ with $e \in E(T_2)$. Then G has a tree structure of width 2, in which the vertices of T are the sets $\{s\} \times V(K_3)$ with s a vertex of T_2 . The shapes of the torsors for this tree structure are given in Figure 5.

Let Ψ be a set of ends of G such that $\mathcal{G}(\Psi)$ is not determined. Then the tree of matroids obtained from $\mathcal{T}(G, T)$ by contracting the bold edges in Figure 5 and deleting the dotted edges is isomorphic to \mathcal{T}^{game} , and we know $(\mathcal{T}^{game}, \Psi)$ does not induce a matroid. Thus $(\mathcal{T}(G, T), \Psi)$ does not induce a matroid, and so (G, Ψ) cannot induce a matroid, and so $(T_2 \times K_3, \Psi)$ does not induce a matroid.

Now we can explain the sense in which we said that the wild cycle graph was relatively simple when we discussed it in Section 5.

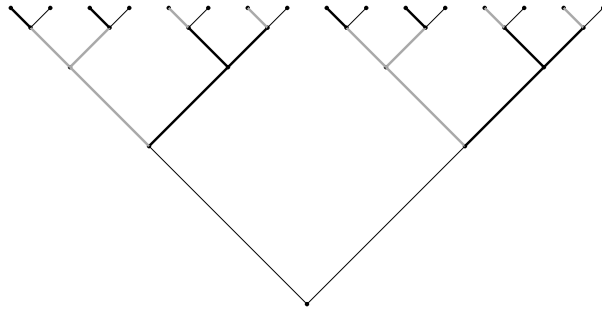


Figure 4: The binary tree with a particular 3-coloring of its edges.

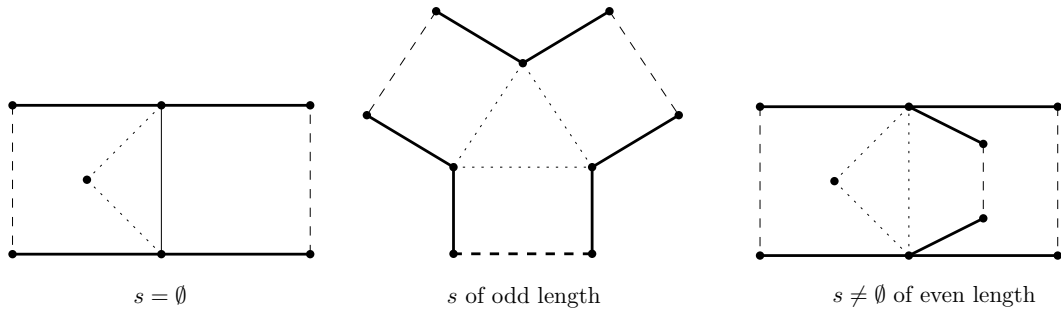


Figure 5: The Torsos from Example 6.9.

Lemma 6.10. *For any set Ψ of ends of the wild cycle graph G_{wild} , the pair (G_{wild}, Ψ) induces a matroid.*

Proof. As in the proof of Theorem 6.8, it is enough to check that $(\mathcal{T}(G_{wild}, T), \Psi)$ induces a matroid, where T is the tree structure from Example 5.9. Now we may note that the torsos for this tree structure, depicted in Figure 2, have the property that no bond contains more than 2 dummy edges. Thus in the cocircuit games for this tree of matroids, all of Sarah's moves apart from her first one are forced. Thus all these games are determined, and we are done by Lemma 6.4 and Corollary 6.5. \square

There are other simple examples of graphs which induce a matroid for any Ψ :

Lemma 6.11. *Let T be any locally finite tree, and let Ψ be any set of ends of $T \times K_2$. Then $(T \times K_2, \Psi)$ induces a matroid.*

Proof. Once more it is enough to check that $(\mathcal{T}(T \times K_2, T'), \Psi)$ induces a matroid, where T' is the tree structure whose vertices are the sets $\{t\} \times V(K_2)$ for $t \in V(T)$. The torsos are of the form $S \times K_2$, where S is a finite star. They have the property that no circuit contains more than 2 dummy edges, and so all the circuit games for this tree of matroids are determined, and we are done by Corollary 6.5. \square

7 Trees of matroids II

To capture graphs which cannot be given a tree structure of width 2, we need a more general notion of pasting in a tree of matroids, for which we will work with representable matroids. Strictly speaking, we will be pasting together *represented* matroids, since the matroid structure after pasting can depend on the choices of representation before pasting.

Before returning to trees of matroids, we shall first outline how to paste together just 2 matroids in this way. We shall take a slightly unusual point of view on representations: we think of a representation of a finite matroid M over a field k as given by a subspace U of $k^{E(M)}$ such that the minimal nonempty supports of elements in U are the M -circuits (there is such a subspace if and only if M is representable in the usual sense over k). The dual of M is then represented by the orthogonal complement U^\perp of U .

Now suppose that we have two finite matroids M_1 and M_2 where M_i has ground set E_i and is represented over k by a subspace U_i of k^{E_i} . Then there are canonical embeddings of U_1 , U_2 and $k^{E_1 \Delta E_2}$ as subspaces of $V = k^{E_1 \cup E_2}$. We let $U_1 \Delta U_2$ be $(U_1 + U_2) \cap k^{E_1 \Delta E_2}$: the vectors in this space are those v such that there are $v_1 \in U_1$ and $v_2 \in U_2$ with $v_1 \upharpoonright_{E_1 \cap E_2} = -v_2 \upharpoonright_{E_1 \cap E_2}$, $v \upharpoonright_{E_1 \setminus E_2} = v_1 \upharpoonright_{E_1 \setminus E_2}$ and $v \upharpoonright_{E_2 \setminus E_1} = v_2 \upharpoonright_{E_2 \setminus E_1}$.

This construction is well behaved with respect to duality. The orthogonal complement of $U_1 \Delta U_2$ in V is $(U_1^\perp \cap U_2^\perp) + (k^{E_1 \Delta E_2})^\perp = (U_1^\perp \cap U_2^\perp) + k^{E_1 \cap E_2}$. So

the orthogonal complement of $U_1 \triangle U_2$ in $k^{E_1 \triangle E_2}$ is the intersection of that space with $k^{E_1 \triangle E_2}$, which is the set of those w such that there are $w_1 \in U_1^\perp$ and $w_2 \in U_2^\perp$ with $w_1|_{E_1 \cap E_2} = w_2|_{E_1 \cap E_2}$, $w|_{E_1 \setminus E_2} = w_1|_{E_1 \setminus E_2}$ and $w|_{E_2 \setminus E_1} = w_2|_{E_2 \setminus E_1}$. This isn't quite the same as $U_1^\perp \triangle U_2^\perp$ - there is a missing minus sign in one of the equations - but the supports of the vectors, and so the induced matroids, are the same. Thus we have $(M_1 \triangle M_2)^* = M_1^* \triangle M_2^*$.

This construction also allows us to glue together pairs of tame thin sums matroids, provided that the overlap of their ground sets is finite. The details are beyond the scope of this paper, but the basic reason is that in proving (O2), which is potentially the trickiest of the axioms, it is possible by contracting P and deleting Q to reduce the problem to one on the finite set consisting of e and the edges in the overlap set.

If we want to use a construction like this to glue together a tree of matroids, we will need a representation of each of the (finite) matroids.

Definition 7.1. Let k be a finite field. A k -representation of a tree (T, M) of matroids is a function V assigning to each vertex t of T a subspace $V(t)$ of $k^{E(t)}$ such that $M(V(t)) = M(t)$. The dual V^\perp of such a k -representation is the representation of the dual tree of matroids which assigns to each node t of T the space $V(t)^\perp$. We will only ever consider representations of trees of finite matroids.

In this context, a Ψ -vector of V consists of a function v assigning to each vertex t of T a vector $v(t) \in V(t)$, in such a way that for any edge tt' of T we have $v(t)|_{E(tt')} = v(t')|_{E(tt')}$ and that every end of T in the closure of $\{t \in V(T) | v(t) \neq 0\}$ is in Ψ . The set of such Ψ -vectors is denoted $\mathcal{V}(V, \Psi)$. The support of a Ψ -vector v is the set $\underline{v} = E \cap \bigcup_{t \in T} \underline{v(t)}$. The set of such supports is denoted $\mathcal{V}(V, \Psi)$.

We say that (V, Ψ) induces a matroid $M = M(V)$ if $\mathcal{C}(M) \subseteq \mathcal{V}(V, \Psi) \subseteq \mathcal{S}(M)$ and $\mathcal{C}(M^*) \subseteq \mathcal{V}(V^\perp, \Psi^c) \subseteq \mathcal{S}(M^*)$.

The question of when (O2) holds for these systems is once more tricky, and will be addressed in Section 8. However, we are already in a position to give a simple proof of (O1) and of tameness.

Lemma 7.2 ((O1) and tameness for representable trees of finite matroids). *Let $\mathcal{T} = (T, M)$ be a tree of finite matroids with a k -representation V , let Ψ be a set of ends of T , and let v and w be respectively a Ψ -vector of V and a Ψ^c -vector of V^\perp . Then $|\underline{v} \cap \underline{w}|$ is finite but not equal to 1.*

Proof. If it were infinite, then there would be an end ω in the closure of $\underline{v} \cap \underline{w}$ and so in the closure of both $\{t \in V(T) | v(t) \neq 0\}$ and $\{t \in V(T) | w(t) \neq 0\}$, so that ω would have to be in both Ψ and Ψ^c , a contradiction. So it is finite.

Now fix some node t_0 of T and for any node t let $d(t)$ be the distance from t_0 to t in T (thus $d(t_0) = 0$). Let $\hat{v}: E \rightarrow k$ be the function sending $e \in E(t)$ to $v(t)(e)$, and $\hat{w}: E \rightarrow k$ be the function sending $e \in E(t)$ to $(-1)^{d(t)}w(t)(e)$.

Then we have

$$\begin{aligned}
\sum_{e \in E} \hat{v}(t) \hat{w}(t) &= \sum_{t \in V(T)} (-1)^{d(t)} \left(\sum_{e \in E(t)} v(t)(e) w(t)(e) - \sum_{tt' \in E(T)} \sum_{e \in E(tt')} v(t)(e) w(t)(e) \right) \\
&= - \sum_{tt' \in E(T)} \left((-1)^{d(t)} + (-1)^{d(t')} \right) \left(\sum_{e \in E(tt')} v(t)(e) w(t)(e) \right) \\
&= 0
\end{aligned}$$

and it follows that $|\underline{v} \cap \underline{w}| = |\hat{v} \cap \hat{w}| \neq 1$. \square

Remark 7.3. *Once we have shown that this system induces a (tame) matroid M , the proof above will also show that it is a thin sums matroid over k according to the characterisation given in [3], since we can choose the function $c_{\underline{v}}: \underline{v} \rightarrow k$ for a circuit \underline{v} to be given by $\hat{v}|_{\underline{v}}$ and similarly take $d_{\underline{w}} = \hat{w}|_{\underline{w}}$.*

With this new construction, we can capture the Ψ -system of any graph with a tree decomposition.

Definition 7.4. For a graph G with a tree structure T , let $V(G, T)$ be the unique representation of $\mathcal{T}(G, T)$ over \mathbb{F}_2 (such a representation exists since for each $t \in V(T)$ the matroid $M(\tau(t))$ is graphic and so binary).

Lemma 7.5. *Let G be a graph, and let T be a tree structure on G . Let Ψ be a set of ends of G . Let G' be the graph obtained from G by subdividing each edge which has endpoints in different nodes of T .⁵ Then every Ψ -circuit of G' is the support of a Ψ -vector of $V(G, T)$ and every $\Psi^{\mathbb{G}}$ -bond of G' is the support of a $\Psi^{\mathbb{G}}$ -vector of $(V(G, T))^{\perp}$.*

Proof. First we show that every $\Psi^{\mathbb{G}}$ -bond of G' is the support of a vector of $(V(G, T, \Psi))^*$. Let \underline{b} be such a $\Psi^{\mathbb{G}}$ -bond. Let X be the set of vertices of G' on one side of \underline{b} . For each $t \in V(T)$, let $b(t)$ be the $\tau(t)$ -cut of edges of $\tau(t)$ with one endpoint in X and the other not in X , and let $w(t)$ be the characteristic function of $b(t)$: thus w is a vector of $(V(G, T, \Psi))^{\perp}$. Then $\underline{b} = \underline{w}$.

Next, we show that every Ψ -circuit of G' is the support of a vector of $V(G, T, \Psi)$. Let \underline{o} be such a Ψ -circuit, and let O be the circle in \tilde{G}_{Ψ} inducing \underline{o} . Fix some vertex t_0 of T such that \underline{o} meets $E(t_0)$. For any other vertex t of T let $T \uparrow t$ be the set of vertices t' of t on the other side of t from t_0 , together with t itself. Let $E \uparrow t$ be $E \cap \bigcup_{t' \in T \uparrow t} E(t')$. For any $tt' \in E(T)$, with t' further from t_0 than t , let $F(tt') \subseteq E(tt')$ be the set of those edges $v_e v_f$ such that there is an arc in O from v_e to v_f using only edges of $E \uparrow t'$. For any vertex t of T , let $o(t)$ be the union of $\underline{o} \cap E(t)$ with all of the $F(tt')$ for t' adjacent to t in T , and let $v(t)$ be the characteristic function of $o(t)$. Since $\underline{o} = \underline{v}$, it suffices to prove

⁵as before, we add a new vertex v_e corresponding to each such edge $e = vv'$, and replace e in the set of edges by the two new edges vv_e and $v'v_e$.

that \underline{v} is a Ψ -vector. Every end in the closure of $\{t \in V(T) | v(t) \neq 0\}$ is in the closure of o and so is in Ψ . So we just need to show that for each node t of T the function $v(t)$ is in the circuit space of $\tau(t)$. In fact, we shall show something stronger: that $o(t)$ is a vertex-disjoint union of circuits of $\tau(t)$.

The circle O can be broken into finitely many arcs each of which uses either only edges in $E(t_0)$ or else only edges not in $E(t_0)$, with consecutive arcs around O being of opposite types. For each arc using only edges not in $E(t_0)$ there is some t' adjacent to t_0 such that that arc only uses edges from $E\uparrow t'$. Replacing each such arc with the corresponding edge in $F(t_0 t')$ gives the set $o(t_0)$, which is therefore a circuit of $\tau(t_0)$.

For any $t \neq t_0$, let t_- be the neighbour of t in the direction of t_0 , and let $v_e v_f$ be any edge in $F(t_- t)$. Then there is an arc A in O from v_e to v_f using only edges of $E\uparrow t$. A can be broken into finitely many arcs each of which uses either only edges in $E(t)$ or else only edges not in $E(t)$, with consecutive arcs along A being of opposite types. For each arc using only edges not in $E(t)$ there is some t' adjacent to t such that that arc only uses edges from $E\uparrow t'$. Replacing each such arc with the corresponding edge in $F(t t')$ gives a path $P(e f)$ of $\tau(t)$, which together with $v_e v_f$ itself gives a circuit $o(v_e v_f)$ of $\tau(t)$. Then $o(t)$ is the union of the vertex-disjoint circuits $o(v_e v_f)$, completing the proof. \square

Lemma 7.6. *In the context of Lemma 7.5, for any Ψ -vector v of $V(G, T)$, \underline{v} is a union of Ψ -circuits. For any vector w of $(V(G, T, \Psi))^\perp$, \underline{w} is a union of Ψ^c -bonds.*

Proof. By Lemma 7.5 and Lemma 7.2, \underline{v} never meets a finite bond of G' just once and so, by Lemma 2.6 it is a union of topological circuits of G' . Each such circuit is a Ψ -circuit since every end in its closure is in the closure of $\{t \in V(T) | v(t) \neq 0\}$ and so is in Ψ . The proof for w is analogous. \square

In fact, the results above apply to all locally finite graphs.

Lemma 7.7. *Any connected locally finite graph G can be given a tree structure.*

Proof. Let U be a normal spanning tree of G , with root node v_0 . For any down-closed set X of vertices of G we take $\delta(X)$ to be the set of minimal vertices not in X (here minimality is with respect to the tree order \leq on U). For any set X of vertices of G , let $X\downarrow$ be the down-closure of X in U , and $N(X)$ the set of vertices adjacent to or in X . We build a sequence of finite subsets V_n of the vertices of G by setting $V_0 = \emptyset$ and $V_{n+1} = N(V_n)\downarrow \cup \delta(V_n)$. For any n and any vertex $v \in \delta(V_n)$, we set $t(v) = \{v' \in V_{n+1} | v \leq v'\}$. Let T be the set of sets $t(v)$ arising in this way. By construction, T is a partition of the vertices of T into finite, connected sets. We order the vertices of T by $t(v) \leq t(v')$ if and only if $v \leq v'$ in the tree order on N . This gives a tree-order (with root $t(v_0)$) on T , making T a tree. It remains to show that distinct vertices of T are adjacent if and only if they contain adjacent vertices of G .

If $t(v)$ and $t(v')$ are adjacent in T , with $v < v'$, then let n be such that $v \in \delta(V_n)$. As $v < v'$, $v' \notin V_n \cup \delta(V_n)$ so $v' \notin V_{n+1}$. Let w be minimal such that $v < w \leq v'$ and $w \notin V_{n+1}$. Then $w \in \delta(V_{n+1})$ and we have $t(v) < t(w) \leq t(v')$

in T , so $w = v'$. Thus the predecessor v^- of v' in U is in V_{n+1} , but it can't be in V_n since $v' > v$. So $v^- \in t(v)$ and so there is an edge from $t(v)$ to $t(v')$.

Now let $v \neq v'$ be such that there is an edge from $t(v)$ to $t(v')$ in G . Say the endpoints of this edge are $w \in t(v)$ and $w' \in t(w)$. Since U is normal we have without loss of generality that $w < w'$. Let n be such that $v \in \delta(V_n)$. Then $v \leq w < w'$, so since $w' \notin t(v)$ we have $w' \notin V_{n+1}$. Since $w \in t(v)$ we have $w \in V_{n+1}$ and so $w' \in V_{n+2}$, so that $v' \in \delta(V_{n+1})$. Since both v and v' lie below w' , we have $v < v'$ and so v and v' are adjacent in T . \square

8 Determinacy and (O2) for representable trees of matroids

We fix a finite field k , a k -representation V of a tree $\mathcal{T} = (T, M)$ of finite matroids and a set Ψ of ends of T , together with a partition $E = \{e\} \dot{\cup} P_{Co} \dot{\cup} P_{De}$ of the ground set of \mathcal{T} . Let t_0 be the node of T such that $e \in E(t_0)$. For a function f whose domain is a subset of $\bigcup_{t \in V(T)} E(t)$, we obtain a function $\bar{f}: \bigcup_{t \in V(T)} E(t) \rightarrow k$ from f by assigning to each value in $\bigcup_{t \in V(T)} E(t)$ but not in the domain of f the value zero.

Definition 8.1. The *circuit game* $\mathcal{G} = \mathcal{G}(V, \Psi, P_{Co}, P_{De})$ is played between two players, called Sarah and Colin, as follows:

Play alternates between the players, with Sarah making the first move. At any point in the game there is a *current node* $t_c \in V(T)$, a *current challenge set* $S_c \subseteq E(t_c)$ and a *current challenge function* $x_c: S_c \rightarrow k$. Initially we set $t_c = t_0$, $S_c = \{e\}$ and $x_c(e) = 1$. For any n the $(2n - 1)^{\text{st}}$ move is made by Sarah: she must play a vector $v_n \in V(t_c)$ such that $\bar{v}_n \upharpoonright_{P_{De}} = 0$ and $\bar{v}_n \not\perp \bar{x}_c$. Then the $2n^{\text{th}}$ move is made by Colin: he must play a node t_n adjacent to t_c and further away from t_0 than t_c is and a vector $x_n \in k^{E(t_c t_n)}$ such that $\bar{v}_n \not\perp \bar{x}_n$. After he does this, the current node is updated to t_n , the current challenge set to $S_n = E(t_n t_{n-1})$ and the current challenge function to x_n . If play continues forever, then Sarah wins if the end ω of T containing $(t_n | n \in \mathbb{N})$ is in Ψ , and Colin wins if $\omega \in \Psi^c$.

The *cocircuit game* $\mathcal{G}^* = \mathcal{G}^*(V, \Psi, P_{Co}, P_{De})$ is the game like the dual circuit game $\mathcal{G}(V^\perp, \Psi^c, P_{De}, P_{Co})$, but with the roles of Sarah and Colin reversed. We will also use a different notation for the cocircuit game, putting stars on the notation for the circuit game. Thus for example the current challenge function is denoted x_c^* and Colin's n^{th} move is denoted v_n^* .

Lemma 8.2. *Sarah has a winning strategy in \mathcal{G} if and only if there is a Ψ -vector v of V such that $e \in \underline{v} \subseteq \{e\} \dot{\cup} P_{Co}$.*

Proof. Suppose first that there is such a vector v . Then Sarah can win in \mathcal{G} by always choosing the vector $v(t_c)$ when it is her turn to play. Indeed, for any edge $tt' \in E(\mathcal{T})$, the vectors $v(t)$ and $v(t')$ coincide when restricted to $E(tt')$. Hence if $\bar{v}_n \not\perp \bar{x}_n$, then also $\bar{v}_{n+1} \not\perp \bar{x}_n$. So choosing $v(t_c)$ is a legal move and

since v is a vector, the nodes t_n from any play that is played according to this strategy will converge to some end in Ψ .

Suppose for the converse that Sarah has a winning strategy σ in \mathcal{G} . For each n , let R_n be the set of sequences $(v_i | i \leq n)$ which can arise as the first n moves made by Sarah in a game played according to σ .

Sublemma 8.3. *Let $r \in R_n$ and let $t(r)$ be the node of T that is current when r_n is played. Let t' be a node of T that is adjacent to $t(r)$ and further away from t_0 than $t(r)$. Let $P_{t'}(r)$ be the set of those $v \in V(t')$ such that the extension $r.v$ of the sequence r by $r_{n+1} = v$ is in R_{n+1} .*

Then $r_n \upharpoonright_{E(t(r)t')} \in \langle v \upharpoonright_{E(t(r)t')} | v \in P_{t'}(r) \rangle$.

Before proving Sublemma 8.3, let us see how to derive Lemma 8.2 from it. By Sublemma 8.3, for each $r \in R_n$, $t(r)$, t' and $P_{t'}(r)$ as in that Lemma, we can choose a representation.

$$r_n \upharpoonright_{E(t(r)t')} = \sum_{v \in P_{t'}(r)} \lambda_{r.v} v \upharpoonright_{E(t(r)t')}$$

Let $r \upharpoonright_i$ denote the initial sequence of r of length i . For any $t \in V(T)$ at distance $n - 1$ from t_0 , we set:

$$v(t) = \sum_{r \in R_n: t(r)=t} r_n \cdot \prod_{i=2}^n \lambda_{r \upharpoonright_i}$$

Since each r_n in this expression is in $V(t)$, the vector $v(t)$ is in $V(t)$. And also $e \in \underline{v} \subseteq \{e\} \dot{\cup} P_{C_0}$. Next we check $t \mapsto v(t)$ is a Ψ -vector of V . For this, we first check that for any $tt' \in E(T)$ with t' further away from t_0 than t we have $v(t) \upharpoonright_{E(tt')} = v(t') \upharpoonright_{E(tt')}$:

$$\begin{aligned} v(t) \upharpoonright_{E(tt')} &= \sum_{r \in R_n: t(r)=t} r_n \upharpoonright_{E(tt')} \cdot \prod_{i=2}^n \lambda_{r \upharpoonright_i} \\ &= \sum_{r \in R_n: t(r)=t} \left(\sum_{v \in P_{t'}(r)} \lambda_{r.v} v \upharpoonright_{E(tt')} \right) \cdot \prod_{i=2}^n \lambda_{r \upharpoonright_i} \\ &= \sum_{r \in R_{n+1}: t(r)=t'} r_{n+1} \upharpoonright_{E(tt')} \cdot \prod_{i=2}^{n+1} \lambda_{r \upharpoonright_i} \\ &= v(t') \upharpoonright_{E(tt')} \end{aligned}$$

Next, suppose for a contradiction that there is a sequence t_n with the support of $v(t_n)$ nonempty such that its limit is not in Ψ . Without loss of generality, we may assume that t_n has distance at least n from t_0 . Hence for each $n \in \mathbb{N}$ there is some $j \geq n$ and some $r \in R_j$ such that $r_j \neq 0$ and $t(r) = t_n$. Since $0 \perp x$ for every x , no $r \upharpoonright_i$ can be 0 for any $i \leq j$ since the play would then be

finished after the i^{th} move, which is not true. So without loss of generality, we may assume that t_n has distance precisely n from t_0 .

Now we apply the Infinity Lemma where we take the V_n from that Lemma to be the sets $\{r \in R_n \mid t(r) = t_n, r_n \neq 0\}$. And we join $r \in R_{n+1}$ to $r' \in R_n$ if and only if $r \upharpoonright_n = r'$. Note that each V_n is finite since k is finite. Hence we find a sequence of $a^n \in R_n$ such that $a^{n+1} \upharpoonright_n = a^n$. This gives rise to an infinite play according to σ whose end is not in Ψ , contradicting the fact that σ is a winning strategy. Thus $t \mapsto v(t)$ is a Ψ -vector of V .

Having shown how Lemma 8.2 can be deduced from Sublemma 8.3, it remains to prove Sublemma 8.3. For this, we fix a particular finite play of length $2n + 1$ according to σ and giving rise to r , and consider the situation just after this play. For any $w \in k^{E(t(r)t')}$ with $\bar{w} \not\perp \bar{r}_n$ Sarah has a response prescribed by σ , that is, there is some $v \in P = P_{t'}(r)$ such that $\bar{w} \not\perp \bar{v}$. In other words, any $w \in k^{E(t(r)t')}$ that is not orthogonal to x_n is also not orthogonal to some $v \in P$. Put yet another way, any $z \in k^{E(t(r)t')}$ that is orthogonal to every $v \in P$ is orthogonal to x_n . By Lemma 2.2, $r_n \upharpoonright_{E(t(r)t')} \in \langle v \upharpoonright_{E(t(r)t')} \mid v \in P \rangle$. This completes the proof of Sublemma 8.3, and so of Lemma 8.2. \square

Corollary 8.4. *Colin has a winning strategy in \mathcal{G}^* if and only if there is a $\Psi^{\mathbb{G}}$ -vector v^* of V^\perp such that $e \in \underline{v}^* \subseteq \{e\} \dot{\cup} P_{De}$.* \square

In order to relate (O2) to determinacy of \mathcal{G} , we need to show that \mathcal{G} and \mathcal{G}^* are closely related games.

Lemma 8.5. *Colin has a winning strategy in \mathcal{G} if and only if he has one in \mathcal{G}^* .*

Proof. For the ‘if’ part, suppose that he has a winning strategy σ^* in \mathcal{G}^* . Then he can win in \mathcal{G} by playing as follows:

He should imagine an auxilliary play in the game \mathcal{G}^* , in which he plays according to σ^* , and for which he should ensure that at any point the current node and current challenge set agree with those in \mathcal{G} , and additionally ensure that $x_n = v_n^* \upharpoonright_{S_n}$ and $x_{n+1}^* = v_{n+1} \upharpoonright_{S_n}$. We shall assume, without loss of generality, that $v_1(e) = 1$ (otherwise we can just multiply v_1 by some constant to make this true).

Suppose Sarah makes some move v_n . Then $x_c^* = v_n \upharpoonright_{S_{n-1}}$: if $n = 1$ then this is true by our assumption, and otherwise it is true by the condition that $x_n^* = v_{n+1} \upharpoonright_{S_n}$. Let v_n^* be the move in \mathcal{G}^* that is prescribed by σ^* . Then $\sum_{f \in S_{n-1}} v_n(f) v_n^*(f) = \sum_{f \in S_{n-1}} x_c^*(f) v_n^*(f) \neq 0$ but $v_n \perp v_n^*$. Since the support of the map $f \mapsto v_n(f) v_n^*(f)$ consists of dummy edges only, there is some $t_n \in V(T)$ that is adjacent to t_{n-1} and has distance n from t_0 , such that $\sum_{f \in E(t_{n-1}t_n)} v_n(f) v_n^*(f) \neq 0$. Then Colin plays t_n , $S_n = E(t_{n-1}t_n)$ and $x_n = v_n^* \upharpoonright_{S_n}$. And he plays v_n^* in the imagined cocircuit-game, and imagines that Sarah plays $x_n^* = v_{n+1} \upharpoonright_{S_n}$ there. Note that this is a legal move since $\sum_{f \in S_n} v_n^*(f) x_n^*(f) = \sum_{f \in S_n} x_n(f) v_{n+1}(f) \neq 0$. If the play of the circuit game continues forever, then the end ω containing $(t_n \mid n \in \mathbb{N})$ is in $\Psi^{\mathbb{G}}$ since σ^* is winning.

For the ‘only if’ part, suppose that he has a winning strategy σ in \mathcal{G} . Then he can win in \mathcal{G}^* by playing as follows:

He should imagine an auxilliary play in the game \mathcal{G} , in which he plays according to σ , and for which he should ensure that at any point the current node and current challenge set agree with those in \mathcal{G}^* .

When it is his turn to move, either it is his first move, in which case we let x_0^* be the function with support $\{e\}$ that sends e to 1 or Sarah has just played x_{n-1}^* in \mathcal{G}^* . Then he imagines the corresponding game of \mathcal{G} where he has just played x_{n-1} , or else it is his first move, in which case we set $x_0 = x_0^*$.

Let O be the set of Sarah’s legal moves in \mathcal{G} . For $v \in O$, let $t(v)$ and $x(v)$ be the node and challenge function prescribed by σ . Let $T_n = \{t(v) | v \in O\}$. And for each $t \in T_n$, let $P(t) = \{x(v) | v \in O : t(v) = t\}$.

Sublemma 8.6. *There is some $v^* \in V(t_{n-1})^\perp$ and coefficients $\lambda_{t,x} \in k$ and a vector $w \in k^{E(t_{n-1}) \cap P_{D_e}}$ such that*

$$\bar{x}_{n-1} = \bar{v}^* + \bar{w} + \sum_{t \in T_n} \sum_{x \in P(t)} \lambda_{t,x} \bar{x}.$$

Before proving Sublemma 8.6, let us complete the description of his strategy. In \mathcal{G}^* , he plays $v_n^* = v^*$ - by the equation above the support of this vector cannot meet the set P_{C_o} . Let t_n and x_n^* be the node and challenge set that Sarah plays in her next move in \mathcal{G}^* . Then by the choice of v_n^* , the node t_n is in T_n , and $\bar{x}_n^* \not\subseteq \bar{v}_n^*$. Since v_n^* restricted to $E(t_{n-1}t_n)$ is equal to $\sum_{x \in P(t_n)} \lambda_{t,x} x$, there is some $x_n \in P(t_n)$ with $x_n \not\subseteq x_n^*$. Then he imagines that she plays some $v \in O$ with $x(v) = x_n$, and that he then plays t_n and x_n . This completes the description of his strategy. If play continues forever, then the end ω containing $(t_n^* | n \in \mathbb{N})$ is in $\Psi^{\mathcal{G}}$ since σ is winning.

Hence it remains to prove Sublemma 8.6. For this, by Lemma 2.2, it remains to show that $(V^\perp \cup k^{E(t_{n-1}) \cap P_{D_e}} \cup \bigcup_{t \in T_n} \bigcup_{x \in P(t)} \bar{x})^\perp \subseteq \{\bar{x}_{n-1}\}^\perp$. In other words, any y that is not orthogonal to x_{n-1} is not orthogonal to some $v^* \in V^\perp$ or to some \bar{x} or has support meeting P_{D_e} . This follows from the fact that for every $v \in V$ with $v \not\subseteq x_{n-1}$ and $v \cap P_{D_e} = \emptyset$, there is some x such that $v \not\subseteq \bar{x}$. This completes the proof of Sublemma 8.6, and so also the proof of Lemma 8.5. \square

Corollary 8.7. *(O2) holds for the partition $E = \{e\} \dot{\cup} P_{C_o} \dot{\cup} P_{D_e}$ of the groundset of \mathcal{T} if and only if $\mathcal{G}(V, \Psi, P_{C_o}, P_{D_e})$ is determined.* \square

Corollary 8.8. *The Axiom of Determinacy is equivalent to the statment that every tree of finite matroids representable over a finite field induces a matroid.* \square

Corollary 8.9. *For any tree of finite matroids $\mathcal{T} = (T, M)$ represented over a finite field and any Borel set Ψ of ends of T , (\mathcal{T}, Ψ) induces a matroid.*

Proof. Just like the proof of Corollary 6.7. \square

Theorem 8.10. *Let G be a locally finite graph, and Ψ a Borel set of ends of G . Then (G, Ψ) induces a matroid.*

Proof. Just like the proof of Theorem 6.8. \square

9 From the locally finite case to the countable case

9.1 From the locally finite case to the case that the graph has a locally finite normal spanning tree

We start with the following construction, which may also be useful in other cases. Let G be a graph having a normal spanning tree T . Then the *Undomination-graph* $U = U(G, T)$ of G is the following. Its vertex set is $V(U) = V(G) \times V(T)$. The pair $(v, t)(v', t')$ is an edge if and only if either $v = v'$ and t and t' are adjacent in T or v and v' are adjacent in G and $v = t'$ and $v' = t$. We call the edges of the first type *T-edges* and the ones of the second type *G-edges*. We will sometimes implicitly identify the *G-edge* $(v, v')(v', v)$ with the corresponding edge vv' of G .

The following properties of U are immediate. Any vertex of U is incident with at most one *G-edge*. U has G as a minor, where the branching set of the vertex v has the form $\{v\} \times V(T)$. In other words, we obtain G as a minor of U by contracting all *T-edges*.

Definition 9.1. Let $P_G = p_1(p_1, p_2)p_2 \dots (p_{n-1}, p_n)p_n$ be a walk in G . Let $t, t' \in V(T)$. Then $u_{t, t'}(P_G)$ denotes the following walk in U .

$$\begin{aligned} u_{t, t'}(P_G) = & [\{p_1\} \times (tTp_2)] \circ [(p_1, p_2)(p_2, p_1)] \circ [\{p_2\} \times (p_1Tp_3)] \circ \\ & [(p_2, p_3)(p_3, p_2)] \circ [\{p_3\} \times (p_2Tp_4)] \circ \dots \circ [\{p_n\} \times (p_{n-1}Tt')] \end{aligned}$$

Definition 9.2. Let P_U be a walk in U from (p_1, t) to (p_n, t') . Then the set of its *G-edges* forms a walk in G from p_1 to p_n . We denote this walk by $g(P_U)$.

Lemma 9.3. *The operations u and g are inverse to each other for walks that traverse no edge more than once.*

Proof. It is immediate from the definitions that $g(u_{t, t'}(P)) = P$.

For the other direction, let P be a walk in U from (p_1, t) to (p_n, t') . We are to show that $u_{t, t'}(g(P)) = P$. This follows from the fact the branching set of every $v \in V(G)$ is a tree. \square

Note that if P is a path in G , then $u_{t, t'}(P)$ is a path whereas if P is a path in U , the walk $g(P)$ need not be a path.

Corollary 9.4. *Let $R_G = p_1(p_1, p_2)p_2 \dots$ be a ray in G . Then for any $t \in T$, there is a unique ray $u_t(R_G)$ starting at (p_1, t) in U included in the *T-edges* together with $\{(p_1, p_2)(p_2, p_1), \dots\}$.*

More precisely:

$$u_t(R_G) = [\{p_1\} \times (tTp_2)] \circ [(p_1, p_2)(p_2, p_1)] \circ [\{p_2\} \times (p_1Tp_3)] \circ \dots$$

Remark 9.5. A result similar to Corollary 9.4 also holds for combs since we have it for paths and rays. A little bit of care is needed when choosing the starting points t of the paths $u_{t,t'}(P)$ to ensure that these paths only meet the spine of the comb in their initial vertices.

The following lemma allows us to turn finite separators in G into finite separators in U .

Lemma 9.6. Let X be a finite set of vertices of G , and let $w = (v, t)$ and $w' = (v', t')$ be vertices of U such that v and v' are in different components of $G \setminus X$.

Then $X \times X$ separates w from w' in U .

Proof. Let P_U be some w - w' -path in U . Let $g(P_U) = p_1(p_1, p_2)p_2 \dots (p_{n-1}, p_n)p_n$ with $p_1 = v$ and $p_n = v'$.

Let C_1 be the component of $G \setminus X$ containing p_1 . Let $i \in \{1, \dots, n\}$ be maximal such that $p_i \in C_1$. Such an i exists as $p_1 \in C_1$. Note that $p_i \neq p_n$. Then p_{i+1} is in X .

Since $p_{i+1} \neq p_n, p_1$, the path P_U has $\{p_{i+1}\} \times (p_iTp_{i+2})$ as a subpath. Since $p_i \in C_1$ but $p_{i+2} \notin C_1$, the path p_iTp_{i+2} has to meet X in some point x . Then P_U meets $X \times X$ in (p_{i+1}, x) , completing the proof. \square

The following lemma is the reason why we call U the Undomination-graph of G .

Proposition 9.7. In $U(G, T)$, no vertex dominates a ray.

Proof. Suppose for a contradiction that U has a vertex (v, t) dominating a ray R . Then there is an infinite collection $(P_n | n \in \mathbb{N})$ of (v, t) - R -paths in U that meet only in (v, t) . Since all edges except for at most one edge incident with (v, t) are T -edges, we may assume that the second vertex on each P_n has the form (v_n, t) where v_n is a neighbour of v in T . Since v has at most one lower neighbour in T , we may even assume that all the v_n are upper neighbours of v in T .

Let $\lceil v \rceil$ be the set of those vertices that are less than or equal to v in the tree order of T . As T is normal, all the v_n are in different components of $G \setminus \lceil v \rceil$. By Lemma 9.6, all the (v_n, t) are in different components of $U \setminus (\lceil v \rceil \times \lceil v \rceil)$.

Since $\lceil v \rceil \times \lceil v \rceil$ is finite, we can find a tail R' of R that avoids $\lceil v \rceil \times \lceil v \rceil$. Then for any two paths P_i and P_j that avoid $\lceil v \rceil \times \lceil v \rceil$ and meet R' , the set $R' \cup P_i \cup P_j$ is connected in $U \setminus (\lceil v \rceil \times \lceil v \rceil)$. Hence the vertices (v_i, t) and (v_j, t) are in the same connected component of $U \setminus (\lceil v \rceil \times \lceil v \rceil)$.

Since $R \setminus R'$ and $\lceil v \rceil \times \lceil v \rceil$ are both finite, there exist such paths P_i and P_j , which yields the desired contradiction. \square

Next we shall investigate how the ends of U relate to the ends of G .

Lemma 9.8. *Let R_1 and R_2 be rays of G . Then R_1 and R_2 belong to the same end of G if and only if $u_t(R_1)$ and $u_{t'}(R_2)$ belong to same end of U for any $t, t' \in V(T)$.*

Proof. First suppose that $u_t(R_1)$ and $u_{t'}(R_2)$ belong to different ends of U . Then there is a finite set $S = (v_1, t_1), \dots, (v_n, t_n)$ separating them. Without loss of generality we may assume that $u_t(R_1)$ and $u_{t'}(R_2)$ do not meet S . Let P be some R_1 - R_2 -path, which goes from (w, s) to (w', s') . Then $u_{s,s'}(P)$ meets S in some point, say (v_i, t_i) . Hence $\{v_1, \dots, v_n\}$ separates R_1 from R_2 , yielding the first implication.

The other implication is an immediate consequence of Lemma 9.6. \square

By Lemma 9.8, the map u induces an inclusion \tilde{u} from the ends of G into the ends of U . Let C be the set of T -edges. The purpose of this subsection is to prove the following.

Theorem 9.9. *Assume that $(U, \tilde{u}(\Psi))$ induces a matroid M . Then (G, Ψ) induces the matroid M/C .*

The Undomination-graph $U(G, T)$ is locally finite whenever T is locally finite. Thus Theorem 9.9, reduces the case where G has a locally finite normal spanning tree to the locally finite one, which is the aim of this subsection.

The proof of Theorem 9.9 takes the rest of this subsection.

Lemma 9.10. *Assume that $(U, \tilde{u}(\Psi))$ induces a matroid M . Then the edge set b is an M/C -cocircuit b if and only if it is a Ψ^G -bond of G .*

Proof. First suppose that b is an M/C -cocircuit. The cocircuit b is a $\tilde{u}(\Psi)^G$ -bond of U that does not meet C . Since the graphs U/C and G are equal, it remains to show that b considered as an edge set of G does not have any end of Ψ in its closure.

Suppose for a contradiction that there is such an end $\omega \in \Psi$ that is in the closure of b . Let R_ω be some ray in ω .

By Lemma 3.1, there is a comb K with spine R_ω all of whose teeth are endvertices of b . Then in U , the set $K \cup C$ contains a comb all of whose teeth are in endvertices of b with spine $u_t(R_\omega)$ for some t by Remark 9.5. Hence $\tilde{u}(\omega)$ is in the closure of b , a contradiction.

Next suppose that b is a Ψ^G -bond of G . As above, it is clear that b considered as an edge set of U is a bond.

Now suppose for a contradiction that there is some end $\omega \in \tilde{u}(\Psi)$ in the closure of b . We pick a ray $R_\omega \in \tilde{u}^{-1}(\omega)$. By Lemma 3.1 there is a comb in U with spine $u(R_\omega)$ all of whose teeth are endvertices of b . Then this comb defines a comb in G with comb R_ω , which is impossible. This completes the proof. \square

Next we prove Lemma 9.10 for circuits, which is a little more complicated.

We define the map $p : |U|_{\tilde{u}(\Psi)} \rightarrow |G|_\Psi$ as follows. A vertex (v, t) maps to v , a G -edge $(v, t)(t, v)$ maps to the edge vt , all interior points of a T -edge $(v, t)(v, t')$ map to v , and an end $\omega \in \tilde{u}(\Psi)$ maps to $\tilde{u}^{-1}(\omega)$.

Lemma 9.11. *p is continuous.*

Proof. Let O be some open set in $|G|_\Psi$. Let $x \in p^{-1}(O)$. If x is an interior point of a G -edge, then $p^{-1}(O)$ clearly includes a neighbourhood around x . If x is an interior point of a T -edge, then $p^{-1}(O)$ included the whole interior of that edge.

If x is a vertex, then there is some ϵ with $B_\epsilon(p(x)) \subseteq O$: then $B_\epsilon(x) \subseteq p^{-1}(O)$.

If x is an end, then some basic open set $\hat{C}(S, p(x))$ is included in O . Let $D = D(S \times S, x)$ be the unique component of $U \setminus S \times S$ having x in its closure. We show that $\hat{D}(S \times S, x)$ is a subset of $p^{-1}(O)$. Clearly all edges and vertices of $\hat{D}(S \times S, x)$ are in $p^{-1}(O)$. So let $\omega \in \hat{D}(S \times S, x)$ be an end.

Let $(v, t) \in D$. Let R be a ray in G that is in $\tilde{u}^{-1}(\omega)$. Then (for any t) $u_t(R)$ is eventually in D as $u_t(R) \in \omega$. By Lemma 9.6, R is then eventually in the same component as v . So it is in $C(S, p(x))$. Hence $\omega \in \hat{C}(S, p(x))$. This completes the proof of the continuity of p . \square

Since \tilde{G}_Ψ has the quotient topology, the quotient map $\pi_G : |G|_\Psi \rightarrow \tilde{G}_\Psi$ is continuous. Similarly, the quotient map $\pi_U : |U|_{\tilde{u}(\Psi)} \rightarrow \tilde{U}_{\tilde{u}(\Psi)}$ is continuous. All the maps occurring here are shown in Figure 6.

Lemma 9.12. *For any two $x, y \in \tilde{U}_{\tilde{u}(\Psi)}$ with $\pi_G(p(x)) \neq \pi_G(p(y))$, we have $\pi_U(x) \neq \pi_U(y)$.*

In particular, there is a unique map $\tilde{p} : \tilde{U}_{\tilde{u}(\Psi)} \rightarrow \tilde{G}_\Psi$ satisfying $\tilde{p}(\pi_U(x)) = \pi_G(p(x))$. Moreover, \tilde{p} is continuous.

It might be worth noting that since U is locally finite, the map π_U is the identity, which makes the Lemma rather trivial. However we will not use this in the proof as we rely on this Lemma later on in a slightly different context where π_U is not the identity.

Proof. Since $\pi_G(p(x)) \neq \pi_G(p(y))$, there is some Ψ -bounded cut of G with $p(x)$ and $p(y)$ on different sides by Lemma 3.5. Then there is also a $\Psi^{\mathbb{C}}$ -bond b of G with $p(x)$ and $p(y)$ on different sides. By Lemma 9.10, the bond b is also a $\tilde{u}(\Psi)^{\mathbb{C}}$ -bond in U . And this bond witnesses that $\pi_U(x) \neq \pi_U(y)$ by the other implication of Lemma 3.5. This proves the first part of the Lemma.

It remains to show that \tilde{p} is continuous. This follows from the universal property of the quotient map π_U since the concatenation of π_G and p is continuous. \square

Corollary 9.13. *Assume that $(U, \tilde{u}(\Psi))$ induces a matroid M . Then for any M/C -circuit o and any edge $e \in o$, the circuit o includes a Ψ -circuit of G containing e .*

Proof. Let o be some M/C -circuit. Then there is some M -circuit $o' \subseteq o \cup C$ by Lemma 2.5. Let $o'' = \tilde{p} \circ o'$ as in Figure 6.

Let e be some edge in o . Then e considered as an edge of U is mapped under \tilde{p} to the edge e considered as an edge of G , which is then in the image of o'' .

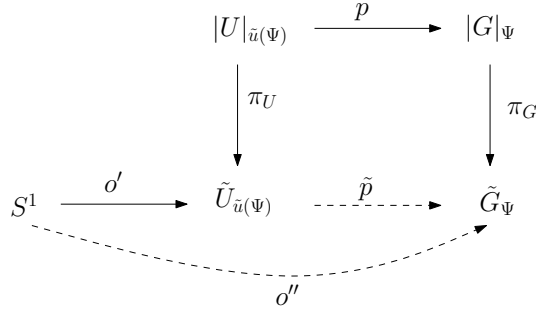


Figure 6: The construction of the map o'' .

Then the restriction of o'' to those points that do not map to interior points of e is a path between the two endvertices of e , that is a continuous function from $[0, 1]$ to \tilde{G}_{Ψ} mapping 0 and 1 to the endvertices of e . By a well-known Lemma of basic topology[1], there is an arc (injective path) between the two endvertices of e whose image is included in the image of that path. The concatenation of this arc with some continuous function from $[0, 1]$ to e defines the desired Ψ -circuit. \square

By Corollary 9.13, Lemma 9.10 and Lemma 3.8, we can apply Lemma 2.8 and deduce Theorem 9.9.

9.2 From the case that the graph has a locally finite normal spanning tree to the countable case

The aim of this subsection is to prove the following.

Proposition 9.14. *For every countable graph G together with $\Psi_G \subseteq \Omega(G)$ there is a graph H having a locally finite normal spanning tree together with $\Psi_H \subseteq \Omega(H)$ and $C \subseteq E(H)$ such that if (H, Ψ_H) induces a matroid M , then (G, Ψ_G) induces M/C .*

First we need the following lemma.

Lemma 9.15. *Let G be a countable graph together with a normal spanning tree T_G . Then there is a countable graph H together with a locally finite normal spanning tree T_H and $C \subseteq E(T_H)$ such that $G = H/C$ and $T_G = T_H/C$.*

Proof of Lemma 9.15. First we construct T_H . Let X be the set of those vertices of T_G that have infinitely many upper neighbours. We obtain the tree T' from T_G by adding a ray R_x starting at x for every $x \in X$.

We obtain T_H from T' by replacing each edge of the type vx where v is an upper neighbour in T' of x by the edge vx' for some $x' \in R_x - x$ in such a way that for all $x \in X$ all vertices in $R_x - x$ get degree 3. This is possible by the choice of X . Note that any $x \in X$ has degree at most 2 in T_H , and hence T_H is

locally finite. Let C be the set of all those edges contained in some R_x . Then $C \subseteq E(T_H)$ and $T_G = T_H/C$.

Note that $V(G) \subseteq V(T_H)$. We obtain H from T_H by adding all edges $e \in E(G) \setminus E(T_G)$. It is straightforward to check that $G = H/C$ and T_H is normal in H . This completes the proof. \square

Proof of Proposition 9.14. First note that every countable graph has a normal spanning tree [9]. Hence we may pick a normal spanning tree T_G of G .

By Lemma 9.15, there is a countable graph H together with a locally finite normal spanning tree T_H and $C \subseteq E(T_H)$ such that $G = H/C$ and $T_G = T_H/C$.

Every normal ray R of G starting at some vertex $v \in V(G)$ extends to a unique normal ray $h(R)$ starting at the same vertex v and that is included in $R \cup C$.

It is straightforward to check that R and R' belong to the same end of G if and only if $h(R)$ and $h(R')$ belong to the same end of H . This defines an inclusion \tilde{h} from the ends of G into the ends of H . We let $\Psi_H = \tilde{h}(\Psi_G)$.

We define the map $p : |H|_{\Psi_H} \rightarrow |G|_{\Psi_G}$ to be \tilde{h}^{-1} on the ends, map R_x to x for every $x \in X$, and to be the identity everywhere else. As in the proof of Theorem 9.9, we show the following.

Lemma 9.16. *p is continuous.*

Proof. Let O be some open set in $|G|_{\Psi_G}$. Let $y \in p^{-1}(O)$. If y is a vertex or an interior point of an edge, then $p^{-1}(O)$ includes an open neighbourhood around y as in the proof of Lemma 9.11.

If y is an end in Ψ_H , then O includes a basic open set of the form $\hat{C}(S, \tilde{h}^{-1}(y))$. We pick $v \in V(G)$ such that in T_G it separates S from $\tilde{h}^{-1}(y)$. Note that this is possible since S is finite.

Then $\hat{C}(S_v, y) \subseteq p^{-1}(O)$ where S_v is the down-closure of v in T_H . This completes the proof of the continuity of p . \square

Now assume that (H, Ψ_H) induces a matroid M . The proofs of Lemmata 9.10, 9.12 and 9.13 extend immediately to our setting. Hence we can apply the proof of Theorem 9.9 from the last section to conclude that (G, Ψ_G) induces M/C . \square

10 Applications

We are now in a position to begin applying our main results, to answer some of the basic questions about matroids discussed in the introduction. We begin by showing that there are as many countable tame matroids as there could possibly be: we prove that there are $2^{2^{\aleph_0}}$ non-isomorphic countable tame matroids with no $M(K_4)$ -minor and no $U_{2,4}$ -minor (Corollary 1.6 from the Introduction).

Proof. First we outline the construction of the $2^{2^{\aleph_0}}$ non-isomorphic matroids. Let T be a tree with precisely one vertex of each finite degree ≥ 2 . We will use the graph $G = T \times K_2$: that is, G is built from two disjoint copies of T by

adding an edge between each vertex and its clone. We call the two copies of the vertex of degree n v_n and v'_n . So for any $\Psi \subseteq \Omega(G)$ the pair (G, Ψ) induces a matroid $M(\Psi)$ by Lemma 6.11.

It suffices to show for any isomorphism $f : M(\Psi) \rightarrow M(\Psi')$ that $\Psi = \Psi'$.

The edge $v_n v'_n$ is in precisely n circuits of length 4. Since all edges not of the type $v_n v'_n$ are in precisely one circuit of length 4, the map f maps $v_n v'_n$ to itself.

Let e be some edge not of the type $v_n v'_n$. Then it is contained in a unique circuit of length 4 which contains its clone and two other edges, say $v_i v'_i$ and $v_j v'_j$. The edge e cannot be distinguished from its clone and f may map it to itself or to its clone but it cannot map it to some other edge because $f(e)$ must lie in a common 4-circuit with $v_i v'_i$ and $v_j v'_j$.

For every end ω of G , $\omega \in \Psi$ if and only if the unique double ray D containing $v_2 v'_2$ and with both ends in ω is a circuit of $M(\Psi)$. But by the above argument, each such D is fixed by f . Hence $\Psi = \Psi'$.

Having shown that there are $2^{2^{\aleph_0}}$ non-isomorphic tame matroids, it remains to show that none of them has $M(K_4)$ or $U_{2,4}$ as a minor. Combining the fact that in these matroids every circuit-cocircuit intersection is even by Remark 7.3 with a result of [4], yields that they do not have a $U_{2,4}$ -minor.

If $M(\Psi)$ had an $M(K_4)$ -minor, we would be able to find a 2-separation of G with at least two of the six edges of that minor on each side. But this would induce a 2-separation of $M(\Psi)$, which in turn would induce a separation of $M(K_4)$ with at least 2 edges on each side. Since there is no such 2-separation, there can be no $M(K_4)$ minor. \square

A direct consequence of Corollary 1.6 is that there is no universal matroid for the class of countable planar matroids (Corollary 1.7 from the Introduction) since every countable matroid has at most 2^ω many non-isomorphic minors but the class of countable planar matroids has $2^{2^{\aleph_0}}$ many non-isomorphic members.

Finally, we prove that the countable binary matroids of branch-width at most 2 are not well-quasi-ordered (Corollary 1.5 from the Introduction).

Throughout the rest of this section $2^{\mathbb{N}}$ is endowed with the product topology. The next Lemma finds complicated subsets of $2^{\mathbb{N}}$.

Lemma 10.1. *There is a sequence of subsets $\Psi_n \subseteq 2^{\mathbb{N}}$ with the following properties.*

1. Each Ψ_n has cardinality 2^{\aleph_0} .
2. There do not exist $i < j \in \mathbb{N}$ and an injective continuous map $f : 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}}$ such that $f(\Psi_i) \subseteq \Psi_j$.

Before proving this lemma, let us see how we can deduce Corollary 1.5 from it.

Proof that Lemma 10.1 implies Corollary 1.5. As in Lemma 6.11, we consider the graph $G = T_2 \times K_2$. Note that $\Omega(G)$ and $2^{\mathbb{N}}$ are homeomorphic. Let M_n be the Ψ -matroid $M(G_n, \Psi_n)$ with $G_n = G$, which is a matroid as shown in that

Lemma. It is easy to check that G has branch-width 2, so M_n has branch-width 2 as well.

Suppose for a contradiction that there are $i < j$ such that $M_i \cong M_j/C \setminus D$. By Lemma 10.1, it remains to find an injective continuous map $f: \Omega(G_i) \rightarrow \Omega(G_j)$ such that $f(\Psi_i) \subseteq \Psi_j$.

For $\omega \in \Omega(G_i)$, we pick a double ray $D(\omega)$ having only ω in its closure. Then the edge set of $D(\omega)$ considered as an edge set of G_j has only a single end in its closure. Indeed, if there were two ends in its closure, then there is a 2-separation of M_j having infinitely many edges from $D(\omega)$ on both sides. This then would give rise to a 2-separation of M_i with infinitely many edges from $D(\omega)$ on both sides, which is impossible.

This motivates the following definition: we define $f(\omega)$ to be the unique end of G_j in the closure of $D(\omega)$. Note that this does not depend on the choice of $D(\omega)$ since any two such choices differ by finitely many edges only.

To see that $f(\Psi_i) \subseteq \Psi_j$, note that for every $\omega \in \Psi_i$ the set $D(\omega)$ extends to a circuit of M_j using additionally only edges from C . This circuit has only ends from Ψ_j in the closure. Hence the unique end in the closure of $D(\omega)$ must be in Ψ_j .

To see that f is continuous, let $\omega \in \Omega(G_i)$ and let $\hat{C}_\epsilon(S, f(\omega))$ be a basic open neighbourhood of $f(\omega)$. Then S defines a separation of M_j of finite order with the edges of $C(S, \omega)$ on one side. Then the set F of all these edges without $C \cup D$ forms the side of a separation of finite order in M_i , which gives rise to a vertex separator S' in G_i (Formally, S' consists of those vertices that are incident with one edge in F and one outside). Then $\hat{C}_\epsilon(S', \omega) \subseteq f^{-1}(\hat{C}_\epsilon(S, f(\omega)))$. Hence f is continuous.

It remains to show that f is injective. So suppose for a contradiction that there are $\omega_1 \neq \omega_2$ in $\Omega(G_i)$ that are mapped to the same end τ in $\Omega(G_j)$. We may assume that we picked $D(\omega_1)$ and $D(\omega_2)$ such that they are vertex-disjoint.

We shall construct a 2-separation (A, B) of M_j such that A and B both include an edge from each of $D(\omega_1)$ or $D(\omega_2)$. For this, we pick some $e_1 \in D(\omega_1)$ and some $e_2 \in D(\omega_2)$. Then in G_j , there are two vertices v and w such that the components of $G - v - w$ containing e_1 or e_2 do not have τ in their closure. Let B consist of those edges of G that are only incident with v, w or vertices of the component $G/\{v, w\}$ that has τ in its closure. Let $A = E(M_j) \setminus B$.

Since $A \setminus (C \cup D)$ and $B \setminus (C \cup D)$ both have at least 2 elements, $(A \setminus (C \cup D), B \setminus (C \cup D))$ is a 2-separation of $M_j/C \setminus D$. Since $M_i \cong M_j/C \setminus D$, this gives rise to a 2-separation of M_i having on each side at least one edge from each of $D(\omega_1)$ and $D(\omega_2)$.

This gives rise to a 2-separation (A', B') in G_i , and it induces a separation on the closure of $D(\omega_1)$ in M_i . Since this closure is 2-connected, this separation has order 2 and thus $D(\omega_1)$ includes the separator of (A', B') . Similarly, $D(\omega_2)$ includes this separator, contradicting the fact that $D(\omega_1)$ and $D(\omega_2)$ are vertex-disjoint. This completes the proof. \square

Proof of Lemma 10.1. We build the sets Ψ_n recursively. So let us suppose that

Ψ_1, \dots, Ψ_n are already constructed such that they satisfy (1) and (2) for all $j \leq n$.

Let K be the set of pairs (i, f) where $i \leq n$ and $f : 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}}$ is a continuous injective function.

Since $2^{\mathbb{N}}$ has a countable basis as a topological space, the set K has size 2^{\aleph_0} . Let κ be the least ordinal of size 2^{\aleph_0} . We can well-order K as $((i_\alpha, f_\alpha) \mid \alpha < \kappa)$.

For every $\alpha < \kappa$ we pick two elements $s_\alpha, t_\alpha \in 2^{\mathbb{N}}$ such that all the s_α and t_α are distinct and $t_\alpha \in f_\alpha(\Psi_{i_\alpha})$. This is possible as $|2^{\mathbb{N}}| = 2^{\aleph_0}$.

We let $\Psi_{n+1} = \{s_\alpha \mid \alpha < \kappa\}$. Then $|\Psi_{n+1}| = 2^{\aleph_0}$ since all the s_α are disjoint. Let f be some continuous function $f : 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}}$ and $i < n+1$. Then there is some $\alpha < \kappa$ such that $f_\alpha = f$ and $i_\alpha = i$. We ensured at step α that $t_\alpha \in f_\alpha(\Psi_{i_\alpha})$ and hence $f(\Psi_i) \not\subseteq \Psi_{n+1}$, yielding (2) for all $j \leq n+1$. This completes the proof. \square

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