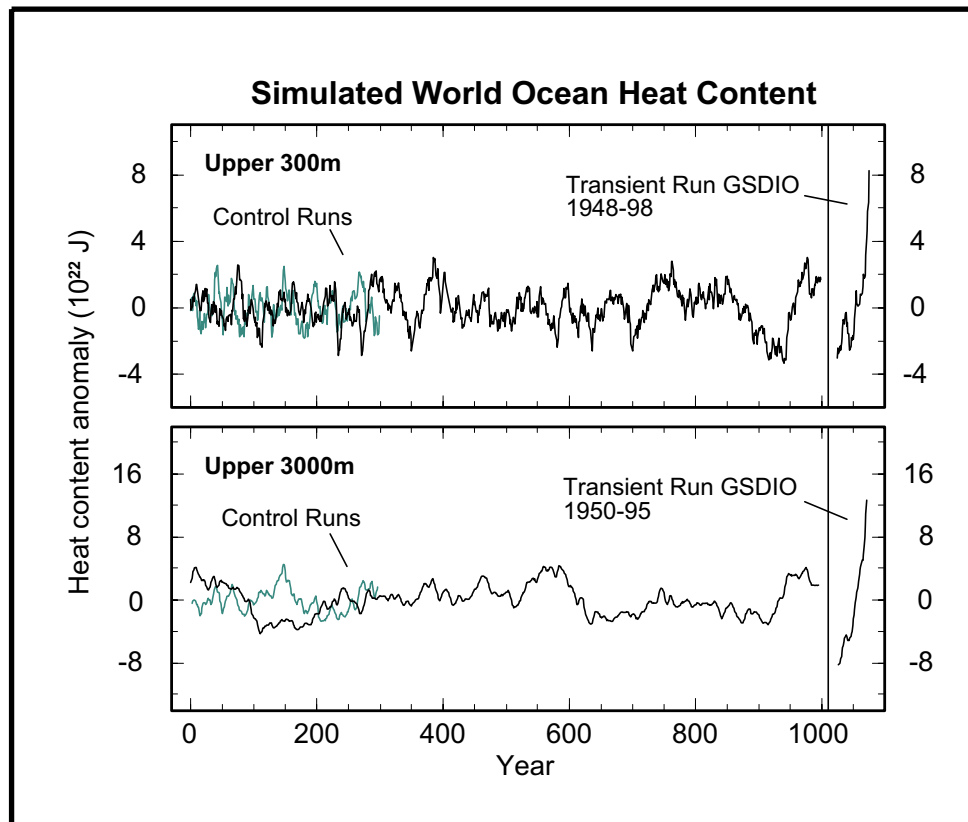




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GLOBAL OCEAN WARMING TIED TO ANTHROPOGENIC FORCING

by

Bernhard K. Reichert • Reiner Schnur • Lennart Bengtsson

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AUTHORS:

Reiner Schnur
Lennart Bengtsson

Max-Planck-Institut
für Meteorologie

Bernhard K. Reichert

Lamont-Doherty Earth Observatory
Columbia University
Palisades
New York

MAX-PLANCK-INSTITUT
FÜR METEOROLOGIE
BUNDESSTRASSE 55
D - 20146 HAMBURG
GERMANY

Tel.: +49-(0)40-4 11 73-0
Telefax: +49-(0)40-4 11 73-298
E-Mail: <name> @ dkrz.de

Global ocean warming tied to anthropogenic forcing

BERNHARD K. REICHERT¹, REINER SCHNUR, AND LENNART BENGTTSSON

Max Planck Institute for Meteorology, Hamburg, Germany

¹*Now at Lamont-Doherty Earth Observatory,
Columbia University, Palisades, New York*

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Geophysical Research Letters*

Corresponding author address:

*Dr. Bernhard K. Reichert
Lamont-Doherty Earth Observatory, Columbia University
61 Route 9W, Palisades, New York 10964
e-mail: reichert@ldeo.columbia.edu
<http://www.ldeo.columbia.edu/~reichert>*

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Abstract. Observed global ocean heat content anomalies over the past five decades agree well with an anthropogenically forced simulation using the European Center/Hamburg coupled general circulation model (GCM) ECHAM4/OPYC3 considering increasing greenhouse gas concentrations, the direct and indirect effect of sulphate aerosols, and anthropogenic changes in tropospheric ozone. An optimal detection and attribution analysis confirms that the simulated climate change signal can be detected in the observations in both the upper 300 m and 3000 m of the water column and that the observed changes in ocean heat content are consistent with those expected from the anthropogenically forced GCM integration. This suggests that anthropogenic forcing is a likely explanation for the observed global ocean warming over the past five decades.

1. Introduction

Due to its large heat capacity and mass, the world ocean is able to store or release large amounts of heat over long periods of time through direct contact with the atmosphere. Global ocean temperature changes are a consequence of long-term net surface heating imbalances. It has previously been found [Bengtsson, 1996] that a transient integration with the coupled general circulation model (GCM) ECHAM4/OPYC3 [Roeckner *et al.*, 1999] forced by increasing greenhouse gas concentrations since 1860 (integration GHG) resulted in a long-term positive total surface heat flux into the world ocean leading to a heat content increase of about 5×10^{23} J within the time period 1860-1990. Validation of this quantity via observations has been difficult since reliable data for the past, especially for the deep oceans are sparse. Such a validation is however strongly desirable since it may permit the detection of possible anthropogenic signals in the climatically-critical deep ocean, thus significantly extending results from climate change detection studies based on near-surface temperature alone [e.g. Hasselmann, 1997; Hegerl *et al.*,

1997; Barnett *et al.*, 1999; Allen *et al.*, 2001]. Recently published observational data [Levitus *et al.*, 2000] now allow validation at least for the time period of the past five decades. The data show a positive trend of the upper world ocean's heat content, with significant differences in the warming rate for individual ocean basins. The comparison between these data and a coupled version of the fourth generation of the European Center/Hamburg general circulation model (ECHAM4/OPYC3) will be the main subject of this study and will lead to important conclusions on the detection and attribution of climate change.

2. GCM experiments

The ECHAM4 model [Roeckner *et al.*, 1996] has 19 vertical levels and is integrated at T42 horizontal resolution corresponding to a latitude-longitude grid of about $2.8^\circ \times 2.8^\circ$. The model is coupled to the full ocean general circulation model OPYC3 [Oberhuber, 1993] consisting of three sub-models: interior ocean, surface mixed-layer, and sea ice (dynamic-thermodynamic sea ice model including viscous plastic rheology). OPYC3 has 11 layers; the horizontal resolution poleward of 36° latitude is identical to that of ECHAM4 (T42) while, at lower latitudes, it is gradually increased to 0.5° at the equator. Experiments with the coupled model version ECHAM4/OPYC3 discussed in this study are described in detail in Roeckner *et al.* [1999]. In the 300-year control integration, the concentrations of carbon dioxide, methane, and nitrous oxide are fixed at the observed 1990 values [IPCC, 1990]. The transient integration GSDIO is integrated for the period 1860-2050 and considers observed (1860-1990) and estimated future (1990-2050) increases [IPCC, 1996; scenario IS92a] of greenhouse gas concentrations for CO_2 , CH_4 , N_2O , and several industrial gases. It also includes the direct radiative effect of sulphate aerosols, the indirect effect of aerosols on cloud albedo, and the radiative forcing due to anthropogenic changes in tropospheric ozone. Natural variability of ocean heat content is further estimated using a 1000-year control integration of ECHAM4 coupled to the HOPE ocean model

[Wolff *et al.*, 1997; Legutke and Voss, 1999]. The model-specific drifts over the simulation periods are approximated by a cubic function and removed from the integrations. All heat content anomalies are reported as anomalies relative to the mean over the entire time period of interest.

3. Impact of Spatio-temporal Data Coverage

Observed and simulated transient heat content anomalies (GSDIO integration) in the upper 300 m and 3000 m of individual ocean basins are shown in Figures 1 and 2 respectively. The corresponding linear trends along with the percentage of variance accounted for by the trends are presented in Table 1. Two different spatial sampling methods are used. For the first method (solid lines in Figures 1 and 2; middle column in Table 1), spatial sampling of simulated anomalies is masked using observed data availability. This means that, after interpolating model output to the three-dimensional observational grid, simulated heat content anomalies are calculated exclusively from grid volumes which correspond to non-missing observations as described in the “gp” observational data set [Antonov *et al.*, 1998]. For comparison, we show simulated heat content anomalies calculated for the full model ocean basins (dotted lines in Figures 1 and 2; right column in Table 1) regardless of the existence of observational data at each grid volume.

Differences due to the sampling method are generally small in the upper 300 m and trends for the full model ocean basins are similar or, at least for the Atlantic and Indian oceans, just slightly stronger compared to the restricted ocean basin calculations (Table 1). The overall trend for the world ocean calculated from the full ocean basins is about 10% higher compared to the restricted basin. Such an increase could be expected simply when assuming a uniform trend in the larger water volume considered. In the upper 3000 m, heat content anomalies are sensitive to the lower observational data coverage for the South Pacific in the 1970’s and 1980’s indicating that this

region would significantly benefit from more observations. The trend for the full Pacific Ocean basin simulation is weaker than for the restricted ocean calculation, although the variances accounted for by the trends are low (less than 30%, Table 1). In spite of differences also for other ocean basins, the impact of the sampling method on the entire world ocean's heat content trend in the upper 3000 m is relatively small. The overall trend for the full basin (43.0×10^{20} J/a) is just slightly weaker compared to the restricted basin calculation (45.1×10^{20} J/a). This demonstrates that the modelled global oceans are not uniformly heated. Outside of the area constrained by observations, there are regions with trends opposite to the apparent overall trend, emphasizing the dynamical character of the system.

For the period before 1955, missing data have a large impact on the calculated heat content in the upper 3000 m of the South Atlantic, the North Pacific and to a fewer degree the South Pacific and the Indian oceans. Calculated trends (Table 1) are however not affected since they are obtained for the time period after 1955 only [Levitus *et al.*, 2000]. In the upper 300 m, this impact is smaller but can be seen in the South Atlantic and South and North Pacific before 1955.

4. Simulated and Observed Ocean Warming Trends

Comparing simulated and observed heat content anomalies, it needs to be kept in mind that the coupled GCM integration produces its own natural internal climate variability, superimposed on the general trends. Therefore, especially when looking at individual ocean basins, one can naturally not expect a perfect match between amplitude and phasing of variations in observed and simulated heat content anomalies below decadal time scales. We therefore focus on linear trends over the observational time period (Table 1) and on decadal averages in the next section.

The simulated global ocean heat content trends masked using observed data agree well with observations, differences are found to be below 10% for both the upper 300 m and 3000 m

(Table 1). In the upper 300 m, we also find a good agreement for the individual ocean basins (Figure 1). In the upper 3000 m, trends for the Atlantic Ocean agree well, simulated trends are however too strong in the Indian and too weak in the Pacific Ocean (Figure 2). Some of the differences may be due to the changing data coverage and/or quality of data for individual time periods, as discussed above. Another source of disagreement are model deficiencies or forcing mechanisms not considered in the model integration, e.g. the absence of volcanic forcing. However, we think that the main differences are due to the impact of internal climate variations in the GCM integrations, affecting the entire trend for the individual ocean basins. This is supported by the fact that simulated trends for individual ocean basins converge with observations when basin-specific, spatially-heterogeneous patterns are averaged into world ocean means with internal variations becoming less significant. Note also that the low variances accounted for by the linear trends for some ocean basins (e.g. less than 40% for the Pacific in the upper 3000 m, Table 1) make the comparison between simulated and observed trends for individual basins more difficult.

5. Detection and Attribution

Simulated trends in global ocean heat content using the scenario experiment GSDIO over the recent five decades are large in comparison with the two multi-century control integrations (Figure 3). The results obtained so far represent elements of a simple detection and attribution (D&A) analysis: trends are large in comparison with the control variability (detection), and agreement between the forced GCM simulation and observations is good (attribution; Figures 1 and 2). These findings are substantiated by a formal optimal D&A analysis [*Hegerl et al.*, 1997; *Tett et al.*, 1999; *Barnett et al.*, 1999; *Allen et al.*, 2001; *IPCC*, 2001]. The diagnostic used consists of the time-space pattern concatenated from the decadal averages of ocean heat contents during the last 50 years of the observational period for the six individual ocean basins North and

South Pacific, North and South Atlantic, and North and South Indian Ocean. An expected pattern of climate change due to anthropogenic forcings (“fingerprint”) is obtained by computing this diagnostic from the GCM scenario experiment. The strength of this pattern in the observations (“signal”) is the projection of the observed diagnostic onto the fingerprint. To evaluate whether this strength is larger than would be expected in the absence of any anthropogenic forcing, a time series of similar projections is obtained from 50-year windows of a control experiment which can then be used to derive significance levels. The optimal D&A approach used here maximizes the signal-to-noise ratio by first normalizing all data by the noise covariance of natural variability derived from independent “control” data, thereby attenuating the influence from areas with large climate noise.

Results of this procedure (Figure 4) are presented in terms of multiple regression coefficients (“scaling factors”), including their confidence intervals. They specify by what amount the model diagnostic has to be multiplied to be in best agreement with the observed diagnostic [see e.g. Chapter 12 in *IPCC*, 2001]. Results for both the upper 300 m and 3000 m show that the simulated ocean heat content signal from the GSDIO experiment can be detected in the observations and, in addition, that the observed change is consistent with the forcing combination used in GSDIO.

6. Discussion and Conclusions

The coupled ECHAM4/OPYC3 integration GSDIO shows a global ocean net warming of about 23×10^{22} J in the upper 3000 m over the period 1955-1994. This agrees well with the observed heat storage of about 20×10^{22} J [*Levitus et al.*, 2000]. An optimal detection and attribution analysis for the upper 300 m and 3000 m confirms that the observed changes in ocean heat content within the past five decades are consistent with those expected from the

anthropogenically forced GCM integration considering increasing greenhouse gas concentrations, the direct and indirect effect of sulphate aerosols, and anthropogenic changes in tropospheric ozone. This does not mean that other climatic forcing factors which have not been included in the model integration (e.g. volcanic activity or solar irradiation changes) may not partly contribute to explain observations. However, our results show that the observed increase in world ocean heat content over the past five decades is very unlikely to be a result of natural internal climate variability alone and suggest anthropogenic forcing as a possible explanation. This is in agreement with other studies using the Parallel Climate Model (PCM) [Barnett *et al.*, 2001] and the GFDL model [Levitus *et al.*, 2001], demonstrating that climate models with slightly different forcings and different climate sensitivities appear to be suitable in order to draw similar conclusions in terms of detection and attribution of climate change in the deep oceans.

The impact of the observational data coverage on simulated global ocean heat content trends is small (as also indicated by Barnett *et al.* [2001]) and not relevant for the main conclusions of this study.

We find a very good agreement between observed and simulated warming trends even for individual ocean basins in the upper 300 m of the water column. Although differences for individual basins in the upper 3000 m are supposedly mainly due to internal climate variations superimposed on the general trends, the simulated variations do not always add up to fully match individual observed global ocean heat content fluctuations. It remains, for example, difficult to explain the observed period of global ocean cooling in the upper 3000 m in the early 1980s (Figure 2). This cooling does not appear to be due to climate forcings since neither volcanic forcing nor solar irradiation changes are likely to have this dominant effect within this time period (J. Hansen *et al.*, Climate forcings in GISS SI2000 simulations, submitted to JGR, 2001). Besides an investigation of observational inconsistencies, a further study using an ensemble of

coupled ECHAM GCM integrations is required in order to quantify the impact of internal variations in more detail.

It has been demonstrated in previous studies [e.g. *Roeckner et al.*, 1999] that the ECHAM4/OPYC3 model is able to reproduce observed global mean surface air temperature changes over the period 1860 to present. In this study, we have been able to validate the model independently with respect to another component of the climate system: we have shown that it can reproduce the observed warming trend in the world ocean over the past five decades. The results of this study are encouraging in the sense that they may deepen our confidence in the performance of the model, and they may consequently also strengthen the credibility of the model-predicted surface air temperature changes for future scenarios.

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Table 1. Calculated linear heat trends (unit 10^{20} J/a) in the upper 300 m of individual ocean basins for the period 1948-1998 and in the upper 3000 m for the period 1955-1994. Percentages of variance accounted for by the trends are shown in brackets. Trends are shown for observations (“Observed”), GCM simulations masked using observed data coverage (“Masked Simulation”), and GCM simulations for full model ocean basins (“Full Basin Simulation”).

Ocean	Depth	Observed	Masked Simulation	Full Basin Simulation
Atlantic	0-300 m	4.7 (48%)	4.5 (75%)	6.8 (81%)
Indian	"	3.0 (33%)	3.8 (61%)	4.0 (58%)
Pacific	"	3.8 (13%)	3.8 (34%)	3.4 (18%)
World	"	11.3 (37%)	12.3 (76%)	13.8 (70%)
Atlantic	0-3000 m	21.9 (91%)	19.8 (96%)	26.5 (95%)
Indian	"	8.8 (57%)	18.8 (94%)	15.3 (88%)
Pacific	"	11.2 (38%)	6.0 (28%)	2.0 (2%)
World	"	41.9 (73%)	45.1 (96%)	43.0 (91%)

Figure 1. Observed and simulated annual ocean heat content anomalies (unit: 10^{22} J) in the upper 300 m of the water column for individual ocean basins within the period 1948-1998. Bars show observational data including ± 1 standard error [Levitus, 2000]. Solid lines represent simulated heat content anomalies calculated from the ECHAM4/OPYC3 coupled GCM integration GSDIO sub-sampled to observational spatial data coverage. Dotted lines represent simulated heat content anomalies calculated for full model ocean basins.

Figure 2. Observed and simulated 5-year running means of ocean heat content anomalies (unit: 10^{22} J) in the upper 3000 m of the water column within the period 1950-1995. Bars and lines as in Figure 1.

Figure 3. Simulated world ocean heat content anomalies (unit: 10^{22} J) for the transient integration GSDIO (solid lines, right part of diagrams) over the recent five decades are large in comparison with the control integrations of ECHAM4/OPYC3 (300 years; gray line) and ECHAM4/HOPE (1000 years; solid line) in the upper 300 m (upper diagram) and 3000 m (lower diagram) of the water column.

Figure 4. Optimal detection and attribution for the ECHAM4/OPYC3 climate change scenario GSDIO and for the space-time evolution of decadal mean basin-averaged ocean heat contents during the past five decades. Symbols denote the best-fit regression coefficients (“scaling factors”) for the respective fingerprint and the observations. The bars represent 5-95% confidence intervals for the scaling factors derived from the ECHAM4/HOPE control run. The lower end of the confidence intervals is above the zero line which means that the simulated climate change pattern has been “detected” in the observations at the given confidence level, i.e. the contribution of this fingerprint in the observations is larger than could be expected by chance.

The confidence intervals include a scaling factor of one which means that the observed change can be “attributed” to the anthropogenic forcing in the GCM experiment, i.e. the data do not contain evidence against the hypothesis that this specific forcing caused the observed change. The ECHAM4/OPYC3 control run is used for optimal data weighing.

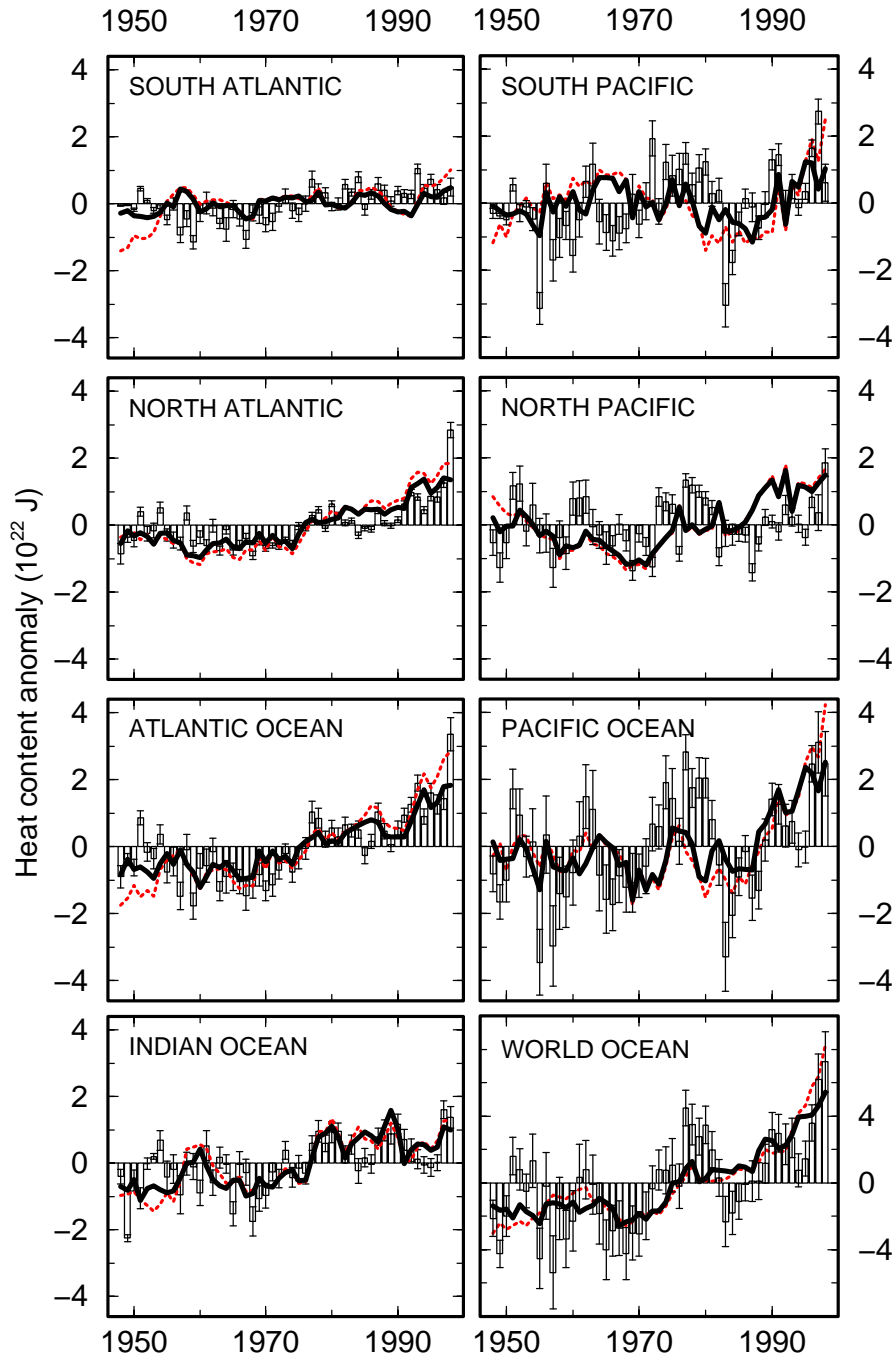


Figure 1.

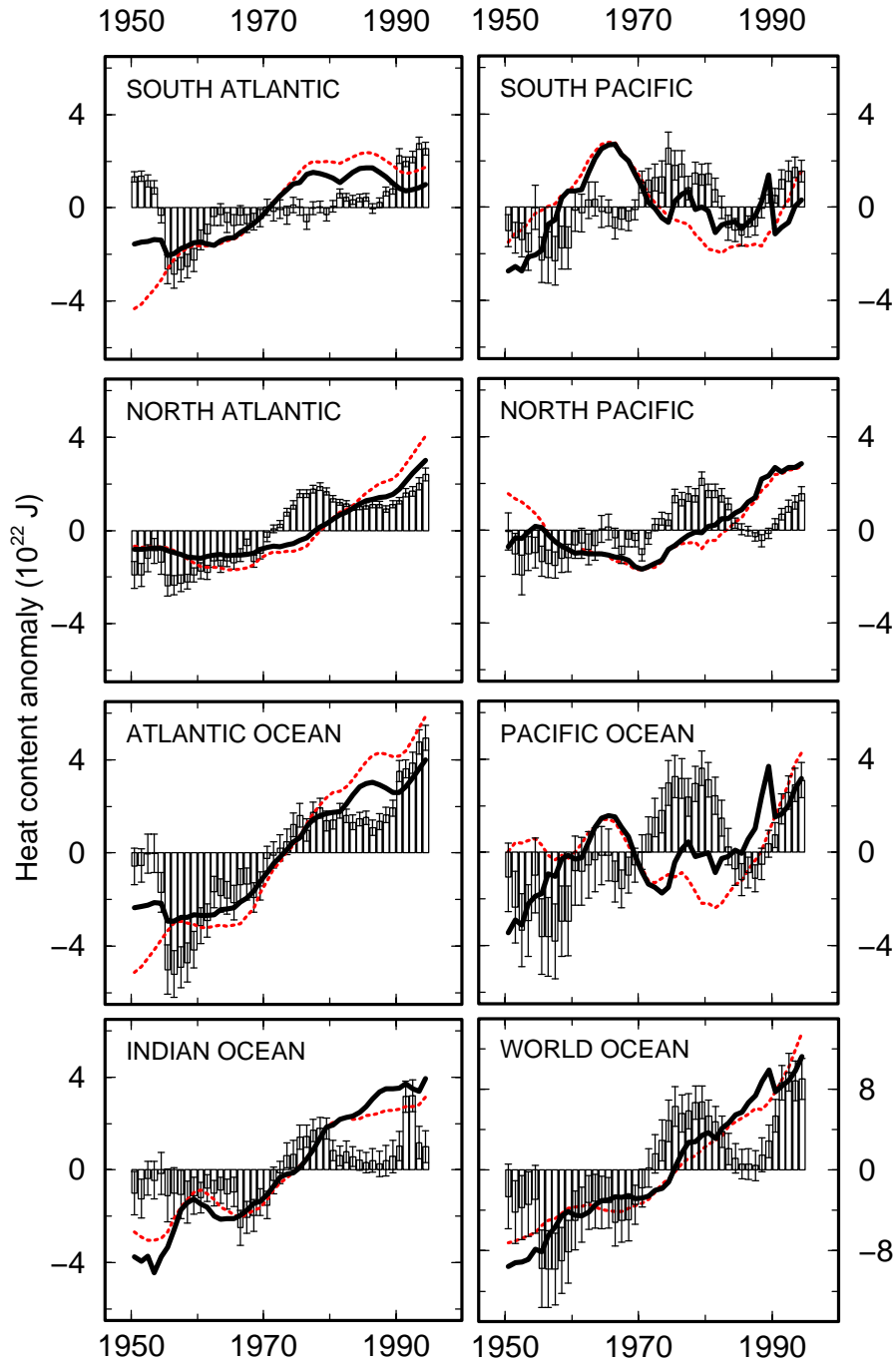


Figure 2.

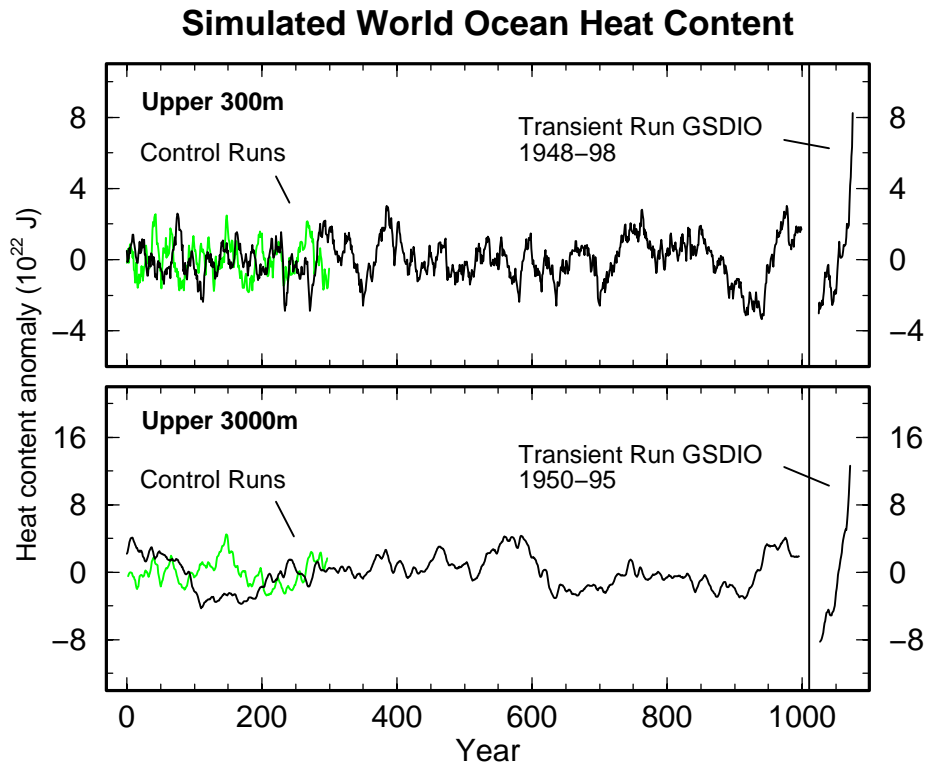


Figure 3.

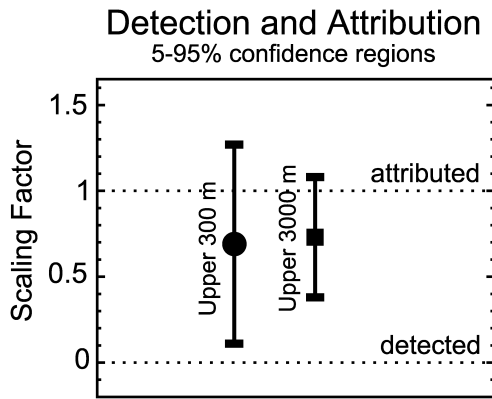


Figure 4.

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