# The Hamburg Ocean-Atmosphere Parameters and Fluxes from Satellite Data (HOAPS): A Climatological Atlas of Satellite-Derived Air-Sea Interaction Parameters over the World Oceans

by

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7 November 2000

# **Table of Contents**

| Abstract    | 1   | l |
|-------------|---|---|
| Chapter I   | Introduction  | 2 |
| Chapter II  | Data and Methodology  | 3 |
|             | II.1.Data sources and quality control   | 3 |
|             | II.2.Parameterisations and Retrieval Schemes                                  | б |
|             | II.3.Operational Application  | 2 |
| Chapter III | Basic State Variables13   | 3 |
|             | III.1.Sea Surface Temperature (Fig. III.1 to III.17)                          | 3 |
|             | III.2.Specific Humidity at Sea Surface Temperature (Fig. III.18 to III.34) 13 | 3 |
|             | III.3.Specific Humidity at Air Temperature (Fig. III.35 to III.51)            | 3 |
|             | III.4.Difference in Humidity (Fig. III.52 to III.68)                          | 4 |
|             | III.5.Wind Speed (Fig. III.69 to III.85)                                      | 4 |
|             | III.6.Dalton number (Fig. III.86 to III.102)                                  | 4 |
| Chapter IV  | Air Sea Fluxes  | ) |
|             | IV.1.Latent Heat Flux (Figures IV.1 to IV.17)                                 | 9 |
|             | IV.2.Sensible Heat Flux (Figures IV.18 to IV.34)                              | 9 |
|             | IV.3.Longwave Net Radiation (Figures IV.35 to IV.51)                          | 0 |
| Chapter V   | Hydrological Cycle98  | 3 |
|             | V.1.Evaporation (Figures V.1 to V.17)   | 8 |
|             | V.2.Precipitation (Figures V.18 to V.34)                                      | 8 |
|             | V.3.Freshwater Flux (Figures V.35 to V.51)                                    | 9 |
| Chapter VI  | Summary and Conclusions127  | 7 |
| References  |   | 8 |

# Abstract

The present atlas is based on the newly available data set known as the Hamburg Ocean Atmosphere Parameters and Fluxes from Satellite Data (HOAPS), for the global oceans. It presents the basic fields of air-sea interaction parameters such as sea surface temperature, specific humidity at air and sea surface temperature, difference in humidity, Dalton number, wind speed and the air sea fluxes such as latent heat, sensible heat and longwave radiation. The atlas also provides the hydrological cycle parameters over the global oceans such as evaporation, precipitation and freshwater flux. The data set covers the period July 1987 to December 1998 and provides the mean monthly, seasonal and annual fields of different variables. It is intended to provide a climatological data base for scientists and students in the field of climatology, meteorology, oceanography, and air-sea interaction. The document describes the various satellite sensors used and details the method by which the fluxes are derived from those satellite data. This printed atlas is accompanied by a CD-ROM containing the data of all individual monthly averages of the considered parameters as well as the figures and this text.

Fields with higher temporal and spatial resolution are also freely available to interested users for non-commercial scientific research. For details of how to access the fields see: http://www.mpimet.mpg.de/Depts/Physik/HOAPS.

# Chapter I Introduction

Oceans play a very important role in the global climate system. They absorb most of the incoming shortwave solar radiation entering the earth atmosphere system and redistribute heat and energy with the oceanic environment by both horizontal and vertical oceanic movements. This energy is then further transported back into the atmosphere in the form of energy fluxes such as latent and sensible heat fluxes, and longwave radiation, which are the main sources of energy for the atmospheric circulation. The tropical oceans are the regions of net radiation gain. This excess energy is transported to higher latitudes by the atmosphere and the oceans to maintain the energy balance. Further, the tropical oceans are the regions where active air-sea interaction processes such as Monsoons, El Niño and Southern Oscillations take place. Thus the study of air-sea interaction parameters, fluxes and the hydrological cycle of the global oceans is quite important for our understanding of climate variability. Of the three major oceans, the Pacific Ocean has the largest longitudinal extension reaching over 140°. On the western part of the Pacific Ocean, there exists one of the warmest regions in the world, the so called warmpool, in the eastern equatorial Pacific, a well developed cold tongue extends towards the west. This large thermal gradient and the corresponding atmospheric circulation over this region has profound influence on the global weather and climate.

In the past, several authors have made important contributions in the form of atlases mostly using ship data (Baumgartner and Reichel, 1975; Hastenrath and Lamb, 1977, 1978, 1979a; and 1979b; Isemer and Hasse, 1987; Oberhuber, 1988; da Silva et al., 1994; Josey et al., 1999). All the above studies have provided useful information on the various aspects of air-sea interaction parameters and fluxes. But the major drawback of most of these studies has been scarcity of data over large regions such as the Indian Ocean and the Southern Ocean. Typically satellite data sets have a much better spatial as well as temporal resolution than ship data which is quite important for computing monthly averages.

Over the past decade there has been significant progress in the development of remote sensing methods to determine atmospheric and oceanic parameters from data of the Special Sensor Microwave/Imager (SSM/I) and the Advanced Very High Resolution Radiometer (AVHRR) that are of sufficient accuracy for the parameterisation of energy and freshwater fluxes at the sea surface. The operational application of recently developed retrieval schemes to data of these two radiometers results in this new atlas called the Hamburg Ocean Atmosphere Parameters and Fluxes from Satellite Data (HOAPS). HOAPS covers a period of 12.5 years from the beginning of the SSM/I data record in July 1987 to December 1998. The present climatology is subdivided in three parts: basic state variables, energy fluxes, and water balance components. It consists of global fields of sea surface temperature, saturation specific humidity at sea surface temperature, specific air humidity, the humidity difference, wind speed, the Dalton number used for the parameterisation of latent heat flux, latent heat flux, sensible heat flux, net longwave radiation at the surface, evaporation, rainfall, and the freshwater flux computed as their difference.

In this atlas we are presenting monthly averages of 1° latitude and longitude resolution in addition to seasonal and annual means. The seasonal means of winter, spring, summer and autumn are representative of the mean of December, January, February (DJF); March, April, May (MAM); June, July, August (JJA), and September, October, November (SON), respectively.

Chapter II describes the necessary parameterisations and used retrievals and gives a rational for their use. Chapter III is devoted to the basic state variables such as sea surface temperature; specific humidity at sea surface temperature; surface specific air humidity; difference in humidity; Dalton number and wind speed. In Chapter IV, the air-sea fluxes such as latent and sensible heat fluxes and longwave radiation net flux are presented. Chapter V describes the hydrological cycle parameters evaporation, rainfall, and freshwater flux. A brief summary is presented in Chapter VI.

# Chapter II Data and Methodology

## **II.1.** Data sources and quality control

To derive a climatology from satellite data major demands on the used instruments are that they must deliver accurate and stable measurements over a long time which is only achievable with an on-board calibration or algorithms that consider the aging of a certain radiometer. Additionally, the swath width must be large enough to sample the earths surface during a couple of days. The classical instruments that cover those demands are the Advanced Very High Resolution Radiometer (AVHRR) and the Special Sensor Microwave/Imager (SSM/I). Measurements of sea surface temperature are most suitable in the infrared range where the surface has a high emissivity. On the other hand this high emissivity prevents the extraction of wind speeds or near surface atmospheric humidity with high accuracy from infrared measurements. For those parameters the microwave spectral range is much better suited since the ocean surface emissivity is rather small in the microwave spectral range compared to the infrared. A disadvantage of this physical constraints for the construction of the climatology was that up to the Tropical Rainfall Measuring Mission (TRMM) no satellite with both above mentioned types of radiometers has been launched. So any estimate of parameterized quantities like latent heat flux will suffer from the lack of simultaneity. However, it is expected that this error is rather small because the sea surface temperature is a relative inert quantity compared to the atmospheric variables derived from SSM/I measurements.

### a. AVHRR

The AVHRR is flown on board the Polar Orbiting Environmental Satellites (POES), also known as NOAA satellites. Main characteristics like the available channels and geometrical features of the AVHRR are shown in Table 1. The infrared window channels are best suited for estimates of sea surface temperature in cloud free cases. Despite the broad swath a complete coverage of the earths surface is achieved within one or two weeks depending on the actual cloud coverage. Some problematic regions with almost persistent cloud coverage are the extended stratocumulus fields to the west of Africa, the Inter Tropical Convergence Zone (ITCZ), and arctic and antarctic regions during winter. Therefore, the quality of an estimation of sea surface temperature is highly dependent on

the quality of the used aerosol and cloud detection schemes. A description of errors occurring in sea surface temperature by different quality of cloud detection during day and night can be found in Reynolds (1993). If measurements of the sea surface temperature are hampered for weeks by persistent cloudiness or long periods of darkness as over polar regions the estimates could be biased through the more difficult cloud detection in the infrared range. What consequences this has on the combination of AVHRR and SSM/I is not fully explored.

| channel   | wavelength (µm)                        | spatial resolution (mrad)  |
|---|--|--|
| 1   | 0.58 - 0.68                            | 1.39   |
| 2   | 0.725 - 1.1                            | 1.41   |
| 3   | 3.55 - 3.93                            | 1.51   |
| 4   | 10.3 - 11.3                            | 1.41   |
| 5   | 11.5 - 12.5                            | 1.30   |
| S/N (channels 1, 2<br>NE $\Delta$ T (channels 1<br>scan angle<br>pixels per line<br>swath width<br>scan rate<br>integration time<br>calibration | 2) <sup>a</sup><br>3 - 5) <sup>b</sup> | 3 at 0.5% reflectivity<br>0.12 K<br>± 55.4°<br>2048<br>~ 3000 km<br>1 line per 1/6 s<br>20 μs<br>internal black body<br>and space view |

Table 1: AVHRR radiometer characteristics

a. S/N, signal to noise ratio

b. NE  $\Delta T$ , noise equivalent temperature difference

Because of the amount of AVHRR raw data at the best resolution and the resulting problems of handling such big data set it was decided to use the NOAA/NASA Oceans Pathfinder sea surface temperature product (http://podaac.jpl.nasa.gov/sst). This product is freely available and delivers daily fields of gridded sea surface temperature with a spatial resolution of  $(9 \text{ km})^2$ , but with significant data gaps in the geographical distribution due to the cloud problem. The consequence is that for constructing the flux climatology only sea surface temperature maps averaged over one week have been used.

#### b. SSM/I

One of the most advanced sensors for monitoring winds, atmospheric humidity, and rainfall is the SSM/I aboard the satellites of the Defense Meteorological Satellite Program (DMSP). The SSM/I is a passive microwave radiometer measuring emitted and reflected radiation from the Earth's surface and the atmosphere at four frequencies 19.35, 22.235, 37.0, and 85.5 GHz at vertical and horizontal polarisation as indicated in Table 2. The SSM/I instrument scans conically at a constant scan angle of 45° that corresponds to an Earth incidence angle of 53.1°. The swath width of almost 1400 km is only half that of the AVHRR. However, this is sufficient to cover 82% of the earth surface in 24 hours. Figure II.1 shows the daily coverage of one SSM/I. The data gaps north and south of the equator are covered through the east-west shift of the DMSP satellite orbit so that full global coverage is obtained within three days.

| channel        | frequency (GHz) <sup>a</sup>                                 | NE $\Delta T (K)^{b}$              | spatial resolution (km) <sup>c</sup> |
|----------------|--|------------------------------------|--------------------------------------|
| 1              | 19.35 v  | 0.35                               | 43 x 69                              |
| 2              | 19.35 h  | 0.35                               | 43 x 69                              |
| 3              | 22.235 v   | 0.60                               | 40 x 50                              |
| 4              | 37.0 v   | 0.30                               | 29 x 37                              |
| 5              | 37.0 h   | 0.30                               | 29 x 37                              |
| 6              | 85.5 v   | 0.70                               | 13 x 15                              |
| 7              | 85.5 h   | 0.60                               | 13 x 15                              |
| scan angle     | scan angle $45^{\circ}$ (i.e. zenith angle of $53^{\circ}$ ) |                                    | ingle of 53°)                        |
| pixels per lin | e  | 128 at 85.5 GHz                    | z, 64 at lower frequencies           |
| swath width    |  | 1394 km, conica                    | ll scan                              |
| scan rate      |  | 1 line per 1.9 s                   |                                      |
| calibration    |  | internal black body and space view |                                      |

Table 2: SSM/I radiometer characteristics

a. v, vertical polarisation; h, horizontal polarisation

b. NE  $\Delta T$ , noise-equivalent temperature difference

c. 3 dB footprint



Figure II.1: Typical daily coverage with data from one SSM/I over oceans. Black areas are not covered.

SSM/I data are sampled every 25 km (A-Scan) at the three lower frequencies and every 12.5 km (B-Scan) at 85 GHz. All retrievals used in this study fall back upon the A-scan data and do not consider effects through different ground resolution at different frequencies. Some more characteristics of the SSM/I instrument are listed in Table 2 whereas a detailed description can be found in Hollinger et al. (1987).

| Satellite | Approx. Equatorial crossing<br>times (UTC) | Time period                  |
|-----------|--|------------------------------|
| DMSP F-8  | 0615/1815                                  | July 1987 - December 1990    |
| DMSP F-10 | 0830/2030                                  | January 1991 - December 1991 |
| DMSP F-11 | 0530/1730                                  | January 1992 - December 1998 |

 Table 3: DMSP satellite equator crossing times and time periods of their use within the HOAPS climatology.

SSM/Is have been flown on different DMSP satellites since July 1987. For the construction of HOAPS data from the DMSP satellites F8, F10 and F11 have been used for periods indicated in Table 3. The three satellites have different orbits that cause different local observing times throughout the period covered by the climatology.

The SSM/I data used have been the so called compact antenna temperature tapes (Remote Sensing Systems, F. Wentz) which contain the SSM/I brightness temperature data set with the highest consistency. In order to remove defective data (caused by wrong geocoding or calibration errors) in an early stage of the procedure we checked the brightness temperatures with a routine described by Wentz (1991). Furthermore, depending on the respective satellite the SSM/I brightness temperatures were corrected with regard to the different scan angle deviations (Fuhrhop and Simmer, 1996).

# **II.2.** Parameterisations and Retrieval Schemes

As a sort of review this section describes the used parameterisations, satellite retrievals, and empirical assumptions that have been used to build the present climatology. The kind of assumptions that have been made influence the accuracies of the derived fluxes in different ways.

### a. Parameterisations

#### Turbulent heat fluxes

Latent and sensible heat fluxes are parameterised using the bulk aerodynamic approach given by:

$$Q_L = \rho L_E C_E U(q_s - q_a)$$

$$Q_S = \rho c_p C_T U(T_s - \theta_a)$$
(1)

where  $\rho$  is the air density,  $c_p$  is the specific heat of air at constant pressure, U is the wind speed,  $L_E$  is the latent heat of evaporation,  $C_T$  is the Stanton number,  $C_E$  is the Dalton number,  $T_s$  is sea surface temperature,  $\theta_a$  is the potential air temperature,  $q_s$  is the saturation specific humidity at the surface, and  $q_a$  is the specific humidity at the atmospheric measurement level (10m). The evaporation rate is then simply given by:

$$E = Q_L / (L_E \rho_0) \tag{2}$$

where  $\rho_0$  is the freshwater density (as a function of temperature). Major components of (1) like U,  $T_S$ , and  $q_a$  are derivable from satellite data as described in section II.2.b or can be diagnosed from those like  $q_S$ . All other variables have to be chosen empirically or inserted from other sources like re-analyses, in situ data, or alternative satellite data. The use of re-analyses data might be superior to empirical assumptions but prevents a model independent determination of the energy fluxes. Schulz et al. (1997) discussed the errors that were introduced using a constant pressure and different assumptions for the not measurable surface air temperature within the bulk approach. Errors in surface pressure compensate each other through their contrary effect on  $q_s$  and  $\rho$ . Errors in  $T_a$  have a twofold effect; they directly enter the equation for the sensible heat flux and they alter the values of the transfer coefficients in all flux equations. In the present version a constant relative humidity of 80% has been assumed to compute the surface air temperature from the measured atmospheric specific humidity. The fixed relative humidity leads to a positive (negative) bias in the sensible heat flux where the actual humidity is higher (lower) than 80%. The Stanton and Dalton numbers or transfer coefficients are computed following the approach of Smith (1988). The 80% humidity assumption can cause large errors in the transfer coefficients in regions where the atmospheric surface layer is strongly stable stratified and may lead to totally erroneous values for the sensible heat flux. If conditions are more unstable then assumed errors in the transfer coefficients are in the order of 10% for moderate wind speeds (Schulz et al., 1997) and much lesser for high wind speeds because the stability dependence is no longer important.

#### Longwave net flux

The longwave net flux  $R_L$  at the sea surface is computed from the atmospheric back radiation  $R_L^{\downarrow}$  and the sea surface temperature  $T_S$ :

$$R_L = \bar{\epsilon} R_L^{\downarrow} - \bar{\epsilon} \sigma T_S^{4}$$
(3)

where  $\varepsilon$  is the spectrally integrated surface emissivity (which is close to 0.89, Gardashov et al., 1988) and  $\sigma$  is the Stefan-Boltzmann constant.

#### **b.** Retrieval Schemes

#### Sea surface temperature

As mentioned in section a. we decided to use the NOAA/NASA Oceans Pathfinder Sea Surface Temperature product instead of the widely used optimum interpolated sea surface temperature from Reynolds and Smith (1994) to establish a climatology that is based exclusively on satellite data. The pathfinder product is based on the use of different AVHRR sensors on different platforms. The used algorithm is essentially the non linear algorithm of Walton (1988) with a correction term for the sensor calibration drift with time. Issues that are still not resolved in this data set are that no independent water vapour data set, e.g. SSM/I data, have been used to further correct the influence of water vapour on the retrieval as indicated by Emery et al. (1994) and secondly that it is not considered that any radiometer measures the ocean skin temperature and not the bulk temperature as pointed out by Schluessel et al. (1990). The influence of an additional explicit water vapour correction on the accuracy of the retrieval is not fully explored over the whole time series but it can have significant impact on the results especially for tropical atmospheres. The second point can be seen somewhat more relaxed since inaccuracies in the atmospheric humidity and wind speed estimates contribute much stronger to the flux error budget than the neglected bulk-skin temperature difference does.

# Specific air humidity

To obtain the specific air humidity at the reference level of 10 m an algorithm of Schluessel et al. (1995) has been employed. This algorithm is based on an older two-step regression method of Schulz et al. (1993) which uses SSM/I frequencies at 19, 22, and 37 GHz to estimate the integrated water vapour content of the atmospheric boundary layer  $w_B$  and relate that to the specific humidity at the reference level. The method of Schluessel et al. (1995) improves that technique slightly in obtaining the specific humidity directly from the brightness temperatures which avoids the error propagation that occurs in the two-step method. Additionally it corrects a negative humidity bias in the Arctic Ocean. The theoretical standard error for this globally valid retrieval is stated to be 1.1 g kg<sup>-1</sup> for an instantaneous SSM/I measurement. Schulz et al. (1997) have shown in comprehensive comparisons to ship measured  $q_a$  that this retrieval works very well. Nevertheless in comparisons to TOGA-COARE data some systematic underestimations of  $q_a$  occur that might be related to the mid-tropospheric humidity. Schulz et al. (1997) reported that the correlation between the surface  $q_a$  and the water vapour content in the lowest 500 m of the atmosphere is much lower than for extra tropical atmospheric conditions.

Recently, some alternatives to the used technique have been reported by Chou et al. (1995 and 1997). They estimate  $q_a$  from the total water vapour content W and  $w_B$  using an EOF (empirical orthogonal function) method using different EOFs for six classes of W. The reached accuracy for  $q_a$  differs not much from our method since the weights for  $w_B$  in the EOF analysis are two orders of magnitude larger than that for W. Some corrections of dry and wet biases at the low and high end of the humidity spectrum have been introduced, respectively. At the low end Chou et al. (1997) used only W within the EOF analysis to correct for an underestimation of  $q_a$  during wintertime over extra tropical oceans. Such a correction is not necessary for the direct retrieval of Schluessel et al. (1995) since the radiosonde data set that have been used for the radiative transfer calculations contained much more dry humidity profiles and gives those profiles a larger weight in the regression analysis. The other correction of Chou et al. (1997) concerns a positive humidity bias during summer in regions where warm air moves over a colder ocean surface. In those cases they constrained the surface air humidity to the saturation humidity at sea surface temperature. A side effect of this is that in each case with an overestimated  $w_B$  a positive bias will be automatically corrected for. This correction has also been adopted in the climatology presented here.

#### Surface wind speed

Because the wind induced ocean surface roughness and the foam coverage at high wind speeds modify the radiation field at passive microwave frequencies the estimation of wind speeds using SSM/I data is possible.

For estimations of wind speed the retrieval of Schluessel and Luthardt (1991) with improvements described by Schluessel (1995) has been used. This retrieval is based on radiative transfer simulations with subsequent linear regression between the simulated brightness temperatures and the given wind speeds. The algorithm employs the three lower frequencies of the SSMI/I where the main predictor is the polarisation difference at 37 GHz. The other frequencies are used to correct for atmospheric influences. The method discriminates between rain free, light rain and strong rain situations where in the latter case no wind speed can be retrieved.

The theoretical accuracy of the method is  $1.4 \text{ m s}^{-1}$  for rain free and  $1.6 \text{ m s}^{-1}$  for light rain situations (Schluessel, 1995). Taurat (1996) compared this method together with other passive microwave retrievals published by Goodberlet et al. (1989) and Stogryn et al. (1994) as well as scatterometer measurements from the ERS-1 satellite to ECMWF analysis data and ship measurements in the North Atlantic. The performance of the passive microwave algorithms is very similar and biases are obtained for wind speeds larger than approximately 15 m s<sup>-1</sup>. Standard deviations between satellite and in situ measurements are close to  $2 \text{ m s}^{-1}$ .

#### Downwelling longwave radiation

Schluessel et al. (1995) described a retrieval scheme of the atmospheric back radiation under clear and cloudy conditions from SSM/I measurements using three channels at 22, 37, and 85 GHz. This retrieval scheme is based on the following consideration: about 80% of the down-welling radiation is emitted from the lowest 500 m of the atmosphere (Schmetz, 1989) and is mostly emitted in the water vapour bands near 6.3  $\mu$ m and above 20  $\mu$ m, and to a lesser extent in the  $CO_2$  band at 15  $\mu$ m. As shown by Schulz et al. (1993) the SSM/I measurements contain information on the boundary layer water vapour content. Schluessel et al. (1995) conclude that the radiation emerging from the lower 500 m should be proportional to the product of the mean layer temperature and the absorption by the water vapour of that layer, since the emitted flux density is proportional to the fourth power of the temperature according to the Stefan-Boltzmann law. Radiative transport calculations then showed that functions  $f_1$  and  $f_2$  are in fact closely correlated:

$$f_1(T_{22\nu}, T_{37h}) = \sigma(T_{22\nu}^4 - T_{37h}^4) \propto f_2(T_S, w_B) = \sigma T_S^4 (1 - e^{-w_B})$$
(4)

where  $T_{22v}$  and  $T_{37h}$  are the brightness temperatures for the 22 GHz vertically polarised and 37 GHz horizontally polarised channels, respectively.

The RMS error for the retrieval scheme has been theoretically estimated to be 30 Wm<sup>-2</sup> on the instantaneous time scale. It has been partly validated using simultaneously obtained pyregeometer measurements, radiosonde data and cloud observations from the TOGA-COARE and CEPEX experiments. The radiosonde data and the cloud observations have been used within radiative transfer simulations as another means for comparison. Considering hourly means, Schanz and Schluessel (1997) stated a bias of -3  $Wm^{-2}$  and a standard deviation of 14  $Wm^{-2}$  for the comparison of pyrgeometer measurements and satellite retrieval and a bias of +3  $Wm^{-2}$  and the same standard deviation for the comparison of radiative transfer simulations with satellite retrieval. For monthly averages a RMS error less than 10  $Wm^{-2}$  is expected.

Combining the back-radiation retrieval with AVHRR derived sea surface temperature using equation (3) gives the net flux at the sea surface.

#### Rainfall

The derivation of global precipitation fields is only possible if satellite measurements are employed. The number of surface based rain gauges or radar sites is simply too low to derive fields from such data. Because of this many algorithms for rainfall estimation employing infrared and passive microwave (PMW) satellite measurements have been developed.

Estimates from infrared measurements are based on an empirical correlation between the rain rate at the surface and the cloud top temperature. Arkin (1979) and Arkin and Meisner (1987) applied this technique to measurements of the GOES (Geostationary Operational Environmental Satellite) satellite series and were able to produce 8 maps per day of the GPI (GOES precipitation index) over the area 40°S - 40°N covered by geostationary satellites.

On the contrary to infrared rainfall estimates, estimates from passive microwave radiation measurements are based on the interaction of the radiation field and the precipitation elements. Two basic approaches can be distinguished, the so called emission and scattering estimates. Emission estimates make use of the strong contrast of brightness temperatures at low frequencies (e.g. 19 GHz) caused by the emission from cloud and rain particles compared to the radiometrically relative cold surface. This method is even suitable for detecting light rain. Scattering estimates employ the strong decrease of brightness temperatures at high frequencies (e.g. 85 GHz) which is caused by scattering of radiation by cloud and rain drops as well as ice particles.

The Algorithm Intercomparison Project-3 (AIP-3) conducted by the Global Precipitation Climatology Project (Ebert, 1996) has revealed that on an instantaneous basis the passive microwave algorithms perform much better than infrared or mixed IR/PMW algorithms which is documented by significant differences in spatial correlation. So one of the advantages of PMW retrievals is obviously the rain detection. Certainly, for climatological aspects the quality of monthly mean values is more important. Results from Ebert et al. (1998) concerning AIP-3 indicate that algorithms using geostationary data have slightly higher correlations than PMW algorithms. But due to the small sample size (AIP-3 uses data from the Tropical Ocean Global Atmosphere Coupled Ocean Atmosphere Response Experiment which lasted from Nov. 1992 to February 1993 in the tropical West Pacific) the differences in correlation among IR and PMW algorithms is not significant so that only a few algorithms with very low spatial and time correlations can be discarded. Another comparison study called Precipitation Intercomparison Project 2 (PIP-2) conducted by the WetNet programme focussed only on PMW algorithms and on instantaneous rain rates. Results and major conclusions of this project are described in Smith et al. (1998). Different to the AIP-3 project it was stated that the results are not conclusive with respect to performance against an exact calibration standard, since the validation data used in PIP-2 are not of the quality as in AIP-3.

Since we had to chose one algorithm for the use in our climatology we apply the winnerlooser system of PIP-2 (Smith et al., 1998) to the AIP-3 results for instantaneous and quasi monthly averages because the AIP-3 is the most comprehensive intercomparison study with probably the best validation data. This gave an indication which algorithm might be best suited for the climatology at least in the case of tropical rainfall situations. The final ranking procedure uses the bias, adjusted RMS, and correlation coefficient as measures of the performance of the algorithms. It turns out that the algorithm of Bauer and Schluessel (1993) is on position six at the instantaneous time scale and on position nine at the monthly time scale. If only bias and adjusted RMS are considered the algorithm climbs to the third position at monthly time scale with only two physical iterative algorithms in front, one based on Wilheit et al. (1991) and the other one is the high resolution version of the FSU profile algorithm (Smith et al., 1994). These two algorithms are not adequate for processing a ten year time series since they have too heavy computer demands. The consideration of bias and adjusted RMS only for the ranking is justified by the fact that for almost all SSM/I algorithms in AIP-3 the correlation coefficients are statistically not different.

The Bauer algorithm is of the type called physical rain map algorithm which use an 8-stream adding-doubling radiative transfer model and a conceptual cloud model to create a database from which a relationship between surface rain rates and brightness temperatures at 19, 22, and 85 GHz is accomplished by non-linear regression analysis. Beam filling is considered by randomly defining partial cloud cover within the beam patterns, allowing the regression analysis to find the optimum fit of rain rates and brightness temperatures.

The cutoff threshold for the algorithm is  $0.3 \text{ mm h}^{-1}$  so that any estimate lower than that is set to zero. The accuracy is estimated to be  $0.5 \text{ mm h}^{-1}$  (Bauer and Schluessel, 1993).

Because of the early degradation of the 85 GHz channel aboard the DMSP-F8 satellite in January 1989 an alternative retrieval scheme only employing lower frequencies has been used from January 1989 until the launch of DMSP-F10 in January 1991. Schluessel (1995) showed that despite the larger error an estimation of rainfall for climatological purposes remains possible.

#### Sea-ice coverage

Since all retrieval schemes described above work only over open oceans, sea ice and land surfaces have to be excluded from the analysis. While it is easy to smash out land surfaces one has to use a special retrieval scheme to determine if the surface is covered by ice or not. Within this climatology the NASA team sea ice algorithm that determines first, multi year, and total ice concentration has been used. It is based on algorithms developed for the Scanning Multi-channel Microwave Radiometer by Cavalieri et al. (1984) and Gloersen and Cavalieri (1986). The adjustment of the method to the SSM/I is described in Cavalieri et al. (1991). The accuracy of this retrieval varies with seasons and is most critical during summer when the ice surface is covered by melting ponds. This can lead to large errors in the derived quantities, because then the emissivity within a SSM/I pixel is much higher than that of open water. Therefore the criteria for flagging a grid box ice covered or not has to be done very carefully. The averaging procedure for temporary ice covered grid boxes is described in the next section.

# **II.3.** Operational Application

In this subsection the operational application of the retrieval schemes is briefly explained. The fields of sea surface temperature are available as daily fields with a spatial resolution of  $(9 \text{ km})^2$ . These daily fields are averaged over 5 days and projected onto a regular grid with a resolution of  $0.25^{\circ} \times \times \pm \times \times 0.25^{\circ}$ . Remaining data gaps are closed using a distance weighted interpolation scheme including adjacent measurements. If, after the interpolation procedure, data gaps remain the monthly average is filled in. For the determination of quasi instantaneous parameters from SSM/I measurements that need  $T_S$  values (e.g. the bulk parameterisation) the pentad mean  $T_S$  of the grid box where the SSM/I footprint is located has been used.

As the passive microwave retrieval schemes are only applicable over open ocean any contamination with land and ice surfaces has to be avoided. This is achieved by using an enlarged land mask so that SSM/I retrievals are performed not closer than 50 km to the coastline. Ice surfaces are excluded using the scheme provided by Cavalieri et al. (1991). Each SSM/I measurement with an ice concentration > 0% is rejected. The remaining pixels can then be used for the retrieval of the desired quantities. To avoid errors due to faulty single SSM/I measurements each wavelength is checked with a simple threshold scheme. Measurements where the brightness temperature is larger than 300 K or lower than 100 K are rejected.

After this screening procedure the rainfall scheme is directly applied. For SSM/I derived basic state variables additional threshold schemes are applied to assure that measurements are rain free. If the polarisation difference at 37 GHz is lower than 20 K the pixel is rejected. For wind speed retrievals the scheme for light rain conditions is applied when 20 K <  $\Delta_{37}$  < 55 K and 160 K < T<sub>19h</sub> < 190 K otherwise the scheme for rain free situations is applied.

If all necessary parameters for the computation of energy fluxes are available then the fluxes are computed in the SSM/I swath oriented coordinate system. It must be noted that evaporation and rainfall are estimated simultaneously only in situations with light rain. For stronger rainfall an estimation of evaporation is not possible.

With these procedures the retrievals are applied to the time series from July 1987 - December 1998. All quantities are averaged over each month and projected onto a regular grid with 1° resolution in longitude and latitude. Because of the projection of the satellite-derived parameters onto a regular grid the number of SSM/I measurements sampled into each grid box depends on the orbit characteristic and the size change of the grid boxes with latitude. Moving northwards the grid boxes become smaller so that less SSM/I pixels are sampled per box. But the number of overpasses is increasing northwards (32 overpasses per  $1^{\circ} \times 1^{\circ}$  grid box at the equator and 65 overpasses at 60° N). Both effects are almost cancelling so that the number of measurements per grid box is almost constant (Berg and Chase, 1992). The freshwater flux is then simply computed as difference of the monthly evaporation and rainfall in each grid box.

During the spatial and temporal averaging grid boxes are flagged ice-covered if more than 50% of the measurements in that grid box are flagged as ice. On one hand some valid measurements near the ice margin are destroyed and the ice coverage is a bit enhanced by this con-

straint. On the other hand the Cavalieri scheme often classifies SSM/I pixels near the ice margin wrongly as ice-free. Because of the large errors that can arise if ice covered pixels are used in the computations of the mean values the rigid constraint of excluding pixels is justified.

Seasonal and annual averages are computed from the individual monthly means on the regular grids.

# Chapter III Basic State Variables

## **III.1.** Sea Surface Temperature (Fig. III.1 to III.17)

The most important feature of the sea surface temperature distribution is the large east-west gradient in the Pacific Ocean. Another important feature is the summer monsoonal cooling in the Arabian Sea, whereas the rest of the northern oceans exhibit warmer sea surface temperatures. Further there exists a warm pool region in the western Pacific and eastern Indian Ocean during most part of the year. The southern Indian Ocean region experiences very little annual variation and remains cool throughout the year. The north Indian Ocean and the Arabian Sea in particular undergo dramatic variations with onset of summer monsoon (Figures III.6 to III.9). With the development of the strong cross equatorial flow, relatively cooler waters appear along the coasts of Somalia and Arabia (Figure III.15) due to upwelling processes.

The meridional  $T_S$  gradient is strong in the north Pacific Ocean during the winter (Figure III.13) as compared to the summer (Figure III.15) when it is quite weak.

## III.2. Specific Humidity at Sea Surface Temperature (Fig. III.18 to III.34)

The distribution of the surface specific humidity is the same as for the  $T_S$  charts as they are related to each other by the Clausius-Clapeyron-Equation. The maximum  $q_s$  values can be expected in the warm pool regions of the eastern Indian and western Pacific Oceans and the lowest values are found in the regions where  $T_S$  is low. On a seasonal scale, the maximum values are to be found in spring, summer and autumn seasons (Figures III.31, 32, and 33) as compared to the winter season (Figure III.30). The largest values are to be found in the north Atlantic ocean during the summer and autumn seasons especially in the Gulf of Mexico (Figures III.32 and 33).

## **III.3.** Specific Humidity at Air Temperature (Fig. III.35 to III.51)

The distribution of the specific air humidity follows the  $T_s$  pattern to a certain extent. The highest values are observed in the warm pool region, i.e the eastern Indian Ocean and western Pacific Ocean. The maximum  $q_a$  values are observed in the Bay of Bengal and the adjacent South China Sea (>20 g kg<sup>-1</sup>) during the summer monsoon (Figures III.40 to III.43), even though the extent of the warm pool area is much larger.

# **III.4.** Difference in Humidity (Fig. III.52 to III.68)

The distribution of difference in humidity shows that the maximum differences are seen in the Arabian Sea and Bay of Bengal during the winter months (December, January and February; Figures III.52, 53, and 63). On an annual scale also the Persian Gulf, Red Sea and the northern Arabian Sea are the regions of the largest difference in humidity (Figure III.68). Minimum values are found in the eastern equatorial Pacific and along the western African coasts, especially in the summer and autumn season, while differences in humidity are generally low throughout the year at high latitudes north and south of  $40^{\circ}$ .

## III.5. Wind Speed (Fig. III.69 to III.85)

The maximum wind speed (> 12 m s<sup>-1</sup>) is observed over the North Atlantic Ocean during the winter months (Figures III.69, 70, and 80) and they gradually decrease in their intensity in spring (Figures III.71, 72, and 73). In the winter months, the minimum wind speed is found in the northern Indian Ocean, both in the Arabian Sea and Bay of Bengal. With the summer monsoon, i.e from June till September (Figures III. 74 to 77), a strong cross equatorial flow develops over the western equatorial Indian Ocean, which brings along copious amount of moisture into the Arabian Sea and the Indian subcontinent. Other regions of high wind speed throughout the year is the subpolar storm tracks along  $40^{0}$ S where the values exceed 10 m s<sup>-1</sup> (Figure III.85).

# III.6. Dalton number (Fig. III.86 to III.102)

The Dalton number, often called transfer or exchange coefficient, is very important for computing the latent heat flux as well as the evaporation. It is dependent upon the atmospheric stability and wind speed. The lowest values are observed in the eastern equatorial Pacific and the Atlantic throughout the year (Figures III.86 to 97) and highest values are observed in the northern Arabian Sea during the winter, spring and autumn seasons (Figures III.98, 99, and 101). Another region of large transfer coefficients is observed off the west Australian coast on annual scale (Figure 102).



Fig.III.1 Sea Surface Temperature (°C), January



Fig.III.2 Sea Surface Temperature (°C), February



Fig.III.3 Sea Surface Temperature (°C), March



Fig.III.4 Sea Surface Temperature (°C), April



Fig.III.5 Sea Surface Temperature (°C), May



Fig.III.6 Sea Surface Temperature (°C), June



Fig.III.7 Sea Surface Temperature (°C), July



Fig.III.8 Sea Surface Temperature (°C), August



Fig.III.9 Sea Surface Temperature (°C), September



Fig.III.10 Sea Surface Temperature (°C), October



Fig.III.11 Sea Surface Temperature (°C), November



Fig.III.12 Sea Surface Temperature (°C), December



Fig.III.13 Sea Surface Temperature (°C), DJF



Fig.III.14 Sea Surface Temperature (°C), MAM



Fig.III.15 Sea Surface Temperature (°C), JJA



Fig.III.16 Sea Surface Temperature (°C), SON







Fig.III.18 Surface Humidity (g/kg), January



Fig.III.19 Surface Humidity (g/kg), February



Fig.III.20 Surface Humidity (g/kg), March



Fig.III.21 Surface Humidity (g/kg), April



Fig.III.22 Surface Humidity (g/kg), May



Fig.III.23 Surface Humidity (g/kg), June



Fig.III.24 Surface Humidity (g/kg), July



Fig.III.25 Surface Humidity (g/kg), August



Fig.III.26 Surface Humidity (g/kg), September



Fig.III.27 Surface Humidity (g/kg), October



Fig.III.28 Surface Humidity (g/kg), November



Fig.III.29 Surface Humidity (g/kg), December



Fig.III.30 Surface Humidity (g/kg), DJF  $\,$ 



Fig.III.31 Surface Humidity (g/kg), MAM



Fig.III.32 Surface Humidity (g/kg), JJA



Fig.III.33 Surface Humidity (g/kg), SON

![](_page_33_Figure_0.jpeg)

![](_page_33_Figure_1.jpeg)

![](_page_34_Figure_0.jpeg)

Fig.III.35 Air Humidity (g/kg), January

![](_page_34_Figure_2.jpeg)

Fig.III.36 Air Humidity (g/kg), February

![](_page_35_Figure_0.jpeg)

Fig.III.37 Air Humidity (g/kg), March

![](_page_35_Figure_2.jpeg)

Fig.III.38 Air Humidity (g/kg), April


Fig.III.39 Air Humidity (g/kg), May



Fig.III.40 Air Humidity (g/kg), June



Fig.III.41 Air Humidity (g/kg), July



Fig.III.42 Air Humidity (g/kg), August



Fig.III.43 Air Humidity (g/kg), September



Fig.III.44 Air Humidity (g/kg), October



Fig.III.45 Air Humidity (g/kg), November



Fig.III.46 Air Humidity (g/kg), December



Fig.III.47 Air Humidity (g/kg), DJF



Fig.III.48 Air Humidity (g/kg), MAM



Fig.III.49 Air Humidity (g/kg), JJA



Fig.III.50 Air Humidity (g/kg), SON



Fig.III.51 Air Humidity (g/kg), Annual



Fig.III.52 Humidity Difference (g/kg), January



Fig.III.53 Humidity Difference (g/kg), February



Fig.III.54 Humidity Difference (g/kg), March



Fig.III.55 Humidity Difference (g/kg), April



Fig.III.56 Humidity Difference (g/kg), May



Fig.III.57 Humidity Difference (g/kg), June



Fig.III.58 Humidity Difference (g/kg), July



Fig.III.59 Humidity Difference (g/kg), August



Fig.III.60 Humidity Difference (g/kg), September



Fig.III.61 Humidity Difference (g/kg), October



Fig.III.62 Humidity Difference (g/kg), November



Fig.III.63 Humidity Difference (g/kg), December



Fig.III.64 Humidity Difference (g/kg), DJF



Fig.III.65 Humidity Difference (g/kg), MAM



Fig.III.66 Humidity Difference (g/kg), JJA



Fig.III.67 Humidity Difference (g/kg), SON







Fig.III.69 Wind Speed (m/s), January



Fig.III.70 Wind Speed (m/s), February



Fig.III.71 Wind Speed (m/s), March



Fig.III.72 Wind Speed (m/s), April



Fig.III.73 Wind Speed (m/s), May



Fig.III.74 Wind Speed (m/s), June



Fig.III.75 Wind Speed (m/s), July



Fig.III.76 Wind Speed (m/s), August



Fig.III.77 Wind Speed (m/s), September



Fig.III.78 Wind Speed (m/s), October



Fig.III.79 Wind Speed (m/s), November



Fig.III.80 Wind Speed (m/s), December



Fig.III.81 Wind Speed (m/s), DJF  $\,$ 



Fig.III.82 Wind Speed (m/s), MAM  $\,$ 



Fig.III.83 Wind Speed (m/s), JJA  $\,$ 



Fig.III.84 Wind Speed (m/s), SON







Fig.III.86 Dalton Number (\* $10^{-3}$ ), January



Fig.III.87 Dalton Number (\* $10^{-3}$ ), February



Fig.III.88 Dalton Number (\* $10^{-3}$ ), March



Fig.III.89 Dalton Number (\* $10^{-3}$ ), April



Fig.III.90 Dalton Number (\* $10^{-3}$ ), May



Fig.III.91 Dalton Number (\* $10^{-3}$ ), June



Fig.III.92 Dalton Number (\* $10^{-3}$ ), July



Fig.III.93 Dalton Number  $(*10^{-3})$ , August



Fig.III.94 Dalton Number (\* $10^{-3}$ ), September



Fig.III.95 Dalton Number  $(*10^{-3})$ , October



Fig.III.96 Dalton Number (\* $10^{-3}$ ), November



Fig.III.97 Dalton Number  $(*10^{-3})$ , December



Fig.III.98 Dalton Number (\*10<sup>-3</sup>), DJF



Fig.III.99 Dalton Number (\*10<sup>-3</sup>), MAM



Fig.III.100 Dalton Number (\* $10^{-3}$ ), JJA



Fig.III.101 Dalton Number  $(*10^{-3})$ , SON





## Chapter IV Air Sea Fluxes

The surface heat budget of the oceans is an integral part of the general circulation of the atmosphere. The heating of the atmosphere largely occurs by the transfer of sensible and latent heat from the oceans to the atmosphere in the subtropical regions as well as the mid-to high latitude regions in winter. The longwave radiational flux also plays an equally important role. In this chapter, we present the latent and sensible heat fluxes as well as the longwave net radiation.

## **IV.1.** Latent Heat Flux (Figures IV.1 to IV.17)

The latent heat flux (*LHF*) is the major source of energy for the atmosphere. The latent heat flux is a function of wind speed, difference in humidity and the atmospheric stability. The regions of maximum *LHF* values are seen in the Kuroshio and Gulf Stream regions during the winter months (Figures IV.1, 2, and 12). The regions of the lowest *LHF* values are found in the eastern equatorial Pacific and equatorial Atlantic during the winter and spring (Figures IV.13 and 14). During summer season the minimum *LHF* values are observed in the northern parts of the Atlantic and the Pacific (Figure IV.15). During autumn, the minimum is observed along the south American and southwestern African coasts (Figure IV.16). On an annual scale, *LHF* is higher in the southern Indian Ocean as compared to the northern and it is the major source of moisture for the summer monsoon rainfall over the Indian subcontinent.

## IV.2. Sensible Heat Flux (Figures IV.18 to IV.34)

The sensible heat flux (SHF) distribution follows to a certain extent broadly that of the sea surface temperature and the sea-air temperature difference. The SHF can be positive and negative. Positive values point to a heat flux from the ocean to the atmosphere and negative values to a heat flux from the atmosphere into the ocean. The negative values of SHF, in general occur over the major upwelling zones of the global oceans. During the winter season, we observe large areas of positive SHF at high northern latitudes off the eastern parts of the continents, as the cold air advected from the continents flows over the warm currents of Kuroshio and Gulf Stream from November till March. This feature then disappears in April (Figures IV. 18 to 29). During the summer season (Figure IV.32), the maximum values are observed in the southern oceans, especially in the southern Indian Ocean. During the summer period, the entire northern Indian ocean looses heat to the atmosphere, with the region off the Somalia coast experiencing the maximum heat loss (-20 to -40Wm<sup>-2</sup>). Please note, that we have used 80 percent relative humidity to derive the air temperature from the measured atmospheric specific humidity, which may lead to systematic errors in the sensible heat flux. Therefore SHF fields must be interpreted with care in areas where the relative humidity strongly deviates from 80%, particularly in the Tropics between 10°N and 10°S.

## IV.3. Longwave Net Radiation (Figures IV.35 to IV.51)

The longwave net radiation flux (*LWR*) is another important energy source for the atmosphere after the *LHF* flux. It is dependent upon the sea surface and air temperature as well as the near-surface air humidity. The lowest values occur usually over the cloudy regions such as the Inter Tropical Convergence Zones (ITCZ). This strong variation in the *LWR* can be clearly seen in the Arabian Sea and Bay of Bengal, where the maximum values of 100-140 Wm<sup>-2</sup> drops drastically with the progress of the ITCZ into the northern Indian Ocean to 0-40 Wm<sup>-2</sup> in the monsoon months when the ITCZ merges with the monsoon trough over the Indian subcontinent (Figures IV.35 to 43). The *LWR* then gradually increases with the withdrawal of the summer monsoon (i.e from October to January, Figures IV.44 to 46). On an annual scale (Figure IV.51), the lowest values are observed in the southern Pacific and Atlantic oceans just south of the equator.


Fig.IV.1 Latent Heat Flux (W/m<sup>2</sup>), January



Fig.IV.2 Latent Heat Flux ( $W/m^2$ ), February



Fig.IV.3 Latent Heat Flux (W/m<sup>2</sup>), March



Fig.IV.4 Latent Heat Flux  $(W/m^2)$ , April



Fig.IV.5 Latent Heat Flux (W/m<sup>2</sup>), May



Fig.IV.6 Latent Heat Flux ( $W/m^2$ ), June



Fig.IV.7 Latent Heat Flux (W/m<sup>2</sup>), July



Fig.IV.8 Latent Heat Flux ( $W/m^2$ ), August



Fig.IV.9 Latent Heat Flux ( $W/m^2$ ), September



Fig.IV.10 Latent Heat Flux ( $W/m^2$ ), October



Fig.IV.11 Latent Heat Flux ( $W/m^2$ ), November



Fig.IV.12 Latent Heat Flux ( $W/m^2$ ), December



Fig.IV.13 Latent Heat Flux (W/m<sup>2</sup>), DJF



Fig.IV.14 Latent Heat Flux ( $W/m^2$ ), MAM



Fig.IV.15 Latent Heat Flux (W/m<sup>2</sup>), JJA



Fig.IV.16 Latent Heat Flux ( $W/m^2$ ), SON





Fig.IV.18 Sensible Heat Flux (W/m<sup>2</sup>), January



Fig.IV.19 Sensible Heat Flux ( $W/m^2$ ), February



Fig.IV.20 Sensible Heat Flux (W/m<sup>2</sup>), March



Fig.IV.21 Sensible Heat Flux ( $W/m^2$ ), April



Fig.IV.22 Sensible Heat Flux (W/m<sup>2</sup>), May



Fig.IV.23 Sensible Heat Flux ( $W/m^2$ ), June



Fig.IV.24 Sensible Heat Flux (W/m<sup>2</sup>), July



Fig.IV.25 Sensible Heat Flux ( $W/m^2$ ), August



Fig.IV.26 Sensible Heat Flux ( $W/m^2$ ), September



Fig.IV.27 Sensible Heat Flux ( $W/m^2$ ), October



Fig.IV.28 Sensible Heat Flux ( $W/m^2$ ), November



Fig.IV.29 Sensible Heat Flux ( $W/m^2$ ), December



Fig.IV.30 Sensible Heat Flux (W/m<sup>2</sup>), DJF



Fig.IV.31 Sensible Heat Flux ( $W/m^2$ ), MAM



Fig.IV.32 Sensible Heat Flux (W/m<sup>2</sup>), JJA



Fig.IV.33 Sensible Heat Flux  $(W/m^2)$ , SON







Fig.IV.35 Longwave Net Radiation ( $W/m^2$ ), January



Fig.IV.36 Longwave Net Radiation ( $W/m^2$ ), February



Fig.IV.37 Longwave Net Radiation (W/m<sup>2</sup>), March



Fig.IV.38 Longwave Net Radiation (W/m<sup>2</sup>), April



Fig.IV.39 Longwave Net Radiation ( $W/m^2$ ), May



Fig.IV.40 Longwave Net Radiation ( $W/m^2$ ), June



Fig.IV.41 Longwave Net Radiation (W/m<sup>2</sup>), July



Fig.IV.42 Longwave Net Radiation  $(W/m^2)$ , August



Fig.IV.43 Longwave Net Radiation ( $W/m^2$ ), September



Fig.IV.44 Longwave Net Radiation ( $W/m^2$ ), October



Fig.IV.45 Longwave Net Radiation ( $W/m^2$ ), November



Fig.IV.46 Longwave Net Radiation  $(W/m^2)$ , December



Fig.IV.47 Longwave Net Radiation (W/m<sup>2</sup>), DJF



Fig.IV.48 Longwave Net Radiation ( $W/m^2$ ), MAM



Fig.IV.49 Longwave Net Radiation ( $W/m^2$ ), JJA



Fig.IV.50 Longwave Net Radiation  $(W/m^2)$ , SON





## Chapter V Hydrological Cycle

The hydrological cycle parameters evaporation, precipitation and freshwater flux show significant variations both on monthly and seasonal scale.

## V.1. Evaporation (Figures V.1 to V.17)

The evaporation maps are very similar to the LHF maps with the maximum values up to 9 mm  $d^{-1}$  observed over the Kuroshio and Gulf Stream regions in winter (Figures V.1,2 and 12 as well as 13) and the minimum values below 1 mm/d seen in the eastern equatorial Pacific and Atlantic during all months. Large areas with high evaporation rates of 5-6 mm  $d^{-1}$  are found in the main trade wind belts between about  $10^{\circ}$  and  $40^{\circ} \times \times$  latitude both on the northern and southern hemisphere. These high evaporation regions are known as the major source of the global hydrological cycle. Whereas during winter and spring the maximum extent and the highest values are found north of the equator (Figure V.13,14), maximum evaporation is observed in the southern Indian, Atlantic and Pacific Ocean during summer and autumn (Figure V.15,16).

## V.2. Precipitation (Figures V.18 to V.34)

The global precipitation pattern (Figures V.18 to 29) is dominated by a strong band of precipitation girdling the globe just north of the equator. This is the region where the northern and southern Hadley circulation cells meet, forming a region of strong surface convergence known as the Intertropical Convergence Zone (ITCZ). There the maximum precipitation on an annual scale exceeds 6 mm d<sup>-1</sup>. Another convergence zone in the western tropical Pacific is known as the South Pacific Convergence Zone (SPCZ). This region is somewhat broader with precipitation values similar to the ITCZ and it extends from the region of Indonesia and the Philippines southeast across the southern Pacific. With the onset of the summer monsoon, the ITCZ, which was earlier located in the southern Indian Ocean shifts to its northernmost location and merges with the Monsoon trough giving rise to copious rainfall over the Indian subcontinent and the adjacent seas, namely the Arabian Sea and Bay of Bengal (Figures V.22 to 26).

On an annual scale (Figure V.34) the region off the Indonesian islands receive the maximum rainfall of more than 10 mm  $d^{-1}$ . Outside the two convergence zones, precipitation rates are significantly lower, with the exception of two regions. Precipitation rates are quite high over the Gulf Stream and Kuroshio region, too. During the period November to March values are found to be as high as in the ITCZ. This feature has not been recognized in any earlier climatology.

In tropical and subtropical latitudes, between about  $15^{\circ}$  and  $40^{\circ}$  in the northern hemisphere and between  $5^{\circ}$  and  $30^{\circ}$  in the southern hemisphere, the eastern portions of the Pacific and the Atlantic are regions where precipitation is below 1 mm d<sup>-1</sup>. In the Indian Ocean, a similar region is found in the southern hemisphere, but in the northern hemisphere the precipitation minimum is found along the eastern coast of Africa and Saudi Arabia during all months with a maximum extent in winter.

## V.3. Freshwater Flux (Figures V.35 to V.51)

The patterns of precipitation and evaporation have quite different spatial distributions. Precipitation maxima occur in the global convergence regions, while evaporation maxima occur in regions of high humidity difference and wind speed. As a result the freshwater flux (E-P), which we have computed as the difference of evaporation and precipitation, shows the major features of both the evaporation and precipitation fields (Figures V.35 to 46).

The ITCZ and SPCZ appear prominently as regions of negative freshwater flux. In these regions the freshwater flux from atmosphere to ocean is generally larger than 4 mm  $d^{-1}$ . With the exception of the SPCZ, precipitation decreases rapidly with latitude to the north and to the south of the ITCZ, while evaporation remains strong or even increases, causing positive values of the freshwater flux.

The strongest gradients in the freshwater flux fields occur in the boundary regions between the negative values of 4 mm d<sup>-1</sup> within the ITCZ and the strong positive flux regions to the north and south with values up to 6 mm d<sup>-1</sup>. Poleward from the evaporation regions the freshwater flux fields again become negative. The evaporation fields generally decrease towards the poles primarily as a result of the decrease in the humidity difference except wintery arctic cold air outbreaks, which lead often to very high evaporation and therefore to positive freshwater fluxes. Although evaporation is quite high, large negative values of *E-P* can be found in the Gulf Stream and Kuroshio region below -4 mm d<sup>-1</sup> during the winter due to high precipitation, while in all other months evaporation almost balances precipitation.

An analysis of the freshwater flux on a seasonal scale (Figures V.47 to 50), shows that the eastern parts of the Arabian Sea, the Bay of Bengal and the South China Sea all have negative values of freshwater flux during summer and autumn. Further, on an annual scale (Figure V.51), it can be seen that the eastern equatorial Indian Ocean, the Bay of Bengal, the Kuroshio and the Gulf Stream regions all exhibit negative fluxes. The regions of positive flux are over the northwest Arabian Sea and the southern Indian Ocean south of  $20^{\circ}$  S. Also the North Atlantic and the South Atlantic exhibit positive fluxes on both sides of the ITCZ.



Fig.V.1 Evaporation (mm/d), January



Fig.V.2 Evaporation (mm/d), February



Fig.V.3 Evaporation (mm/d), March



Fig.V.4 Evaporation (mm/d), April



Fig.V.5 Evaporation (mm/d), May



Fig.V.6 Evaporation (mm/d), June



Fig.V.7 Evaporation (mm/d), July



Fig.V.8 Evaporation (mm/d), August



Fig.V.9 Evaporation (mm/d), September



Fig.V.10 Evaporation (mm/d), October



Fig.V.11 Evaporation (mm/d), November



Fig.V.12 Evaporation (mm/d), December



Fig.V.13 Evaporation (mm/d), DJF  $\,$ 



Fig.V.14 Evaporation (mm/d), MAM


Fig.V.15 Evaporation (mm/d), JJA



Fig.V.16 Evaporation (mm/d), SON







Fig.V.18 Rain Rate (mm/d), January



Fig.V.19 Rain Rate (mm/d), February



Fig.V.20 Rain Rate (mm/d), March



Fig.V.21 Rain Rate (mm/d), April



Fig.V.22 Rain Rate (mm/d), May



Fig.V.23 Rain Rate (mm/d), June



Fig.V.24 Rain Rate (mm/d), July



Fig.V.25 Rain Rate (mm/d), August



Fig.V.26 Rain Rate (mm/d), September



Fig.V.27 Rain Rate (mm/d), October



Fig.V.28 Rain Rate (mm/d), November



Fig.V.29 Rain Rate (mm/d), December



Fig.V.30 Rain Rate (mm/d), DJF



Fig.V.31 Rain Rate (mm/d), MAM



Fig.V.32 Rain Rate (mm/d), JJA



Fig.V.33 Rain Rate (mm/d), SON







Fig.V.35 Freshwater Flux (mm/d), January



Fig.V.36 Freshwater Flux (mm/d), February



Fig.V.37 Freshwater Flux (mm/d), March



Fig.V.38 Freshwater Flux (mm/d), April



Fig.V.39 Freshwater Flux (mm/d), May



Fig.V.40 Freshwater Flux (mm/d), June



Fig.V.41 Freshwater Flux (mm/d), July



Fig.V.42 Freshwater Flux (mm/d), August



Fig.V.43 Freshwater Flux (mm/d), September



Fig.V.44 Freshwater Flux (mm/d), October



Fig.V.45 Freshwater Flux (mm/d), November



Fig.V.46 Freshwater Flux (mm/d), December



Fig.V.47 Freshwater Flux (mm/d), DJF  $\,$ 



Fig.V.48 Freshwater Flux (mm/d), MAM



Fig.V.49 Freshwater Flux (mm/d), JJA  $\,$ 



Fig.V.50 Freshwater Flux (mm/d), SON





## Chapter VI Summary and Conclusions

This atlas presents for the first time a combination of various satellite-derived air-sea interaction parameters such as sea surface temperature, specific humidity at sea surface and air temperatures, difference in humidity, wind speed, Dalton number as well as air-sea fluxes such as latent and sensible heat fluxes and longwave net radiation flux, and the hydrological cycle parameters evaporation, precipitation and freshwater flux derived from satellite data over the ice free global ocean. The instruments used are AVHRR and SSM/I that provide long data records. AVHRR data are only used in the derivation of sea surface temperature. All other parameters are computed from measurements of the SSM/I. The algorithms employed for the instantaneous retrieval and the construction of the monthly fields are explained comprehensively. As shown in several comparison studies the accuracy of the different passive microwave retrievals is sufficient to derive this climatology. The individual monthly and climatological fields have a much better spatial and temporal resolution as compared to conventional in-situ or ship measurements. Further the satellite measurements are well distributed in both space and time which is quite important for giving a meaningful monthly mean as compared to the traditional ship observations. This atlas, we hope will be useful as a reference material for students as well as research scientists who are working in the fields of climatology, meteorology, and oceanography and those engaged in similar activities.

## Acknowledgments

This work forms part of the Indo-German Collaborative Programme on Air-Sea Interaction which is funded by the International Office of the German Ministry of Education, Research and Technology (BMBF). This support is gratefully acknowledged. Financial support was also given by the Deutsche Forschungsgemeinschaft via grants DFG GR660/ 11-1 and DFG GR660/11-2. The authors thank Mr. Dirk Burose for his excellent support making the Figures. M. R. Ramesh Kumar thanks Prof. C. Simmer, Head of Meteorological Institute, University of Bonn, for providing the library facilities of his institute. All global maps were drawn with the Ferret Software, provided by NOAA/PMEL, USA.

Availability of Fields: The HOAPS climatology is freely available to interested users for non-commercial scientific research. For details of how to access the fields see http://www.mpimet.mpg.de/Depts/Physik/HOAPS.

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