

On the homology of locally compact spaces with ends

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Abstract

We propose a homology theory for locally compact spaces with ends in which the ends play a special role. The approach is motivated by results for graphs with ends, where it has been highly successful. But it was unclear how the original graph-theoretical definition could be captured in the usual language for homology theories, so as to make it applicable to more general spaces. In this paper we provide such a general topological framework: we define a homology theory which satisfies the usual axioms, but which maintains the special role for ends that has made this homology work so well for graphs.

1 Introduction

The first homology group of a finite graph G , known in graph theory as its *cycle space*, is an important aspect in the study of graphs and their properties. Although the groups that occur tell us little as such—they are always a sum of \mathbb{Z} s depending only on the number of vertices and edges of G —they way the interact with the combinatorial structure of G has implications for commonly investigated graph properties such as planarity or duality.

For the simplicial homology of infinite graphs these standard theorems fail, but this can be remedied: they do work with the cycle space $\mathcal{C}(G)$ constructed in [9, 10], as amply demonstrated e.g. in [2, 3, 4, 6, 5, 7, 9, 8, 14, 15, 17]. This space is built not from finite (elementary) cycles in G itself, as in simplicial homology, but from the (possibly infinite) edge sets of topological circles in the Freudenthal compactification $|G|$ of G , obtained from G by adding its ends. The definition of $\mathcal{C}(G)$ also allows for locally finite infinite sums.

Given the success of $\mathcal{C}(G)$ for graphs, it seems desirable to recast its definition in homological terms that make no reference to the one-dimensional character of $|G|$ (e.g., to circles), to obtain a homology theory for similar but more general spaces (such as non-compact CW complexes of any dimension) that implements the ideas and advantages of $\mathcal{C}(G)$ more generally. Simplicial homology is easily seen not to be the right approach. One way of extending simplicial homology to more general spaces is Čech homology; and indeed its first group applied to $|G|$ turns out to be isomorphic to $\mathcal{C}(G)$. But there the usefulness of Čech homology ends: since its groups are constructed as limits rather than directly from chains and cycles, they do not interact with the combinatorial structure of G in the way we expect and know it from $\mathcal{C}(G)$ [11]. We therefore adopt a singular approach.

On the face of it, it is not clear whether $\mathcal{C}(G)$ might in fact be isomorphic, even canonically, to the first singular homology group $H_1(|G|)$ of $|G|$. However,

it was shown in [11] that it is not: surprisingly, $\mathcal{C}(G)$ is always a natural quotient of $H_1(|G|)$, but this quotient is proper unless G is essentially finite. Thus, $\mathcal{C}(G)$ is a genuinely new object, also from a topological point of view.

In this paper, we shall define a homology theory that satisfies all the usual axioms and will work for any locally compact Hausdorff space X given with a fixed (Hausdorff) compactification \hat{X} . For compact $X = \hat{X}$ our homology will coincide with the standard singular homology. When X is a graph and $\hat{X} = |X|$ is its Freudenthal compactification, its first group will be canonically isomorphic to the cycle space $\mathcal{C}(X)$ of X .

The main idea of our homology is to use the compactification points, or *ends*, differently from other points. Ends will be allowed as inner points of simplices, but not as vertices of simplices. The chains we use, which may be infinite, have to be locally finite in X but not around ends. Note that we use the term ‘end’ loosely here, for any point in $\hat{X} \setminus X$. These may be ends in the usual sense; but we allow other situations too, such as boundary points of hyperbolic groups etc.

As a one-dimensional example of what to expect as the (intended) outcome, consider the infinite 1-chain $\sum_{i \in \mathbb{Z}} \sigma_i$ in the space \mathbb{R} , where $\sigma_i: [0, 1] \rightarrow [i, i + 1]$ maps x to $i + x$. This chain has zero boundary, but there are many good reasons why we do not want to allow it as a 1-cycle. Now add edges e_i from i to $-i$, for every integer i . In the new space obtained, the same chain $\sum_{i \in \mathbb{Z}} \sigma_i$ will now be a welcome 1-cycle. The reason is that its simplices now form a circle: the addition of the new edges has resulted in the two ends of \mathbb{R} being identified into one end. Hence in the new ambient space our chain can be viewed as a single loop subdivided infinitely often. We shall want infinite subdivision to be possible within a homology class, and thus our chain must now be equivalent to that loop. The challenge in setting up our homology theory will lie in how to allow ends to influence and shape the homology indirectly, as in this example, while at the same time meeting the formal axioms for a homology theory that make no reference to an ambient space.

This paper is organized as follows. After giving the basic definitions in Section 2, we discuss a preliminary version of our new homology in Section 3. This version is very simple to define, and for graphs it already captures the topological cycles space. However, it falls short of one of the usual axioms for homology theories, the ‘long exact sequence’ axiom. This is remedied in Section 4, where we define our new homology formally. We show that for spaces $|G|$ with G a graph it coincides with the preliminary version, and hence with the cycle space $\mathcal{C}(G)$, and that it satisfies all the axioms for homology theories.

2 Terminology and basic facts

We use the terminology of [9] for graphs and that of [16] for topology. Our graphs may have multiple edges but no loops. This said, we shall from now on use the term *loop* topologically: for a topological path $\sigma: [0, 1] \rightarrow X$ with $\sigma(0) = \sigma(1)$. This loop is *based at* the point $\sigma(0)$.

Let us define the (topological) cycle space \mathcal{C} of a locally finite graph G . This is usually defined over \mathbb{Z}_2 (which suffices for its role in graph theory), but we wish to prove our main results more generally with integer coefficients. (The \mathbb{Z}_2 case will easily follow.) We therefore need to speak about orientations of edges.

An edge $e = uv$ of a locally finite graph G has two *directions*, (u, v) and (v, u) .

A triple (e, u, v) consisting of an edge together with one of its two directions is an *oriented edge*. The two oriented edges corresponding to e are its two *orientations*, denoted by \vec{e} and \bar{e} . Thus, $\{\vec{e}, \bar{e}\} = \{(e, u, v), (e, v, u)\}$, but we cannot generally say which is which.

Let $\vec{\mathcal{E}} = \vec{\mathcal{E}}(G)$ denote the set of all integer-valued functions φ on the set \vec{E} of all oriented edges of G that satisfy $\varphi(\vec{e}) = -\varphi(\bar{e})$ for all $\vec{e} \in \vec{E}$. This is an abelian group under pointwise addition. A family $(\varphi_i \mid i \in I)$ of elements of $\vec{\mathcal{E}}$ is *thin* if for every $\vec{e} \in \vec{E}$ we have $\varphi_i(\vec{e}) \neq 0$ for only finitely many i . Then $\varphi = \sum_{i \in I} \varphi_i$ is a well-defined element of $\vec{\mathcal{E}}$: it maps each $\vec{e} \in \vec{E}$ to the (finite) sum of those $\varphi_i(\vec{e})$ that are non-zero. We shall call a function $\varphi \in \vec{\mathcal{E}}$ obtained in this way a *thin sum* of those φ_i .

The (topological) *cycle space* $\mathcal{C}(G)$ of G is the subgroup of $\vec{\mathcal{E}}$ consisting of all thin sums of maps $\vec{E} \rightarrow \mathbb{Z}$ defined naturally by the oriented *circles* in the Freudenthal compactification $|G|$ of G , the homeomorphic images in $|G|$ of the (oriented) circle S^1 .

As already mentioned, this notion of the cycle space enables us to generalize all the usual finite cycle space theorems to locally finite graphs. One basic fact that we will need later is the following. A set $\vec{F} \subseteq \vec{\mathcal{E}}$ is an *oriented cut* if there is a vertex set X such that \vec{F} is the set of all oriented edges from X to $V \setminus X$, i.e. oriented edges (e, x, y) with $x \in X$ and $y \in V \setminus X$.

Lemma 1. *An element of the edge space of a locally finite graph G lies in the cycle space if and only if its values on the edges of every finite oriented cut of G sum to zero.*

See [9] for a proof of the (unoriented) \mathbb{Z}_2 -version of Lemma 1. It adapts readily to the version stated here.

For an oriented edge \vec{e} of G and a path $\sigma : [0, 1] \rightarrow |G|$, a *pass* of σ through \vec{e} is a restriction of σ to a subinterval $[a, b]$ of $[0, 1]$ such that $\sigma(a)$ is the first and $\sigma(b)$ the last vertex of \vec{e} and such that (a, b) is mapped to the interior of e .

The *standard n -simplex*

$$\left\{ (t_0, \dots, t_n) \in \mathbb{R}^{n+1} \mid \sum_i t_i = 1 \text{ and } t_i \geq 0 \text{ for all } i \right\}$$

is denoted by Δ^n . Given points $v_0, \dots, v_n \in \mathbb{R}^m$ (not necessarily in general position), we write $[v_0, \dots, v_n]$ for their convex hull. The *natural map* $\Delta^n \rightarrow [v_0, \dots, v_n]$ is the linear map $(t_0, \dots, t_n) \mapsto \sum t_i v_i$.

If v_0, \dots, v_n are in general position, then the natural map $\Delta^n \rightarrow [v_0, \dots, v_n]$ is clearly a homeomorphism. Then $[v_0, \dots, v_n]$ is an *n -simplex in \mathbb{R}^m* , the point v_i is its *i th vertex*. Every convex hull of $k + 1 \leq n$ vertices is a *k -face* of $[v_0, \dots, v_n]$. We use $[v_0, \dots, \hat{v}_i, \dots, v_n]$ to denote the $(n - 1)$ -face spanned by all the vertices but v_i .

A *singular n -simplex* in a topological space X is a continuous map $\Delta^n \rightarrow X$. A *k -face* of a singular n -simplex σ is a map $\tau = \sigma \upharpoonright D$, where D is a k -face of Δ^n .

Given a set $\{X_k \mid i \in I\}$ of topological spaces, we write $X = \bigsqcup X_k$ for their disjoint union endowed with the disjoint union topology.

A *homology theory* assigns to every space X and every subspace A of X a sequence $(H_n(X, A))_{n \in \mathbb{Z}}$ of abelian groups¹, and to every continuous map $f : X \rightarrow Y$ with $f(A) \subseteq B$ for subspaces A of X and B of Y (which we indicate by writing $f : (X, A) \rightarrow (Y, B)$) a sequence $f_* : H_n(X, A) \rightarrow H_n(Y, B)$ of homomorphisms, such that $(fg)_* = f_*g_*$ for compositions of maps and $\mathbb{1}_* = \mathbb{1}$ for the identity maps. We abbreviate $H_n(X, \emptyset)$ to $H_n(X)$. Finally, the following *axioms for homology* have to be satisfied:

Homotopy equivalence: If continuous maps $f, g : (X, A) \rightarrow (Y, B)$ are homotopic, then $f_* = g_*$.

The Long Exact Sequence of a Pair: For each pair (X, A) there are *boundary homomorphisms* $\partial : H_n(X, A) \rightarrow H_{n-1}(A)$ such that

$$\begin{array}{ccccccc} \cdots & \xrightarrow{\partial} & H_n(A) & \xrightarrow{\iota_*} & H_n(X) & \xrightarrow{\pi_*} & H_n(X, A) \\ & & & & & \searrow \partial & \\ & & & & & & H_{n-1}(A) & \xrightarrow{\iota_*} & H_{n-1}(X) & \xrightarrow{\pi_*} & \cdots \end{array}$$

is an exact sequence, where ι denotes the inclusion $(A, \emptyset) \rightarrow (X, \emptyset)$ and π denotes the inclusion $(X, \emptyset) \rightarrow (X, A)$. These boundary homomorphisms are *natural*, i.e. given a continuous map $f : (X, A) \rightarrow (Y, B)$ the diagrams

$$\begin{array}{ccc} H_n(X, A) & \xrightarrow{\partial} & H_{n-1}(A) \\ \downarrow f_* & & \downarrow f_* \\ H_n(Y, B) & \xrightarrow{\partial} & H_{n-1}(B) \end{array}$$

commute.

Excision: Given subspaces A, B of X whose interiors cover X , the inclusion $(B, A \cap B) \hookrightarrow (X, A)$ induces isomorphisms $H_n(B, A \cap B) \rightarrow H_n(X, A)$ for all n .

Disjoint unions: For a disjoint union $X = \bigsqcup_{\alpha} X_{\alpha}$ with inclusions $\iota_{\alpha} : X_{\alpha} \hookrightarrow X$, the direct sum map $\bigoplus_{\alpha} (\iota_{\alpha})_* : \bigoplus_{\alpha} H_n(X_{\alpha}, A_{\alpha}) \rightarrow H_n(X, A)$, where $A = \bigsqcup_{\alpha} A_{\alpha}$, is an isomorphism.

The original Eilenberg-Steenrod axioms [12] contain an additional axiom, called the ‘dimension axiom’, stating that the homology groups of a single point are nonzero only in dimension zero. However, this axiom is not always regarded as an essential part of the requirements for a homology theory [16]. An example for a homology theory that does not satisfy the dimension axiom is bordism theory; in this case the groups of a single point are nontrivial in infinitely many dimensions. We omit the dimension axiom, but note that the homology theory we construct will trivially satisfy it.

The groups $H_n(X, A)$ above are called *relative homology groups*; specializations $H_n(X) = H_n(X, \emptyset)$ are *absolute homology groups*.

¹Usually $H_n(X, A)$ is the trivial group for $n < 0$.

3 An ad-hoc modification of singular homology for locally compact spaces with ends

In this section we describe an ad-hoc way to define homology groups that extend the main properties of the cycle space of graphs to arbitrary dimensions. Our proof that the homology theory we shall construct in Section 4 specializes in dimension 1 to yield \mathcal{C} will rely on this section, but it also serves to introduce the main ideas in a technically simpler setting.

Let X be a locally compact Hausdorff space and let \hat{X} be a Hausdorff compactification of X . (See e.g. [1] for more on such spaces.) Note that every locally compact Hausdorff space is Tychonoff, and thus has a Hausdorff compactification. The kind of spaces we have in mind is that X is a locally finite CW-complex and \hat{X} is its Freudenthal compactification, but formally we do not make any further assumptions. Nevertheless, we will call the points in $\hat{X} \setminus X$ *ends*, even if they are not ends in the usual, more restrictive, sense.

Although our chains, cycles etc. will live in \hat{X} , we shall denote their groups as $C_n(X)$, $Z_n(X)$ etc, with reference to X rather than \hat{X} : this is because ends will play a special role, so the information of which points of \hat{X} are ends must be encoded in the notation for those groups.

Let us call a family $(\sigma_i \mid i \in I)$ of singular n -simplices in \hat{X} *admissible* if

- (i) $(\sigma_i \mid i \in I)$ is locally finite in X , that is, every $x \in X$ has a neighbourhood in X that meets the image of σ_i for only finitely many i ;
- (ii) every σ_i maps the 0-faces of Δ^n to X .

Note that as X is locally compact, (i) is equivalent to asking that every compact subspace of X meets the image of σ_i for only finitely many i . Condition (ii), like (i), underscores that ends are not treated on a par with the points in X : we allow them to occur on infinitely many σ_i (which (i) forbids for points of X), but not in the fundamental role of images of 0-faces: all simplices must be ‘rooted’ in X . If X is a countable union of compact spaces, (i) and (ii) together imply that admissible families are countable, i.e. that $|I| \leq \aleph_0$.

When $(\sigma_i \mid i \in I)$ is an admissible family of n -simplices, any formal linear combination $\sum_{i \in I} \lambda_i \sigma_i$ with all $\lambda_i \in \mathbb{Z}$ is an *n -sum in X* .² We regard n -sums $\sum_{i \in I} \lambda_i \sigma_i$ and $\sum_{j \in J} \mu_j \tau_j$ as *equivalent* if for every n -simplex ρ we have $\sum_{i \in I, \sigma_i = \rho} \lambda_i = \sum_{j \in J, \tau_j = \rho} \mu_j$. Note that these sums are well-defined since an n -simplex can occur only finitely many times in an admissible family. We write $C_n(X)$ for the group of *n -chains*, the equivalence classes of n -sums. The elements of an n -chain are its *representations*. Clearly every n -chain c has a unique representation whose simplices are pairwise distinct—which we call the *reduced representation* of c —, but we shall consider other representations too. The subgroup of $C_n(X)$ consisting of those n -chains that have a finite representation is denoted by $C'_n(X)$.

The boundary operators $\partial_n: C_n \rightarrow C_{n-1}$ are defined by extending linearly from $\partial_n \sigma_i$, which are defined as usual in singular homology. Note that ∂_n is well defined (i.e., that it preserves the required local finiteness), and $\partial_{n-1} \partial_n = 0$. Chains in $\text{Im } \partial$ will be called *boundaries*.

²In standard singular homology, one does not usually distinguish between formal sums and chains. It will become apparent soon why we have to make this distinction.

As n -cycles, we do *not* take the entire kernel of ∂_n . Rather, we define $Z'_n(X) := \text{Ker}(\partial_n \upharpoonright C'_n(X))$, and let $Z_n(X)$ be the set of those n -chains that are sums of such finite cycles:

$$Z_n(X) := \left\{ \varphi \in C_n(X) \mid \varphi = \sum_{j \in J} z_j \text{ with } z_j \in Z'_n(X) \forall j \in J \right\}.$$

More precisely, an n -chain $\varphi \in C_n(X)$ shall lie in $Z_n(X)$ if it has a representation $\sum_{i \in I} \lambda_i \sigma_i$ for which I admits a partition into finite sets I_j ($j \in J$) such that, for every $j \in J$, the n -chain $z_j \in C'_n(X)$ represented by $\sum_{i \in I_j} \lambda_i \sigma_i$ lies in $Z'_n(X)$. Any such representation of φ as a formal sum will be called a *standard representation of φ as a cycle*.³ We call the elements of $Z_n(X)$ the *n -cycles of X* .

The chains in $B_n(X) := \text{Im } \partial_{n+1}$ then form a subgroup of $Z_n(X)$: by definition, they can be written as $\sum_{j \in J} \lambda_j z_j$ where each z_j is the (finite) boundary of a singular $(n+1)$ -simplex. We therefore have homology groups

$$H_n(X) := Z_n(X)/B_n(X)$$

as usual.

Note that if X is compact, then all admissible families and hence all chains are finite, so the homology defined above coincides with the usual singular homology. The characteristic feature of this homology is that while infinite cycles are allowed, they are always of ‘finite character’: in any standard representation of an infinite cycle, every finite subchain is contained in a larger finite subchain that is already a cycle.

For graphs and Freudenthal compactifications, the finite character of this homology is also shown in another aspect: It is shown in [11] that every 1-cycle—finite or infinite—is homologous to a cycle whose reduced representation consists of a single loop. We thus have:

Proposition 2. *If X is a graph and \hat{X} its Freudenthal compactification, then every class in $H_1(X)$ is represented by a finite cycle.*

Let us now define relative homology groups $H_n(X, A)$. Normally, these groups are defined for all subsets $A \subseteq X$. In our case, the subspace A has to satisfy further conditions. Since we wish to consider chains in A , in our sense, A has to be locally compact and come with a compactification \hat{A} . Chains in A have to be chains also in X , hence we further need that $\hat{A} \subseteq \hat{X}$, and that ends of A lie in $\hat{X} \setminus X$, that is, they have to be ends of X .

Let A be a *closed* subset of X (but not necessarily closed in \hat{X}). Since X is locally compact, so is A . Let \hat{A} denote the closure of A in \hat{X} . Then \hat{A} is a compactification of A , and $\hat{A} \setminus A \subseteq \hat{X} \setminus X$. Clearly, admissible families of simplices in A are also admissible in X . We define $H_n(X, A)$ as follows. Let $C_n(X, A)$ be the quotient group $C_n(X)/C_n(A)$,⁴ and let $C'_n(X, A)$ be the

³Since the σ_i need not be distinct, φ has many representations by formal sums. Not all of these need admit a partition as indicated—an example will be given later in the section.

⁴Formally, $C_n(A)$ is not a subset of $C_n(X)$, because the equivalence classes of n -sums in X are larger than those in A . For instance, every formal sum $\sigma - \sigma$ with σ a singular n -simplex in X that does not live in A is part of the equivalence class of the empty n -sum in X , but not in A . But there is a natural embedding $C_n(A) \hookrightarrow C_n(X)$: map an n -chain in A to the n -chain in X with the same reduced representation.

subgroup of all its elements $\varphi + C_n(A)$ with $\varphi \in C'_n(X)$. Define $Z'_n(X, A)$ as the kernel of the quotient map $C_n(X, A) \rightarrow C_{n-1}(X, A)$ of ∂_n restricted to $C'_n(X, A)$, and $B_n(X, A)$ as the image of the quotient map $C_{n+1}(X, A) \rightarrow C_n(X, A)$ of ∂_{n+1} . Then define $Z_n(X, A)$ from $Z'_n(X, A)$ as before, and put $H_n(X, A) = Z_n(X, A)/B_n(X, A)$. Clearly, $H_n(X, \emptyset) = H_n(X)$.

Let us look at an example. For simplicity, we will restrict our attention to absolute homology. Consider the *double ladder*. This is the 2-ended graph G with vertices v_n and v'_n for all integers n , and with edges e_n from v_n to v_{n+1} , edges e'_n from v'_n to v'_{n+1} , and edges f_n from v_n to v'_n . The 1-simplices corresponding to these edges, oriented in their natural directions, are θ_{e_n} , $\theta_{e'_n}$ and θ_{f_n} , see Figure 1.

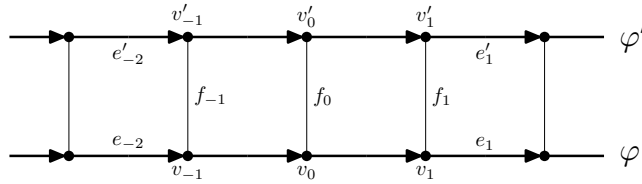


Figure 1: The 1-chains φ and φ' in the double ladder.

In order to let the elements of our homology be defined, let \hat{G} be any Hausdorff compactification of G . (One could, for instance, choose the Freudenthal compactification $|G|$ of G .) For the infinite chains φ and φ' represented by $\sum \theta_{e_n}$ and $\sum \theta_{e'_n}$, respectively, and for $\psi := \varphi - \varphi'$ we have $\partial\varphi = \partial\varphi' = \partial\psi = 0$, and neither sum as written above contains a finite cycle. However, we can rewrite ψ as $\psi = \sum z_n$ with finite cycles $z_n = \theta_{e_n} + \theta_{f_{n+1}} - \theta_{e'_n} - \theta_{f_n}$. This shows that $\psi \in Z_1(G)$, although this was not visible from its original representation.

By contrast, one can show that $\varphi \notin Z_1(G)$. This follows from Theorem 3 below and the known characterizations of $\mathcal{C}(G)$ [9, Theorem 8.5.8], but is not obvious. For example, one might try to represent φ as $\varphi = \sum_{n=1}^{\infty} z'_n$ with $z'_n := \theta_{e_{-n}} + \theta_{n-1} + \theta_{e_n} - \theta_n$, where $\theta_n : [0, 1] \rightarrow e_{-n} \cup \dots \cup e_n$ maps 0 to v_{-n} and 1 to v_{n+1} , see Figure 2.

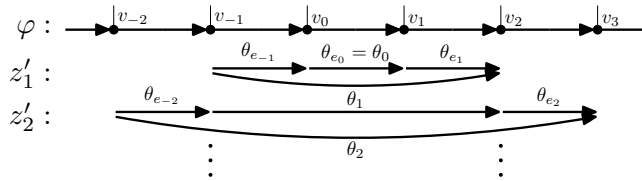


Figure 2: Finite cycles summing to φ —by an inadmissible sum.

This representation of φ , however, although well defined as a formal sum (since every simplex occurs at most twice), is not a legal 1-sum, because its family of simplices is not locally finite and hence not admissible. (The point v_0 , for instance, lies in every simplex θ_i .)

Theorem 3 ([11]). *If X is a locally finite connected graph and \hat{X} its Freudenthal compactification, then there is a natural group isomorphism from $H_1(X)$ to the cycle space $\mathcal{C}(X)$.*

The isomorphism f in Theorem 3 counts, for each oriented edge e of G , how often the simplices in a representative of a given homology class traverse it, and lets the image of this class assign this sum to e .

While the homology defined in this section succeeds in capturing $\mathcal{C}(G)$, it is not a homology theory: It fails to allow for long exact sequences as demanded by the axioms. To see this, let $A \subseteq X$ consist of a single point a in X and assume there is a path π in \hat{X} from a to an end. The 0-chain $c = -\sigma$ in A , where $\sigma : \{0\} \rightarrow A$, is a 0-cycle whose homology class in $H_0(A)$ lies in the kernel of $\iota_* : H_0(A) \rightarrow H_0(X)$ (because $c = \partial\tau$ for $\tau = \sum_{i=1}^{\infty} \pi \upharpoonright [1 - 2^{1-i}, 1 - 2^{-i}]$) but not in the image of $\partial_1 : H_1(X, A) \rightarrow H_0(A)$ (because clearly no finite 1-cycle in X can have boundary c , and no infinite 1-cycle in X that is a sum of finite cycles can have boundary c , since by Condition (i) only finitely many of those finite cycles meet a). Hence the long sequence for the pair (X, A) fails to be exact at $H_0(A)$.

4 A new homology for locally compact spaces with ends

In this section we define a homology theory that implements the same ideas as our ad-hoc homology of Section 3, but which will satisfy all the usual axioms. To achieve this, we shall encode all the properties we shall need into the definition of chains—rather than restricting both chains and cycles, as in Section 3. Our homology will also be defined for disjoint unions of compactifications, i.e. for $X = \bigsqcup X_k$ and $\hat{X} = \bigsqcup \hat{X}_k$ where each \hat{X}_k is a compactification of X_k . Nevertheless, we will start with the definition for compact \hat{X} and then extend it to unions of compactifications.

Let X be a locally compact Hausdorff space, and let \hat{X} be a Hausdorff compactification of X . We define admissible families and n -sums as in Section 3. All other notation will now be defined differently.

In order to capture $\mathcal{C}(G)$ in dimension 1 for locally finite graphs, we have to consider chains consisting of infinitely many simplices. On the other hand, if one allows infinite chains without further restrictions, one obtains cycles like φ in Figure 1, which does not correspond to an element of $\mathcal{C}(G)$. The solution to this dilemma is to allow infinitely many simplices only if they are of a certain type.

Call a singular n -simplex σ in \hat{X} *degenerate* if it is lower dimensional in the following sense: There is a compact Hausdorff space X_σ of dimension at most $n - 1$ such that σ can be written as the composition of continuous maps $\Delta^n \rightarrow X_\sigma \rightarrow \hat{X}$. Recall that a normal space⁵ has dimension k if and only if every (finite) open covering \mathcal{U} has a refinement \mathcal{U}' for which every point lies in at most $k + 1$ sets of \mathcal{U}' , and k is the least such number.

As the empty space is the only space of dimension -1 , and every 0-dimensional space is totally disconnected, we have that no singular 0-simplex is degenerate and a singular 1-simplex is degenerate if and only if it is constant.

Denote by $C'_n(X)$ the group of equivalence classes of n -sums. (Recall that two n -sums are called equivalent if every n -simplex appears equally often—taking account of the multiplicities λ_i —in both sums.) As before, the elements

⁵Note that X_σ is normal as it is compact and Hausdorff.

of a class $c \in C'_n(X)$ are its *representations*, its unique representation $\sum \lambda_i \sigma_i$ with pairwise distinct σ_i is the *reduced representation* of c . We call c *good* if the simplices σ_i in its reduced representation are degenerate for all but finitely many $i \in I$. An *n-chain* in X is an equivalence class $c \in C'_n(X)$ that can be written as $c = c_1 + \partial c_2$, where both $c_1 \in C'_n(X)$ and $c_2 \in C'_{n+1}(X)$ are good. In other words, c is good if and only if it has a representation $\sum_{i \in I} \lambda_i \sigma_i$ for which I is the disjoint union of a finite set I_0 , a (possibly infinite) set I_1 , and finite sets I_j , $j \in J$, such that each σ_i , $i \in I_1$, is degenerate, and each sum $\sum_{i \in I_j} \lambda_i \sigma_i$ is the boundary of a degenerate singular $(n+1)$ -simplex. We call such a representation a *standard representation* of c . Note that a standard representation will not, in general, be a reduced representation, and vice versa, a reduced representation does not have to be standard.

We write $C_n(X)$ for the group of all n -chains in X . As usual, we write $Z_n(X) := \text{Ker } \partial_n$ and $B_n(X) := \text{Im } \partial_{n+1}$. The elements of Z_n are *n-cycles*, those of B_n are *boundaries*. Clearly, $B_n \subseteq Z_n$, so we can define the *homology groups* $H_n(X) := Z_n/B_n$ as usual.

Since a cycle $c_1 + \partial c_2$ as above represents the same homology class as c_1 does, we have at once:

Proposition 4. *Every homology class is represented by a good n-cycle.*

As no singular 0-simplex is degenerate, this means that every homology class in $H_0(X)$ is represented by a finite 0-cycle. Moreover, as every degenerate 1-simplex is constant and hence equivalent to the boundary of a constant (and thus degenerate) 2-simplex, we have the same in dimension 1:

Proposition 5. *Every homology class in $H_0(X)$ or in $H_1(X)$ is represented by a finite cycle.*

Let us now define relative homology groups. Consider a closed subset A of X and write \hat{A} for the closure of A in \hat{X} . In order to make all the axioms work, we additionally require the boundary of \hat{A} in \hat{X} to be a (compact) subset of X . In the case of graphs and their Freudenthal compactification, this is the case for instance if A is a component of the graph minus a finite vertex set. In infinite graph theory, it is an often used procedure to contract such components, so it does not seem too restrictive to only consider such subsets. We call (X, A) an *admissible pair*. Like in Section 3, we obtain that admissible families of simplices in A are admissible also in X . Now let $C_n(X, A) = C_n(X)/C_n(A)$, let $Z_n(X, A)$ be the kernel of the quotient map $C_n(X, A) \rightarrow C_{n-1}(X, A)$ of ∂_n , and $B_n(X, A)$ the image of the quotient map $C_{n+1}(X, A) \rightarrow C_n(X, A)$ of ∂_{n+1} , and define $H_n(X, A) = Z_n(X, A)/B_n(X, A)$.

Having defined the homology groups for compactifications, we now extend it to disjoint unions of compactifications as follows: If $X = \bigsqcup X_k$, $\hat{X} = \bigsqcup \hat{X}_k$, and A is a closed subspace of X such that for each k the pair (X_k, A_k) is admissible, where $A_k := A \cap X_k$, we call (X, A) an *admissible pair*. For an admissible pair (X, A) , define $C_n(X, A)$ as the direct sum $\bigoplus C_n(X_k, A_k)$. (Note that each A_k is closed in X_k .) The homology groups $H_n(X)$ and $H_n(X, A)$ are then defined in the obvious way.

Our earlier definitions of admissible families, n -sums, and n -chains for compact \hat{X} also extend naturally to disjoint unions $X = \bigsqcup X_k$ as follows: A family of singular n -simplices in X is *admissible* if its subfamily of simplices in X_k

is admissible for finitely many k and empty for all other k . An n -sum in X is a formal sum $\sum \lambda_i \sigma_i$ where (σ_i) is an admissible family. The equivalence classes of n -sums form a group $C'_n(X)$, an element c of $C'_n(X)$ is *good* if it has a representation in which all but finitely many simplices are degenerate, and an n -chain in X is a class $c \in C'_n(X)$ that can be written as $c = c_1 + \partial c_2$ with good $c_1 \in C'_n(X)$ and good $c_2 \in C'_{n+1}(X)$. It is easy to see that $C_n(X)$, defined earlier as $\bigoplus_k C_n(X_k)$, is indeed the group of n -chains in X .

In standard homology, it is trivial that a chain in X all of whose simplices live in \hat{A} is also a chain in A . In our case, this is not immediate: If all simplices in the reduced representation of a chain in X live in \hat{A} , this does not imply directly that there is a *standard* representation that consists of simplices in \hat{A} . Indeed, if there is an infinite admissible family of degenerate $(n+1)$ -simplices that do not live in \hat{A} but whose boundaries do, then the sum of their boundaries is the representation of an n -chain in X , and all simplices in the reduced representation of this chain live in \hat{A} . But as soon as this reduced representation consists of infinitely many non-degenerate n -simplices, we do not know whether it does also represent a chain in A . Here it comes in that (X, A) is an admissible pair: As each \hat{A}_k has a compact boundary that is contained in X_k , there is no admissible family as above. An one can indeed show that a chain in X is a chain in A as soon as their simplices live in \hat{A} . More generally, we have the following.

Lemma 6. *Let $\sum_{i \in I} \lambda_i \sigma_i$ be a reduced representation of a chain in X and let $I' \subseteq I$ be the set of those indices with $\text{Im } \sigma_i \subseteq \hat{A}$. Then $\sum_{i \in I'} \lambda_i \sigma_i$ is the reduced representation of a chain in A .*

Proof. Let c be the n -chain in X represented by $\sum_{i \in I} \lambda_i \sigma_i$. Choose a standard representation of c , i.e.

$$c = \sum_{i \in I_0} \lambda_i \sigma_i + \sum_{i \in I_1} \lambda_i \sigma_i + \sum_{j \in J} \lambda_j \partial \tau_j, \quad (1)$$

where I_0 is finite and each simplex σ_i , $i \in I_1$, and τ_j , $j \in J$, is degenerate. Note that not all simplices occurring in this representation have to live in \hat{A} , this only has to hold for the n -simplices that are part of the reduced representation of c .

Let $I'_0 \subseteq I_0$, $I'_1 \subseteq I_1$, and $J' \subseteq J$ be the index sets of those simplices that live in \hat{A} . Let further $(\sigma_k)_{k \in K}$ be the family of those n -simplices living in \hat{A} that are a face of some τ_j with $j \in J \setminus J'$, and let λ_k be the multiplicity in which σ_k occurs in the sum $\sum_{j \in J \setminus J'} \lambda_j \partial \tau_j$. Note that K is finite since every τ_j , $j \in J \setminus J'$, with a face σ_k , $k \in K$, meets the compact boundary of \hat{A} and $(\tau_j)_{j \in J \setminus J'}$ is admissible. Now the n -sum

$$\sum_{i \in I'_0} \lambda_i \sigma_i + \sum_{i \in I'_1} \lambda_i \sigma_i + \sum_{j \in J'} \lambda_j \partial \tau_j + \sum_{k \in K} \lambda_k \sigma_k$$

is a standard representation of a chain c' in A , and by construction the reduced representation of c' is precisely $\sum_{i \in I'} \lambda_i \sigma_i$. \square

Before we show in the following section that this is indeed a homology theory let us first prove that, applied to a locally finite graph in dimension 1, it captures precisely its cycle space:

Theorem 7. *If X is a locally finite connected graph and \hat{X} is its Freudenthal compactification, then there is a canonical isomorphism $H_1(X) \rightarrow \mathcal{C}(X)$.*

Proof. By Theorem 3 it suffices to prove that our homology coincides with that of Section 3 for X and dimension 1. Let us denote the chain groups and homology groups defined in Section 3 by $\tilde{C}_n(X)$ and $\tilde{H}_n(X)$, similar for the group of cycles and for that of boundaries.

It is not hard to see that \hat{X} is one-dimensional, so every n -simplex in \hat{X} , $n \geq 2$, is degenerate. Therefore, every $c \in C'_n(X)$, $n \geq 2$, is good, and so we have $C_n(X) = C'_n(X) = \tilde{C}_n(X)$ for every $n \geq 2$. By Proposition 5 every homology class in $H_1(X)$ is represented by a finite cycle, and by Proposition 2 the same is true also for $\tilde{H}_1(X)$. Since $C_2(X) = \tilde{C}_2(X)$, we have $B_1(X) = \tilde{B}_1(X)$ and hence $H_1(X) = \tilde{H}_1(X)$. \square

5 Verification of the axioms

In this last section we show that the homology theory we defined satisfies the axioms cited at the end of Section 2. As a preliminary step we have to show that continuous functions between spaces induce homomorphisms between their homology groups. This will not work for arbitrary continuous functions: As we distinguish between ends and other points, our functions will have to respect this distinction.

Let locally compact Hausdorff spaces X, \hat{X} and Y, \hat{Y} be given, where $X = \bigsqcup X_k$ and $\hat{X} = \bigsqcup \hat{X}_k$ with \hat{X}_k a compactification of X_k , and similarly for $Y = \bigsqcup Y_l$. Let $A \subseteq X$ and $B \subseteq Y$ be closed subspaces such that (X, A) and (Y, B) are admissible pairs. As before, we write \hat{A} and \hat{B} for the closures of A in \hat{X} and B in \hat{Y} , and note that $\hat{A} \setminus A \subseteq \hat{X} \setminus X$ and $\hat{B} \setminus B \subseteq \hat{Y} \setminus Y$. Let us call a continuous function $f : \hat{X} \rightarrow \hat{Y}$ a *standard map* if $f(X) \subseteq Y$ and $f(\hat{X} \setminus X) \subseteq \hat{Y} \setminus Y$. If, in addition, $f(A) \subseteq B$, we write $f : (X, A) \rightarrow (Y, B)$. (As before, we refer to X even though the functions live on \hat{X} .)

Let us show that every standard map $f : (X, A) \rightarrow (Y, B)$ induces a homomorphism $f_* : H_n(X, A) \rightarrow H_n(Y, B)$, defined as follows. For a homology class $[c] \in H_n(X, A)$, choose a standard representation $\sum_{i \in I} \lambda_i \sigma_i$ of c and map $[c]$ to the homology class in $H_n(Y, B)$ that contains the n -cycle represented by $\sum_{i \in I} \lambda_i f \sigma_i$. In ordinary singular homology this map is always well defined. To see that it is well defined in our case, note first that f preserves the equivalence of sums, maps boundaries to boundaries, and maps degenerate simplices to degenerate simplices. Hence all that remains to check is that f maps chains to chains. The following lemma implies that it does:

Lemma 8. *For every standard map $f : (X, A) \rightarrow (Y, B)$, if $(\sigma_i)_{i \in I}$ is an admissible family of n -simplices in \hat{X} (resp. \hat{A}), then $(f \sigma_i)_{i \in I}$ is an admissible family of n -simplices in \hat{Y} (resp. \hat{B}).*

Proof. As f is standard and $(\sigma_i)_{i \in I}$ is admissible, every $f \sigma_i$ maps the 0-faces of Δ^n to Y . It therefore remains to show that every $y \in Y$ has a neighbourhood that meets the image of $f \sigma_i$ for only finitely many i . Let U be a compact neighbourhood of y in Y , its preimage $f^{-1}(U)$ is a subset of $X = \bigsqcup X_k$ that is closed in \hat{X} as f is continuous. Hence $f^{-1}(U) \cap \hat{X}_k$ is compact for each k . Since $(\sigma_i)_{i \in I}$ is admissible, it contains simplices in only finitely many \hat{X}_k , and

as the subfamilies of simplices in those \hat{X}_k are admissible, only finitely many σ_i meet $f^{-1}(U)$. Hence U meets the image of $f\sigma_i$ for only finitely many i and hence $(f\sigma_i)_{i \in I}$ is admissible. The analogous claim for A and B follows as $f(A) \subseteq B$. \square

By Lemma 8 the map $\sum_{i \in I} \lambda_i \sigma_i \mapsto \sum_{i \in I} \lambda_i f\sigma_i$ defines a homomorphism

$$f_{\#} : C_n(X, A) \rightarrow C_n(Y, B)$$

with $\partial f_{\#} = f_{\#} \partial$. Thus every standard map $f : (X, A) \rightarrow (Y, B)$ induces a homomorphism $f_* : H_n(X, A) \rightarrow H_n(Y, B)$. It is easy to see that if $g : (Y, B) \rightarrow (Z, C)$ is another standard map we have $(fg)_* = f_* g_*$, and that $\mathbb{1}_* = \mathbb{1}$.

We thus have shown that our homology admits induced homomorphisms if the continuous functions satisfy the natural condition that they map ends to ends and points in X to points in Y . We now show that, subject only to similarly natural constraints, our homology satisfies the axioms for a homology theory.

We will verify the axioms in the order of Section 2.

Theorem 9 (Homotopy equivalence). *If standard maps $f, g : (X, A) \rightarrow (Y, B)$ are homotopic via standard maps $(X, A) \rightarrow (Y, B)$ then $f_* = g_*$.*

Proof. Denote by $F = (f_t)_{t \in [0,1]}$ the homotopy between f and g consisting of standard maps $f_t : (X, A) \rightarrow (Y, B)$ and satisfying $f_0 = f$ and $f_1 = g$. We first consider the absolute groups $H_n(X), H_n(Y)$.

The main ingredient in the proof of homotopy equivalence for standard singular homology is a decomposition of $\Delta^n \times [0, 1]$ into $(n+1)$ -simplices D_0, \dots, D_n (see eg. [16]). This decomposition works as follows: Let $\Delta^n \times \{0\} = [v_0, \dots, v_n]$ and $\Delta^n \times \{1\} = [w_0, \dots, w_n]$, and put $D_j := [v_0, \dots, v_j, w_j, \dots, w_n]$. Each D_j is an $(n+1)$ -simplex, and hence the natural map between Δ^{n+1} and D_j is a homeomorphism which we denote by τ_j .

In standard singular homology, for an n -chain $z = \sum_{i \in I} \lambda_i \sigma_i$ in X one considers the $(n+1)$ -chain

$$P(z) = \sum_{i \in I} \sum_{j=0}^n (-1)^j \lambda_i F \circ (\sigma_i \times \mathbb{1}) \circ \tau_j \quad (2)$$

in Y , where $\sigma \times \mathbb{1} : \Delta^n \times [0, 1] \rightarrow X \times [0, 1]$ is the map $(a, b) \mapsto (\sigma(a), b)$, and then shows that $\partial P(z) + P(\partial z) = g_{\#}(z) - f_{\#}(z)$. If z is an n -cycle, then $g_{\#}(z) - f_{\#}(z) = \partial P(z) + P(\partial z) = \partial P(z)$, thus $g_{\#}(z) - f_{\#}(z)$ is a boundary, which means that $g_{\#}$ and $f_{\#}$ take z to the same homology class and hence $f_*([z]) = g_*([z])$.

In our case, we first have to show that, given an n -chain z in X with representation $\sum_{i \in I} \lambda_i \sigma_i$, the expression $P(z)$ in (2) is indeed an $(n+1)$ -sum, i.e. that $(F \circ (\sigma_i \times \mathbb{1}) \circ \tau_j)_{i \in I, j \in \{0, \dots, n\}}$ is an admissible family of $(n+1)$ -simplices in \hat{Y} . Then we have to show that the $c \in C'_{n+1}$ represented by $P(z)$ has a standard representation. If these two claims are true, we will also have $\partial P(z) + P(\partial z) = g_{\#}(z) - f_{\#}(z)$ and hence $f_*([z]) = g_*([z])$.

To show that the family $(F \circ (\sigma_i \times \mathbb{1}) \circ \tau_j)_{i \in I, j \in \{0, \dots, n\}}$ is admissible, note first that, since $(\sigma_i)_{i \in I}$ is an admissible family of simplices in \hat{X} , their images meet only finitely many \hat{X}_k ; let \hat{X}^- be their (compact) union. Now let $y \in Y$ be given, and choose a compact neighbourhood U of y . As \hat{Y} is Hausdorff, U

is closed in \hat{Y} . Consider the preimage of U under F . As U is closed and F is continuous, this is a closed subset of $\hat{X}^- \times [0, 1]$, and hence compact. Its projection

$$\tilde{U} := \{x \in \hat{X} \mid \exists t \in [0, 1] : F(x, t) \in U\}$$

to \hat{X}^- , then, is also compact. Since $U \subseteq Y$ and each f_t is standard, we have $\tilde{U} \subseteq X$, so \tilde{U} meets $\text{Im } \sigma_i$ for only finitely many i . And for only those i does U meet the image of any $F \circ (\sigma_i \times \mathbb{1}) \circ \tau_j$, $j \in \{0, \dots, n\}$. Hence $P(z)$ is an $(n+1)$ -sum.

To verify our second claim, let $[z] \in H_n(X)$ be given, and assume without loss of generality that z is good (cf. Proposition 4), i.e. it has a representation $\sum_{i \in I} \lambda_i \sigma_i$ such that only finitely many of the σ_i are not degenerate. We show that if σ_i is degenerate then $F \circ (\sigma_i \times \mathbb{1}) \circ \tau_j$ is degenerate for each j ; from this it follows directly that $P(z)$ as stated in (2) is a standard representation of an $(n+1)$ -chain in Y .

Suppose that σ_i is degenerate; then there is a compact Hausdorff space X_{σ_i} of dimension at most $n-1$, and continuous maps $\alpha : \Delta^n \rightarrow X_{\sigma_i}$ and $\beta : X_{\sigma_i} \rightarrow \hat{X}$ with $\sigma_i = \beta \circ \alpha$. Now let $\gamma : \Delta^n \rightarrow X_{\sigma_i} \times [0, 1]$ be the composition of the natural map from Δ^n to $D_j \subseteq \Delta^n \times [0, 1]$ and the map $\alpha \times \mathbb{1}$ from $\Delta^n \times [0, 1]$ to $X_{\sigma_i} \times [0, 1]$. Then $F \circ (\sigma_i \times \mathbb{1}) \circ \tau_j = \beta \circ \gamma$, so all that remains to show is that $X_{\sigma_i} \times [0, 1]$ has dimension at most n . But this is immediate by the fact that X_{σ_i} has dimension at most $n-1$, $[0, 1]$ has dimension 1, and that the dimension of the product of two compact spaces is at most the sum of their dimensions [13, Theorem 3.2.13].

We thus have $f_* = g_* : H_n(X) \rightarrow H_n(Y)$. As P takes sums in A to sums in B , the formula $\partial P + P\partial = g_{\#} - f_{\#}$ remains valid also for relative chains, and thus we also have $f_* = g_* : H_n(X, A) \rightarrow H_n(Y, B)$. \square

Theorem 10 (The Long Exact Sequence of a Pair). *There are boundary homomorphisms $\partial : H_n(X, A) \rightarrow H_{n-1}(A)$ such that*

$$\cdots \xrightarrow{\partial} H_n(A) \xrightarrow{\iota_*} H_n(X) \xrightarrow{\pi_*} H_n(X, A) \xrightarrow{\partial} H_{n-1}(A) \xrightarrow{\iota_*} \cdots$$

is an exact sequence, where ι denotes the inclusion $(A, \emptyset) \rightarrow (X, \emptyset)$ and π denotes the inclusion $(X, \emptyset) \rightarrow (X, A)$. These boundary homomorphisms are natural, i.e. given a standard map $f : (X, A) \rightarrow (Y, B)$ the diagrams

$$\begin{array}{ccc} H_n(A, X) & \xrightarrow{\partial} & H_{n-1}(A) \\ \downarrow f_* & & \downarrow f_* \\ H_n(Y, B) & \xrightarrow{\partial} & H_{n-1}(B) \end{array}$$

commute.

Proof. As clearly $\text{Im } \iota_{\#} = \text{Ker } \pi_{\#}$ we have a short exact sequence of chain com-

plexes

$$\begin{array}{ccccccc}
& & 0 & & 0 & & 0 \\
& & \downarrow & & \downarrow & & \downarrow \\
\cdots & \xrightarrow{\partial} & C_{n+1}(A) & \xrightarrow{\partial} & C_n(A) & \xrightarrow{\partial} & C_{n-1}(A) \xrightarrow{\partial} \cdots \\
& & \downarrow \iota_{\#} & & \downarrow \iota_{\#} & & \downarrow \iota_{\#} \\
\cdots & \xrightarrow{\partial} & C_{n+1}(X) & \xrightarrow{\partial} & C_n(X) & \xrightarrow{\partial} & C_{n-1}(X) \xrightarrow{\partial} \cdots \\
& & \downarrow \pi_{\#} & & \downarrow \pi_{\#} & & \downarrow \pi_{\#} \\
\cdots & \xrightarrow{\partial} & C_{n+1}(X, A) & \xrightarrow{\partial} & C_n(X, A) & \xrightarrow{\partial} & C_{n-1}(X, A) \xrightarrow{\partial} \cdots \\
& & \downarrow & & \downarrow & & \downarrow \\
& & 0 & & 0 & & 0
\end{array}$$

It is a general algebraic fact (see eg. [16]) that for every short exact sequence of chain complexes there exists a natural boundary homomorphism ∂ of the corresponding homology groups giving the desired long exact sequence. \square

Theorem 11 (Excision). *Let (X, A) be an admissible pair and let B be a closed subset of X such that the interiors $\text{int } \hat{A}$ of \hat{A} and $\text{int } \hat{B}$ of \hat{B} cover \hat{X} . Then the inclusion $(B, A \cap B) \hookrightarrow (X, A)$ induces isomorphisms $H_n(B, A \cap B) \rightarrow H_n(X, A)$.*

To prove Theorem 11, we first sketch the proof of excision for ordinary singular homology, and then point out the differences to our case. We start with barycentric subdivision of simplices. The aim is to find a sufficiently fine barycentric subdivision so as to construct a homomorphism from $C_n(X)$ to $C_n(A + B) := C_n(A) + C_n(B) \subseteq C_n(X)$.

Lemma 12. *For every n -simplex $[v_0, \dots, v_n]$ there is a finite family of degenerate simplices $\Delta^{n+1} \rightarrow [v_0, \dots, v_n]$ such that adding the boundaries of those $(n+1)$ -simplices, as well as the n -simplices in the corresponding families of the $(n-1)$ -faces of $[v_0, \dots, v_n]$, to the natural map $[v_0, \dots, v_n]$ yields the a sum of simplices in its barycentric subdivision (with suitable signs).*

Proof. Induction on n . The lemma is clearly true for $n = 0$. For $n > 0$, let b be the barycentre of $[v_0, \dots, v_n]$. Then $[v_0, \dots, v_n]$ is homologous to $\sum_{k=0}^n (-1)^k \Delta_k$ with $\Delta_k := [b, v_0, \dots, \hat{v}_k, \dots, v_n]$, since it differs from this sum by the boundary of the degenerate $(n+1)$ -simplex $[b, v_0, \dots, v_n]$. By induction, every $(n-1)$ -face of $[v_0, \dots, v_n]$ is homologous via boundaries of degenerate simplices to a sum of the simplices in its barycentric subdivision plus a sum of (degenerate) simplices for each of its $(n-2)$ -faces. Hence Δ_k , being the cone over the $(n-1)$ -face $[v_0, \dots, \hat{v}_k, \dots, v_n]$ is a corresponding sum of boundaries of degenerate simplices one dimension higher. As each $(n-2)$ -face appears equally often as a face of an $(n-1)$ -face of $[v_0, \dots, v_n]$ with positive and negative sign, so does the sum of (degenerate) simplices belonging to this face. Hence those sums cancel in the sum of all boundaries, which implies that $[v_0, \dots, v_n]$ is homologous to a sum of the desired type. \square

For every singular n -simplex σ , let $T(\sigma)$ be the sum consisting of the compositions of σ and each of the degenerate $(n+1)$ -simplices provided by Lemma 12 applied to Δ^n and let $S(\sigma)$ be the sum of restrictions of σ to the simplices in the barycentric subdivision of Δ^n . Then Lemma 12 says that (with appropriate choice of the signs in T and S)

$$\partial T(\sigma) = \sigma - T(\partial\sigma) - S(\sigma).$$

Now S and T extend to a chain map $S : C_n(X) \rightarrow C_n(X)$, that is, a map with $\partial S = S\partial$ (which follows immediately from the definition of the barycentric subdivision), and a map $T : C_n(X) \rightarrow C_{n+1}(X)$ with

$$\partial T + T\partial = \mathbb{1} - S. \quad (3)$$

Next, let us define, for every positive integer m , the map $D_m : C_n(X) \rightarrow C_{n+1}(X)$ like in standard homology, i.e. $D_m := \sum_{0 \leq j < m} TS^j$. Note that

$$\partial D_m + D_m\partial = \mathbb{1} - S^m \quad (4)$$

by (3) and the fact that S is a chain map.

Finally, define maps $D : C_n(X) \rightarrow C_{n+1}(X)$ and $\rho : C_n(X) \rightarrow C_n(A+B)$ as follows: For every singular simplex σ , let $m(\sigma)$ be the smallest number m for which every simplex in $S^m(\sigma)$ lives in the interior of \hat{A} or of \hat{B} . Now define $D(\sigma) := D_{m(\sigma)}$ and extend linearly to $C_n(X)$. The map ρ is defined by $\rho(\sigma) := S^{m(\sigma)}(\sigma) + D_{m(\sigma)}(\partial\sigma) - D(\partial\sigma)$ and extending linearly. Note that $\rho(\sigma)$ is indeed in $C_n(A+B)$, see [16]. With this notation, we have

$$\partial D + D\partial = \mathbb{1} - \iota\rho, \quad (5)$$

where ι is the inclusion $C_n(A+B) \rightarrow C_n(X)$. Moreover, we clearly have

$$\rho\iota = \mathbb{1}. \quad (6)$$

In the case of our homology, we have to confront three major problems in order to define D and ρ so as to satisfy (5) and (6):⁶ Firstly, these maps will map a singular simplex to a sum of simplices, but the underlying family of this sum need not be admissible as its simplices may map 0-faces to ends. Hence we have to change the maps so that the simplices in their image map 0-faces to X . The second problem is that, while we change the image simplices, we have to ensure that each of them still lives in the interior of \hat{A} or of \hat{B} . Hence we are not allowed to change them too much. The third problem will be to guarantee that the image of a chain is a chain, i.e. that it has a standard representation. We shall overcome the first two problems by subdividing the simplices at points that are mapped to X contrary to the barycentres of Δ^n and its faces.

To make this precise, we define the notion of a σ -pseudo-linear m -simplex, where σ is a given singular n -simplex. Let points $w_0, \dots, w_m, w'_0, \dots, w'_m \in \Delta^n$, $m \geq 1$, be given such that σ maps each w'_i to X and each w_i with $w_i \neq w'_i$ to $\hat{X} \setminus X$. The σ -pseudo-linear m -simplex with *centre* $[w_0, \dots, w_m]$ and *antennae* $w_i w'_i$, $0 \leq i \leq m$, is a singular simplex $\tau : \Delta^m \rightarrow [w_0, \dots, w_m] \cup \bigcup_{i=0}^m w_i w'_i$

⁶In order to avoid confusion with the notation of the case of standard homology, we will from now label the maps from standard homology by adding the index fin .

defined as follows. Let v_0, \dots, v_m be the vertices of Δ^m and consider the following simplex $[v'_0, \dots, v'_m] \subseteq \Delta^m$: Put $v'_i := v_i$ if $w_i = w'_i$ and $v'_i := \frac{1}{m+2} \left(2v_i + \sum_{j \neq i} v_j \right)$ otherwise. Then map $[v'_0, \dots, v'_m]$ to $[w_0, \dots, w_m]$ by sending v'_i to w_i and extending linearly, and map each line $v'_i v_i$ to the line $w_i w'_i$. Call the union of $[v'_0, \dots, v'_m]$ and the $v'_i v_i$ the *kernel* of Δ^m with respect to the points w_i and w'_i .

For $m = 1$, this already defines the simplex τ . For $m > 1$ and each l -face of $[w_0, \dots, w_m]$ ($1 \leq l < m$) define τ on the kernel of this face (with respect to the w_i in this face and the associated w'_i) the same way it is defined on the kernel of Δ^m . Now consider a point x on the boundary of $[v'_0, \dots, v'_m]$. For every face of $[v'_0, \dots, v'_m]$ that contains x , we say that the projection of x to the corresponding face of Δ^m is *associated with* x . Note that this point lies in the kernel of this face of Δ^m and that τ maps it to the same point in Δ^n as x . Together with x these points span internally disjoint simplices as follows: For every maximal descending sequence of faces that contain x , the points on those faces associated with x span a simplex whose dimension only depends on the dimension of the smallest face that contains x . For a point on some line $v'_i v_i$ we obtain a set of $(n-1)$ -simplices defined in the same way. It is easy to see that these simplices are disjoint for distinct points x, x' and that they cover all of Δ^m apart from the interior of its kernel. We can thus define τ on each such simplex as the constant function with image the image of x .

The definition of σ -pseudo-linear simplices immediately yields that the boundary of a σ -pseudo-linear $(m+1)$ -simplex τ is the sum (with appropriate signs) of the σ -pseudo-linear m -simplices with centres the m -faces of the centre of τ (and the corresponding antennae). This implies

Lemma 13. *If an m -simplex $[w_0, \dots, w_m] \subseteq \Delta^n$ is homologous to a sum of m -simplices, then this remains true if we choose a point w' for every vertex w of those simplices and replace each simplex S by a σ -pseudo-linear simplex with centre S and antennae all lines from a vertex w of S to its w' . \square*

The maps D and ρ will map a singular simplex σ to a sum consisting of compositions of σ and σ -pseudo-linear simplices, and correspondingly a chain c to a sum of compositions with σ -pseudo-linear simplices for all simplices σ in a representation of c still to be chosen. In order to choose the antennae of the σ -pseudo-linear simplices, we shall use a subset B' of B defined as follows: For every point in the boundary of \hat{A} , choose a compact neighbourhood that is contained in B . Since the boundary of each $\hat{A}_k = \hat{A} \cap \hat{X}_k$ is compact, finitely many such neighbourhoods suffice to cover it. Let B' be the union of $\hat{B} \setminus \hat{A}$ and the neighbourhoods for all k . Write \hat{B}' for the closure of B' in \hat{X} . Note that the interiors of \hat{A} and \hat{B}' cover \hat{X} and that the boundaries of each $\hat{B}'_k = \hat{B}' \cap \hat{X}_k$ is a compact subset of X_k .

Now consider a singular n -simplex σ . Let b be the barycentre of Δ^n . If $\sigma(b) \in X$, then we set $b' := b$. Otherwise consider the line bv_0 , where $\Delta^n = [v_0, \dots, v_n]$. As $\hat{X} \setminus X$ is closed and σ is continuous, there is a last point \tilde{b} on this line for which $\sigma(\tilde{b}b) \subseteq \hat{X} \setminus X$. Since the boundaries of \hat{A} and \hat{B} are contained in X , we can find a point b' on the line bv_0 so that

$$\text{if } \sigma(b) \text{ lies in the interior of } \hat{A} \text{ then so does } \sigma(bb') \tag{7}$$

and

$$\text{if } \sigma(b) \text{ lies in the interior of } \hat{B}' \text{ then so does } \sigma(bb'). \quad (8)$$

Proceed analogously if b is a barycentre of a face of Δ^n . The only difference is that we consider the line bv_j , where j is the smallest index with v_j belonging to that face. It is not hard to see that the points b' can be chosen so that, for singular simplices with a common face, the choices of the points on this face coincide.

We are now ready to define the maps D and ρ . For every singular simplex σ , let $m(\sigma)$ be the smallest number m for which every simplex in $S^m(\sigma)$ lives in the interior of \hat{A} or of \hat{B}' . Now for a chain $c \in C_n(X)$ with reduced representation $c = \sum_{i \in I} \lambda_i \sigma_i$, consider the sum

$$\sum_{i \in I} \lambda_i (D_{m(\sigma_i)_{\text{fin}}}(\sigma_i))$$

and define $D(c)$ to be the sum obtained from the above sum by replacing each simplex in each $(D_{m(\sigma_i)_{\text{fin}}}(\sigma_i))$ by the composition of σ_i and a σ_i -pseudo-linear simplex defined as above. (Note that each simplex in $(D_{m(\sigma_i)_{\text{fin}}}(\sigma_i))$ is the concatenation of σ_i and a standard map of a simplex in Δ^n .) For ρ , consider the sum

$$\sum_{i \in I} \left(S_{\text{fin}}^{m(\sigma_i)}(\sigma_i) + (D_{m(\sigma_i)_{\text{fin}}}(\partial\sigma_i) - D_{\text{fin}}(\partial\sigma_i)) \right)$$

and again replace each simplex in it by the composition of σ_i and a σ_i -pseudo-linear simplex so as to obtain $\rho(c)$.

We need to show that $D(c)$ and $\rho(c)$ are indeed chains, i.e. that they have a standard representation. For both sums the underlying families of simplices are admissible as the family $(\sigma_i)_{i \in I}$ is and both $D(c)$ and $\rho(c)$ consist of finitely many restrictions of each σ_i (with their 0-faces mapped to X). Now $D(c)$ clearly has a standard representation since each of its simplices can be written as $\sigma_i \circ \tau$ with $\tau : \Delta^{n+1} \rightarrow \Delta^n$ and thus is degenerate. A standard representation of $\rho(c)$ can be found by combining standard representations of $\partial D(c)$, $D(\partial c)$, and c , according to (5). Hence $D(c)$ and $\rho(c)$ are chains.

Proof of Theorem 11. Since the inclusion $\iota : C_n(A+B) \hookrightarrow C_n(X)$ maps chains in A to chains in A it induces a homomorphism $C_n(A+B, A) \rightarrow C_n(X, A)$. By (5) and (6) we obtain that for an n -cycle z in $C_n(A+B, A)$ or in $C_n(X, A)$ the sum $(\rho \circ \iota)(z) - z$, respectively $(\iota \circ \rho)(z) - z$, is a boundary. Hence we have $\rho_* \circ \iota_* = \mathbb{1}$ and $\iota_* \circ \rho_* = \mathbb{1}$ and thus $\iota_* : H_n(A+B, A) \rightarrow H_n(X, A)$ is an isomorphism.

We claim that the map $C_n(B)/C_n(A \cap B) \rightarrow C_n(A+B)/C_n(A)$ induced by inclusion is an isomorphism and thus induces an isomorphism $H_n(B, A \cap B) \rightarrow H_n(A+B, A)$. Then we will have $H_n(B, A \cap B) \simeq H_n(X, A)$ as desired. Indeed, $C_n(A+B)/C_n(A)$ can be obtained by starting with $C_n(B)$ and factoring out those chains whose reduced representation consists of simplices living in \hat{A} (and hence in $\hat{A} \cap \hat{B}$). By Lemma 6 and the fact that the boundary of each \hat{A}_k is a compact subset of X_k , the latter are precisely the chains in $C_n(A \cap B)$, hence the map $C_n(B)/C_n(A \cap B) \rightarrow C_n(A+B)/C_n(A)$ is an isomorphism. \square

The last axiom follows directly from the definition.

Theorem 14 (Disjoint unions). *For a disjoint union $X = \bigsqcup_{\alpha} X_{\alpha}$ (with \hat{X} the disjoint union of all \hat{X}_{α}) with inclusions $\iota_{\alpha} : X_{\alpha} \hookrightarrow X$, the direct sum map $\bigoplus_{\alpha} (\iota_{\alpha})_* : \bigoplus_{\alpha} H_n(X_{\alpha}, A_{\alpha}) \rightarrow H_n(X, A)$, where $A = \bigsqcup_{\alpha} A_{\alpha}$, is an isomorphism. \square*

6 Cohomology

The cohomology belonging to the homology constructed in Section 4 is defined as usual by dualization. In order to be a cohomology theory, the cochain complex has to satisfy axioms dual to those of a homology theory:

Homotopy equivalence: If continuous maps $f, g : (X, A) \rightarrow (Y, B)$ are homotopic, then $f^* = g^* : H^n(Y, B; G) \rightarrow H^n(X, A; G)$.

The Long Exact Sequence of a Pair: For each pair (X, A) there are coboundary homomorphisms $\delta : H^n(A) \rightarrow H^{n+1}(X, A)$ such that

$$\begin{array}{ccccccc} \cdots & \xrightarrow{\delta} & H^n(X, A; G) & \xrightarrow{\pi^*} & H^n(X; G) & \xrightarrow{\iota^*} & H^n(A; G) \\ & & & & & \searrow \delta & \\ & & & & H^{n+1}(X, A; G) & \xrightarrow{\pi^*} & H^{n+1}(X; G) \xrightarrow{\iota^*} \cdots \end{array}$$

is an exact sequence, where ι denotes the inclusion $(A, \emptyset) \rightarrow (X, \emptyset)$ and π denotes the inclusion $(X, \emptyset) \rightarrow (X, A)$. These coboundary homomorphisms are *natural*, i.e. given a standard map $f : (X, A) \rightarrow (Y, B)$ the diagrams

$$\begin{array}{ccc} H^n(B) & \xrightarrow{\delta} & H^{n+1}(Y, B) \\ \downarrow f^* & & \downarrow f^* \\ H^n(A) & \xrightarrow{\delta} & H^{n+1}(X, A) \end{array}$$

commute.

Excision: If (X, A) is an admissible pair and B is a subspace of X such that the interiors of \hat{A} and \hat{B} cover \hat{X} , the inclusion $(B, A \cap B) \hookrightarrow (X, A)$ induces isomorphisms $H^n(X, A; G) \rightarrow H^n(B, A \cap B; G)$ for all n .

Disjoint unions: For a disjoint union $X = \bigsqcup_{\alpha} X_{\alpha}$ with inclusions $\iota_{\alpha} : X_{\alpha} \hookrightarrow X$, the product map $\prod_{\alpha} (\iota_{\alpha})^* : \prod_{\alpha} H^n(X_{\alpha}, A_{\alpha}; G) \rightarrow H^n(X, A)$, where $A = \bigsqcup_{\alpha} A_{\alpha}$, is an isomorphism.

The proofs of the first and the last axiom are obtained by direct dualization of the proof of the corresponding axiom for homology. The existence of a long exact sequence is also dual to the homology case: The short exact sequence

$$0 \longrightarrow C_n(A) \xrightarrow{\iota^{\sharp}} C_n(X) \xrightarrow{\pi^{\sharp}} C_n(X, A) \longrightarrow 0$$

dualizes to

$$0 \longleftarrow C^n(A; G) \xleftarrow{\iota^{\sharp}} C^n(X; G) \xleftarrow{\pi^{\sharp}} C^n(X, A; G) \longleftarrow 0,$$

where ι^\sharp and π^\sharp denote the cochain maps induced by the inclusions $\iota : (A, \emptyset) \rightarrow (X, \emptyset)$ and $\pi : (X, \emptyset) \rightarrow (X, A)$. (Note that the cochain maps are the duals of the corresponding chain maps ι_\sharp and π_\sharp .) This short sequence is exact: Injectivity of π^\sharp is immediate and so is $\ker \iota^\sharp = \text{Im } \pi^\sharp$. The surjectivity of ι^\sharp follows easily from Lemma 6. We thus have a short exact sequence of cochain complexes. Like in the homology case, this gives us the desired long exact sequence.

The last axiom, excision, follows with a proof mostly dual to that in the homology case: The chain homotopy D and the chain maps ρ and ι that satisfy (5) and (6) induce dual maps D^* , ρ^* , and ι^* that satisfy the dual equations $\iota^* \rho^* = \mathbb{1}$ and $\mathbb{1} - \rho^* \iota^* = D^* \delta + \delta D^*$. Therefore, ι^* and ρ^* induce isomorphisms between the cohomology groups $H^n(X; G)$ and $H^n(A + B; G)$. The inclusion $\iota : C_n(A + B) \hookrightarrow C_n(X)$ is the identity on $C_n(A)$ and hence induces an inclusion $C_n(A + B, A) \hookrightarrow C_n(X, A)$ which we also denote by ι . Now by the long exact sequence axiom we have a commutative diagram

$$\begin{array}{ccc}
H^{n-1}(X; G) & \xrightarrow{\iota^*} & H^{n-1}(A + B; G) \\
\downarrow & & \downarrow \\
H^{n-1}(A; G) & \xrightarrow{\iota^*} & H^{n-1}(A; G) \\
\downarrow & & \downarrow \\
H^n(X, A; G) & \xrightarrow{\iota^*} & H^n(A + B, A; G) \\
\downarrow & & \downarrow \\
H^n(X; G) & \xrightarrow{\iota^*} & H^n(A + B; G) \\
\downarrow & & \downarrow \\
H^n(A; G) & \xrightarrow{\iota^*} & H^n(A; G)
\end{array}$$

and since the two upmost maps ι^* as well as the two downmost ι^* are isomorphisms, the Five Lemma [16] shows that ι induces an isomorphism $H^n(X, A; G) \rightarrow H^n(A + B, A; G)$. Since the map $C_n(B)/C_n(A \cap B) \hookrightarrow C_n(A + B)/C_n(A)$ induced by inclusion is an isomorphism, this also induces an isomorphism $C^n(A + B, A; G) \rightarrow C^n(B, A \cap B; G)$ and hence an isomorphism on cohomology. We thus have an isomorphism $H^n(X, A; G) \rightarrow H^n(B, A \cap B; G)$ as desired.

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